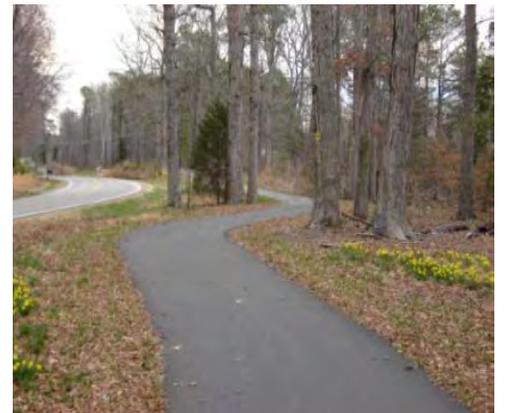
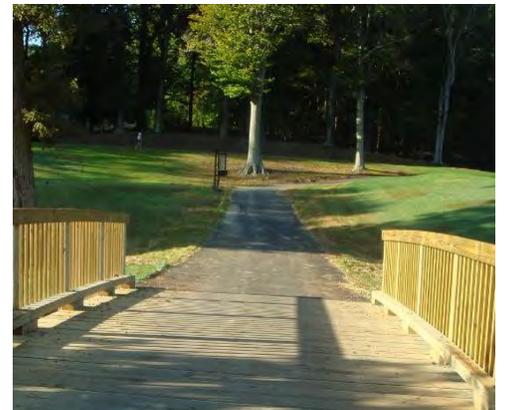


VIRGINIA CAPITAL TRAIL NEW MARKET HEIGHTS PHASE

HENRICO COUNTY & CHARLES CITY COUNTY, VIRGINIA



VIRGINIA CAPITAL TRAIL, CHARLES CITY PHASE



VIRGINIA CAPITAL TRAIL, CHICKAHOMINY BRIDGE

LETTER OF SUBMITTAL

APRIL 25, 2012

HOWARD BROTHERS CONTRACTOR, INC | A. MORTON THOMAS AND ASSOCIATES, INC.





P.O. Box 96, Providence Forge, VA 23140
(804) 966-2762
(804)966-9214 - Fax

April 25, 2012

Mr. Stephen D. Kindy, P.E.
Virginia Department of Transportation
1221 East Broad Street
Main Building, 4th Floor
Richmond, Virginia 23219

RE: Project No. 0005-964-562, P101, R201, C501, B602-B607, C502
Virginia Capital Trail – New Market Heights Phase
Federal Project No: OC-5127 (789), ARRA – FS09 (048)
Contract ID Number: C00086279DB51
Letter of Submittal

Dear Mr. Kindy:

Howard Brothers Contractor, Inc. (Howard Brothers) is pleased to submit this Letter of Submittal in response to the Virginia Department of Transportation's (VDOT's) RFP for the Virginia Capital Trail – New Market Heights Phase design-build project in Henrico and Charles City counties.

Howard Brothers has developed a comprehensive design and construction team that will successfully meet or exceed the requirements of the Department for this project. Our design-build team includes sub-consultants and sub-contractors that will perform the design, permitting, design quality control, design quality assurance, project management, construction, construction quality control, and construction quality assurance. The members of the Howard Brothers team enjoy longstanding relationships with VDOT and have a history of successfully working together on many VDOT projects. Our team will apply lessons learned from those experiences to the benefit of this project. As requested in the Request for Proposals, more detailed information regarding the Howard Brothers Team will be provided within three days following issuance of Notice of Intent to Award to the Howard Brothers Team.

Section 4.1.1: Offeror's Representative

Howard Brothers designated official point of contact relative to this Request for Proposal is:

Mr. Samuel C. Howard
President
P.O. Box 96
Providence Forge, Virginia 23140
Telephone: 804.966.2762
Facsimile: 804.966.9214
E-mail: samuel.howard@hbcontractorinc.com

Section 4.1.2: Offeror's Intent to Enter into a Contract with VDOT

It is the intent of Howard Brothers, if selected, to enter into a contract with VDOT for the Virginia Capital Trail – New Market Heights Phase project in accordance with the terms in the RFP dated March 16, 2012 and Addendum 1 dated April 13, 2012.

Section 4.1.3: Pursuant to Part 1, Section 8.2 – Full Force and Effect

Howard Brothers declares that the offer represented by the Letter of Submittal and Price Proposal will remain in full force and effect for one hundred twenty (120) days after the date the Proposal is submitted to VDOT on April 25, 2012.

Section 4.1.4: Principal Officer Information

The Design-Build contract would be written to Howard Brothers Contractor, Inc. The principal officer with whom the Design-Build contract would be written is:

Mr. Samuel C. Howard
President
P.O. Box 96
Providence Forge, Virginia 23140

Telephone: 804.966.2762
Facsimile: 804.966.9214
E-mail: samuel.howard@hbcontractorinc.com

Section 4.1.5: Corporate Structure

Howard Brothers Contractor Inc. is a stock corporation and will have sole financial responsibility for the project.

Section 4.1.6: Legal Names of the Lead Contractor and Lead Designer

The full legal name of the Lead Contractor is Howard Brothers Contractor, Inc. The full legal name of the Lead Designer is A. Morton Thomas and Associates, Inc.

Section 4.1.7: Affiliates and Subsidiaries

Howard Brothers Contractor, Inc. has no affiliates or subsidiaries.

Section 4.1.8: Certification Regarding Debarment

Certification Regarding Debarment Forms for Primary Covered and Lower-Tier Covered Transactions are included in Appendix B.

Section 4.1.9: Evidence of Bonding

Howard Brothers Contractor, Inc. obtains its bonding from TB&R Insurance. Evidence from the surety indicating Howard Brothers' ability to obtain a performance and payment bond based on the current estimated contract value is included in Appendix A of the Letter of Submittal.

Section 4.1.10: Disadvantage Business Enterprises

Howard Brothers Contractor, Inc. supports the Disadvantaged Business Enterprise (DBE) program and is committed to achieving or exceeding the 17% goal for the design and construction of this project utilizing the services of organizations certified as Disadvantaged Business Enterprises. Our commitment to meeting the DBE goal of 17% for the project is signified by the signature below.

Section 4.1.11: Substantial Completion and Final Completion Dates

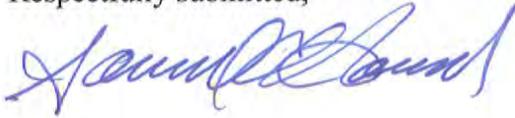
The Howard Brothers Contractor team has established the following schedule as milestones for the project:

Substantial Completion Date	09/30/2013
Final Completion Date	09/30/2013

Closing

If you have any questions regarding this Letter of Submittal and associated Price Proposal, please contact me at your convenience.

Respectfully submitted,

A handwritten signature in blue ink, appearing to read "Samuel C. Howard". The signature is fluid and cursive, written over a light blue horizontal line.

Mr. Samuel C. Howard
President
Howard Brothers Contractor, Inc.

Howard Brothers is pleased to provide the required attachments to the Letter of Submittal as requested in Section 4.2 of the RFP.

Section 4.2.1: Offeror's VDOT Pre-Qualification

Howard Brothers Contractor, Inc. is prequalified to do business with VDOT, prequalification number H301. A full-sized copy of the prequalification certificate and a screenshot from VDOT's Scheduling and Contract Division can be found in Appendix A of the Letter of Submittal.

Section 4.2.2: SCC and DPOR Registration

The following table indicates registration information for each team member. Each team member is in compliance with the requirements set forth in Section 4.2.2 and subsections 1 through 4, where applicable. The primary team members identified at this stage of the procurement include one Class A contractor and eight firms offering professional services. All of these firms operate main or branch offices in Virginia. Information meeting the requirements of Section 4.2.2 is included in the tables in the following section, with backup data included in Appendix D:

4.2.2 (a) SCC Registration for Each Business			
Registered Name	Registration No.	Type of Corporation	Status
Howard Brothers Contractor, Inc <i>Lead Contractor</i>	0306717-0	Stock Corporation	Active / Good Standing
A. Morton Thomas and Associates, Inc. <i>Lead Designer / Construction QA</i>	F049431-2	Stock Corporation	Active / Good Standing
Traffic Signals Plus, PLLC <i>Traffic Engineering, Lighting</i>	S299757-7	Limited Liability Company	Active / Good Standing
Froehling & Robertson, Inc. <i>QC Testing Services</i>	0027211-2	Stock Corporation	Active/ Good Standing
DMY, Inc. <i>Geotechnical Engineering</i>	0724389-2	Stock Corporation	Active/ Good Standing
Diversified Property Services, Inc. <i>Right-of-Way Acquisition</i>	F130410-6	Stock Corporation	Active / Good Standing
H&B Surveying and Mapping, LLC <i>Surveying Services</i>	S290560-4	Limited Liability Company	Active / Good Standing
Apex Companies, LLC <i>Environmental Engineering</i>	T030565-8	Limited Liability Company	Active / Good Standing
Milestone Consultant, Inc. <i>CPM Scheduling</i>	0501305-7	Stock Corporation	Active/ Good Standing

4.2.2 (b) DPOR Registration for Offices				
Business Name	Address	Registration Type	Registration Number	Expiration Date
Howard Brothers Contractor, Inc <i>Lead Contractor</i>	PO Box 96 Providence Forge, VA 23140	Class A Contractor	2701039212	09/30/2013
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	10710 Midlothian Turnpike, Suite 202 Richmond, VA 23235	ENG LA LS	0411 000587	02/29/2014
A. Morton Thomas and Associates, Inc. <i>Home Office Registration</i>	12750 Twinbrook Pkwy Rockville, MD 20852	ENG LA LS	0407 003077	12/31/2013
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	135 Highland Drive, PO Box 3008 Lebanon, VA 24266	ENG	0411 000588	02/29/2014

4.2.2 (b) DPOR Registration for Offices				
Business Name	Address	Registration Type	Registration Number	Expiration Date
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	One Jadip Lane, Suite 111 Fredericksburg, VA 22405	ENG LS	0411 000758	02/29/2014
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	14900 Conference Center Dr., Suite 180 Chantilly, VA 20151	ENG LA LS	0411 000586	02/29/2014
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	113 Mill Place Parkway Verona, VA 24482	ENG	0411 000589	02/29/2014
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	1530 Breezeport Way, Bldg 4, Suite 300 Suffolk, VA 23435	ENG LA	0411 000693	02/29/2014
Traffic Signals Plus, PLLC <i>Home Office Registration</i>	621 French's Store Rd. Cumberland, VA 23040	ENG	0413 000317	12/31/2013
Froehling & Robertson, Inc. <i>Home Office Registration</i>	3015 Dumbarton Road Richmond, VA 23228	ENG	0407 000098	12/31/2013
Froehling & Robertson, Inc. <i>Branch Office Registration</i>	22923 Quicksilver Dr, Suite 111 Sterling, VA 20166	ENG	0411 000051	02/29/2014
Froehling & Robertson, Inc. <i>Branch Office Registration</i>	1734 Seibel Drive N.E. Roanoke, VA 24012	ENG	0411 000053	02/29/2014
Froehling & Robertson, Inc. <i>Branch Office Registration</i>	10909 Houser Drive Fredericksburg VA 22408	ENG	0411 000050	02/29/2014
Froehling & Robertson, Inc. <i>Branch Office Registration</i>	W Greenbrier Commerce Park 833 Professional Place Chesapeake, VA 23320	ENG	0411 000049	02/29/2014
Froehling & Robertson, Inc. <i>Branch Office Registration</i>	6181 Rockfish Gap Turnpike Crozet, VA 22932	ENG	0411 000052	02/29/2014
DMY, Inc. <i>Home Office Registration</i>	14241 Midlothian Turnpike, Suite 230 Midlothian, VA 23113	ENG	0405 001794	12/31/2013
H&B Surveying and Mapping, LLC <i>Home Office Registration</i>	201 W. 7 th Street 2 nd Floor Richmond, VA 23224	LS	0407005432	12/31/2013
Apex Companies, LLC <i>Home Office Registration</i>	15850 Crabbs Branch Way Suite 200 Rockville, MD 20855	ENG	0407004688	12/31/2013
Milestone Consultants, Inc. <i>Home Office Registration</i>	1913 Huguenot Road Suite 201 Richmond, VA 23235	ENG	0407 005159	12/31/2013

4.2.2 (c) DPOR Registration for Key Personnel					
Registered Name	Address	Reg. Type	Registration Number	Expiration Date	Practicing Office
David Covington, PE <i>Design Manager</i>	113 Mill Place Pkwy, Unit 107 Verona, VA 24482	PE	0402 038235	06/30/2013	Verona, VA
Darin Simpson, PE <i>Quality Assurance Manager</i>	5518 Hill Top Street Crozet, VA 22932	PE	0402 042310	05/31/2012	Verona, VA

4.2.2 (d) DPOR Registration for Other Professional Services				
Registered Name	Address	Registration Number	Expiration Date	Practicing Office
Diversified Property Services of VA, Inc <i>Real Estate Appraiser Business</i>	20 E. Timonium Road, Suite 111 Timonium, MD 21093	4008 001190	11/30/2012	Timonium, MD

Section 4.2.3: Work History Forms

The Lead Contractor Work History Forms (Attachment 4.2.3(a)) are located in Appendix C of this submission. The Lead Designer Work History Forms (Attachment 4.2.3(b)) are located in Appendix C of this submission.

APPENDIX A

ATTACHMENT 4.0.1.1

LETTER OF SUBMITTAL CHECKLIST AND CONTENTS

(Revised April 13, 2012)

Offerors shall furnish a copy of this Letter of Submittal Checklist, with the page references added, with the Letter of Submittal

Letter of Submittal Component	Form (if any)	RFP Cross reference	RFP Page Reference
Letter of Submittal Checklist	Attachment 4.0.1.1	Section 4.0.1	Appendix A
Acknowledgment of RFP, Revisions and/or Addenda	Attachment 3.4 (Form C-78)	Section 3.4	Appendix A
Letter of Submittal (on Offeror's letterhead)	N/A	Section 4.1	
Offeror's point of contact and official representative	N/A	Section 4.1.1	Page 1
Declaration of intent to enter into a contract	N/A	Section 4.1.2	Page 1
Statement of proposal validity (120 days)	N/A	Section 4.1.3	Page 2
Principal officer information	N/A	Section 4.1.4	Page 2
Offeror's Corporate Structure	N/A	Section 4.1.5	Page 2
Identify Lead Contractor and Lead Designer	N/A	Section 4.1.6	Page 2
Affiliated/subsidiary companies	N/A	Section 4.1.7	Page 2
Debarment Certification (primary participants)	Attachment 4.1.8(a)	Section 4.1.8	Appendix B
Debarment Certification (lower-tier participants)	Attachment 4.1.8(b)	Section 4.1.8	Appendix B
Letter of surety	N/A	Section 4.1.9	Appendix A
DBE participation commitment	N/A	Section 4.1.10	Page 2
Substantial completion and final completion dates	N/A	Section 4.1.11	Page 2

Attachments to the Letter of Submittal	N/A	Section 4.2	
Offeror's prequalification certificate	N/A	Section 4.2.1	Appendix A
SCC registration information in tabular format	N/A	Section 4.2.2	Page 4
SCC supporting documentation	N/A	Section 4.2.2(a)	Appendix D
DPOR office registration information in tabular format	N/A	Section 4.2.2	Pages 4-6
DPOR supporting documentation	N/A	Section 4.2.2(b)	Appendix D
Lead Contractor Work History Form	Attachment 4.2.3(a)	Section 4.2.3	Appendix C
Lead Designer Work History Form	Attachment 4.2.3(b)	Section 4.2.3	Appendix C

ATTACHMENT 3.4

FORM C-78

(Revised 01/19/09)

Form C-78
Rev.7-13-05

**COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION**

ORDER NO. N/A
UPC NO. 86279

ACKNOWLEDGEMENT OF RFP, REVISIONS AND/OR ADDENDA

Acknowledgement shall be made of receipt of any and all revisions and/or addenda pertaining to the above designated project which are issued by the Virginia Department of Transportation prior to the opening date of Price Proposals as shown in the RFP herein. Failure to include this acknowledgement in the Proposals may result in the rejection of your Proposal.

By signing this form, the Offeror acknowledges receipt of the RFP, revisions and/or addenda to the RFP and/or plans for the above designated project which were issued under cover letter(s) of the date(s) shown hereon:

1. Cover letter of March 16, 2012 - RFP
(Date)
2. Cover letter of April 13, 2012 – Addendum #1
(Date)
3. Cover letter of _____
(Date)
4. Cover letter of _____
(Date)
5. Cover letter of _____
(Date)
6. Cover letter of _____
(Date)


SIGNATURE

4-23-12
DATE



COMMONWEALTH OF VIRGINIA



CERTIFICATE OF QUALIFICATION

Howard Brothers Contractor, Inc.

Vendor Number: **H301**

In accordance with the Regulations of the Virginia Department of Transportation, you are hereby notified that the following Rating and Classifications has been assigned to you by the Commissioner:

PREQUALIFIED

Work Classes: Drainage Structures, Excavating, Underground Utilities

Issue Date: May 31, 2011

This Rating and Classification will Expire: May 31, 2012

Suzanne FR Lucas Prequalification Officer

Don E. Silies, State Contract Officer

Appendix A – Offeror’s VDOT Pre-Qualification

-----DBE INFORMATION-----

DBE TYPE : N/A
DBE CONTACT: N/A
DBE/WBE EXP: N/A

=====

H301
HOWARD BROTHERS CONTRACTOR, INC.
PREQ. EXP : 05/30/2012

--PREQ ADDRESS -----	-- WORK CLASSES -----
P. O. BOX 96	005 - DRAINAGE STRUCTURES
PROVIDENCE FORGE, VA 23140-0096	045 - UNDERGROUND UTILITIES
PHONE : 804-966-2762	101 - EXCAVATING
FAX : 804-966-9214	

BUSINESS CONTACT: HOWARD, SAMUEL CLARK
EMAIL: SAMUEL.HOWARD@HBCONTRACTORINC.COM

-----DBE INFORMATION-----

DBE TYPE : N/A
DBE CONTACT: N/A
DBE/WBE EXP: N/A

=====

C. Keith Franklin, Bond Manager
4701 Cox Road, Suite 104
Glen Allen, Virginia 23060
804-762-9192/877-280-7387, ext. 4762100
Fax: 804-762-8667



April 25, 2012

Mr. Stephen D. Kindy, P.E.
Virginia Department of Transportation
1221 East Broad Street
Main Building, 4th Floor
Richmond, VA 23219

Re: Howard Brothers Contractor, Inc., 14401 Doctors Creek Road, Lanexa, VA 23089
VDOT RFP
A Design Build Project – Virginia Capital Trail – New Market Heights Phase,
Contract ID No. C00086279DB51, Estimate \$10,300,000

Dear Mr. Kindy:

Westfield Insurance Company has the privilege of providing surety bonds for Howard Brothers Contractor, Inc. Our A. M. Best Financial Strength Rating is A (Excellent) and Financial Size Category is XIII (\$1.25 billion to \$1.5 billion).

Howard Brothers Contractor, Inc. is capable of obtaining a 100% Performance Bond and 100% Labor and Materials Payment Bond in the amount of the anticipated cost of construction of \$10,300,000, and said bonds will cover the Project and any warranty periods as provided for in the Contract Documents on behalf of the Contractor, in the event that such firm be the successful bidder and enter into a contract for this project.

If you have any questions or need further information concerning this contractor, please feel free to contact me.

Sincerely,

C. Keith Franklin, Attorney in Fact
Manager, Bond Department
Extension 4762100

CKF/dap

General
Power
of Attorney

POWER NO. 0000294 00

Westfield Insurance Co.
Westfield National Insurance Co.
Ohio Farmers Insurance Co.
Westfield Center, Ohio

CERTIFIED COPY

Know All Men by These Presents, That WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY, corporations, hereinafter referred to individually as a "Company" and collectively as "Companies," duly organized and existing under the laws of the State of Ohio, and having its principal office in Westfield Center, Medina County, Ohio, do by these presents make, constitute and appoint

C. KEITH FRANKLIN

of _____ and State of _____ its true and lawful Attorney(s)-in-Fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver **any and all bonds, recognizances, undertakings, or other instruments or contracts of suretyship to include waivers to the conditions of contracts and consents of surety** and to bind any of the Companies thereby as fully and to the same extent as if such bonds were signed by the President, sealed with the corporate seal of the applicable Company and duly attested by its Secretary, hereby ratifying and confirming all that the said Attorney(s)-in-Fact may do in the premises. Said appointment is made under and by authority of the following resolution adopted by the Board of Directors of each of the WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY:

"Be It Resolved, that the President, any Senior Executive, any Secretary or any Fidelity & Surety Operations Executive or other Executive shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

The Attorney-in-Fact, may be given full power and authority for and in the name of and on behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements of indemnity and other conditional or obligatory undertakings and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be as binding upon the Company as if signed by the President and sealed and attested by the Corporate Secretary."

"Be it Further Resolved, that the signature of any such designated person and the seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signatures or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached." (Each adopted at a meeting held on February 8, 2000).

In Witness Whereof, WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY have caused these presents to be signed by their **Senior Executive** and their corporate seals to be hereto affixed this **17th** day of **MARCH** A.D., **2003**.

Corporate
Seals
Affixed



WESTFIELD INSURANCE COMPANY
WESTFIELD NATIONAL INSURANCE COMPANY
OHIO FARMERS INSURANCE COMPANY

By: **Richard L. Kinnaird, Jr., Senior Executive**

State of Ohio
County of Medina ss.:

On this **17th** day of **MARCH** A.D., **2003**, before me personally came **Richard L. Kinnaird, Jr.** to me known, who, being by me duly sworn, did depose and say, that he resides in **Medina, Ohio**; that he is **Senior Executive** of WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY, the companies described in and which executed the above instrument; that he knows the seals of said Companies; that the seals affixed to said instrument are such corporate seals; that they were so affixed by order of the Boards of Directors of said Companies; and that he signed his name thereto by like order.

Notarial
Seal
Affixed



William J. Kahelin, Attorney at Law, Notary Public
My Commission Does Not Expire (Sec. 147.03 Ohio Revised Code)

State of Ohio
County of Medina ss.:

I, **M. Brooks Rorapough**, Secretary of WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney, executed by said Companies, which is still in full force and effect; and furthermore, the resolutions of the Boards of Directors, set out in the Power of Attorney are in full force and effect.

In Witness Whereof, I have hereunto set my hand and affixed the seals of said Companies at Westfield Center, Ohio, this **25th** day of **April**, **2012** A.D.



M. Brooks Rorapough, Secretary

APPENDIX B

ATTACHMENT 4.1.8 (a)
CERTIFICATION REGARDING DEBARMENT
PRIMARY COVERED TRANSACTIONS
(To be completed by Primary Participants)

UPC No.: 86279

- 1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency.
 - b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; and have not been convicted of any violations of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements, or receiving stolen property;
 - c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1) b) of this certification; and
 - d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- 2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the offeror for contracts to be let by the Commonwealth Transportation Board.


Signature

April 25, 2012
Date

President
Title

Howard Brothers Contractor Inc.
Name of Firm

ATTACHMENT 4.1.7(b)
CERTIFICATION REGARDING DEBARMENT
LOWER TIER COVERED TRANSACTIONS
(To be completed by a Sub-consultant)

UPC No.: 86279

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the offeror for contracts to be let by the Commonwealth Transportation Board.

Michael J. Wind April 25, 2012 Principal
Signature Date Title

A. Morton Thomas and Associates, Inc.
Name of Firm

ATTACHMENT 4.1.7(b)
CERTIFICATION REGARDING DEBARMENT
LOWER TIER COVERED TRANSACTIONS
(To be completed by a Sub-consultant)

UPC No.: 86279

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the offeror for contracts to be let by the Commonwealth Transportation Board.


Signature

April 25, 2012
Date

Managing Member
Title

Traffic Signals Plus, PLLC
Name of Firm

ATTACHMENT 4.1.7(b)
CERTIFICATION REGARDING DEBARMENT
LOWER TIER COVERED TRANSACTIONS
(To be completed by a Sub-consultant)

UPC No.: 86279

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the offeror for contracts to be let by the Commonwealth Transportation Board.

James H. Kirby, Jr. April 25, 2012 PRESIDENT
Signature Date Title

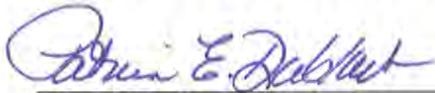
FROELING & ROBERTSON, INC.
Name of Firm

ATTACHMENT 4.1.7(b)
CERTIFICATION REGARDING DEBARMENT
LOWER TIER COVERED TRANSACTIONS
(To be completed by a Sub-consultant)

UPC No.: 86279

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the offeror for contracts to be let by the Commonwealth Transportation Board.



Signature

April 25, 2012
Date

President
Title

Diversified Property Services, Inc.
Name of Firm

ATTACHMENT 4.1.7(b)
CERTIFICATION REGARDING DEBARMENT
LOWER TIER COVERED TRANSACTIONS
(To be completed by a Sub-consultant)

UPC No.: 86279

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the offeror for contracts to be let by the Commonwealth Transportation Board.



Signature

April 17, 2012

Date

Vice President

Title

H&B Surveying and Mapping, LLC

Name of Firm

ATTACHMENT 4.1.7(b)
CERTIFICATION REGARDING DEBARMENT
LOWER TIER COVERED TRANSACTIONS
(To be completed by a Sub-consultant)

UPC No.: 86279

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the offeror for contracts to be let by the Commonwealth Transportation Board.

 _____ Signature	<u>April 25, 2012</u> _____ Date	<u>Division Manager</u> _____ Title
--	--	---

Apex Companies, LLC

Name of Firm

ATTACHMENT 4.1.7(b)
CERTIFICATION REGARDING DEBARMENT
LOWER TIER COVERED TRANSACTIONS
(To be completed by a Sub-consultant)

UPC No.: 86279

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the offeror for contracts to be let by the Commonwealth Transportation Board.

 April 25, 2012 President
Signature Date Title

Milestone Construction, Inc.
Name of Firm

APPENDIX C

ATTACHMENT 4.2.3(a)

LEAD CONTRACTOR - WORK HISTORY FORM

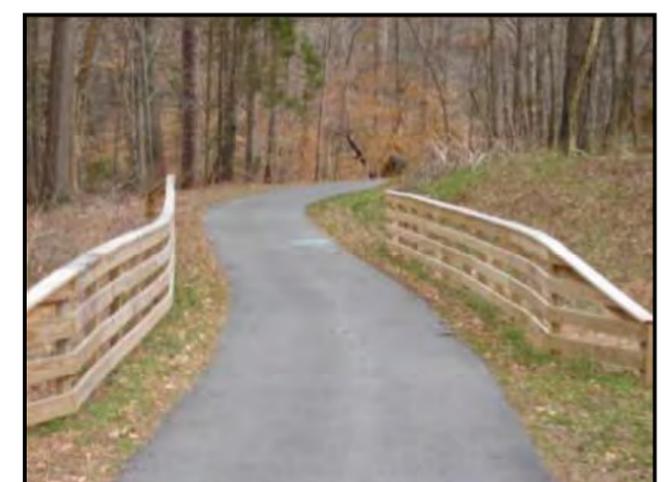
(LIMIT 1 PAGE PER PROJECT)

a. Project Name & Location	b. Name of the prime design consulting firm responsible for the overall project design.	c. Contact information of the Client or Owner and their Project Manager who can verify Firm's responsibilities.	d. Contract Completion Date (Original)	e. Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Dollar Value of Work Performed by the Firm identified as the Lead Contractor for this procurement.(in thousands)
					Original Contract Value	Final or Estimated Contract Value	
Name: Virginia Capital Trail – Charles City Phase, K89, VDOT# (NFO) 0005-018-112, M501, B605, D624, D625 Location: Charles City County, VA	Name: VDOT	Name of Client./ Owner: VDOT Phone: 804-524-6000 Project Manager: Harold Dyson Phone: 804-569-1860 Email: Harold.dyson@vdot.virginia.gov	2009	2009	\$3,095	\$3,317	\$3,317

h. Narrative describing the Work Performed by the Firm identified as the Lead Contractor for this procurement. If the Offeror chooses to submit work completed by an affiliated or subsidiary company of the Lead Contractor, identify the full legal name of the affiliate or subsidiary and the role they will have on this Project, so the relevancy of that work can be considered accordingly.

Virginia Capital Trail – Charles City Courthouse Phase

Howard Brothers Contractor, Inc. acted as prime contractor for this phase of the Virginia Capital Trails Bike Path project. Howard Brothers supplied coordination, construction, and oversight for this 8 mile trail adjacent to Scenic Highway State Route 5 in Charles City County. Specialty aspects for the phase included pre-engineered open span truss bridge, timber pile wood bridge, and box culvert at Gunn's Run. Howard Brothers constructed this project with cooperation of VDOT, County and property owners along a historic and environmentally sensitive area on time, achieving a contractors performance score of 95.3%.



ATTACHMENT 4.2.3(a)

LEAD CONTRACTOR - WORK HISTORY FORM

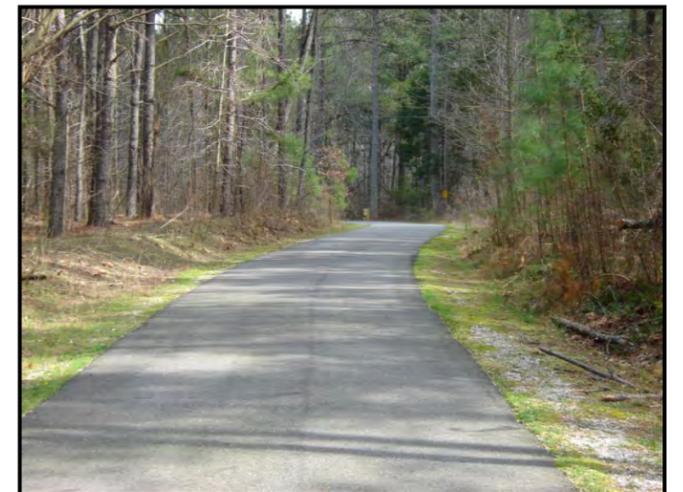
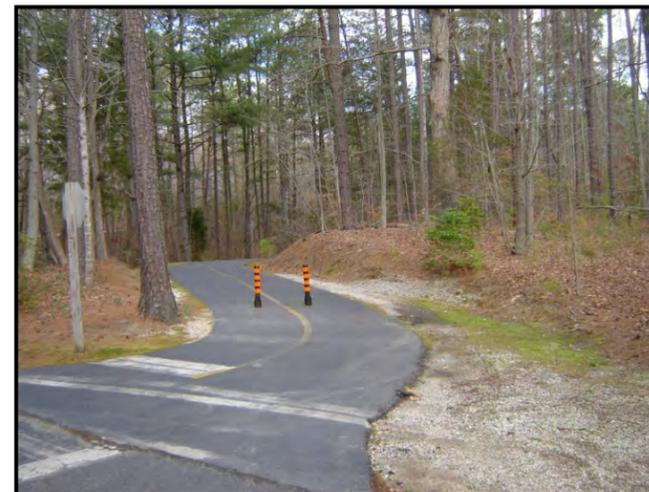
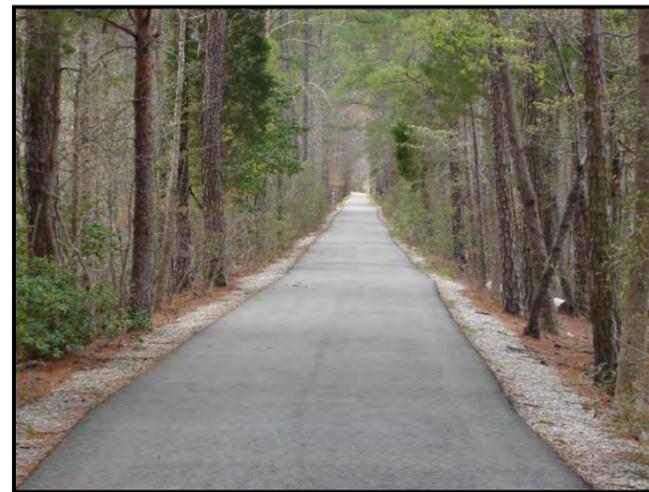
(LIMIT 1 PAGE PER PROJECT)

a. Project Name & Location	b. Name of the prime design consulting firm responsible for the overall project design.	c. Contact information of the Client or Owner and their Project Manager who can verify Firm's responsibilities.	d. Contract Completion Date (Original)	e. Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Dollar Value of Work Performed by the Firm identified as the Lead Contractor for this procurement.(in thousands)
					Original Contract Value	Final or Estimated Contract Value	
Name: Waller Mill Park Bike Path, VDOT Project No. EN93-965-V11, N501 Location: City of Williamsburg, VA	Name: VDOT	Name of Client./ Owner: VDOT Phone: 757-925-2500 Project Manager: Fred Durr Phone: 757-253-5139 Email: Fred.durr@vdot.virginia.gov	2003	2003	\$314	\$314	\$314

h. Narrative describing the Work Performed by the Firm identified as the Lead Contractor for this procurement. If the Offeror chooses to submit work completed by an affiliated or subsidiary company of the Lead Contractor, identify the full legal name of the affiliate or subsidiary and the role they will have on this Project, so the relevancy of that work can be considered accordingly.

Waller Mill Park Bike Path

Howard Brothers Contractor, Inc. constructed this 2.05 mile VDOT project in Waller Mill Park, Williamsburg, VA. The trail connects Mooretown Road in Williamsburg with Rochambeau Road in York County. Construction involved select clearing, earthwork, paving, and co-ordination of construction activities in an actively utilized park. Contract was completed on time and on budget.



ATTACHMENT 4.2.3(a)

LEAD CONTRACTOR - WORK HISTORY FORM

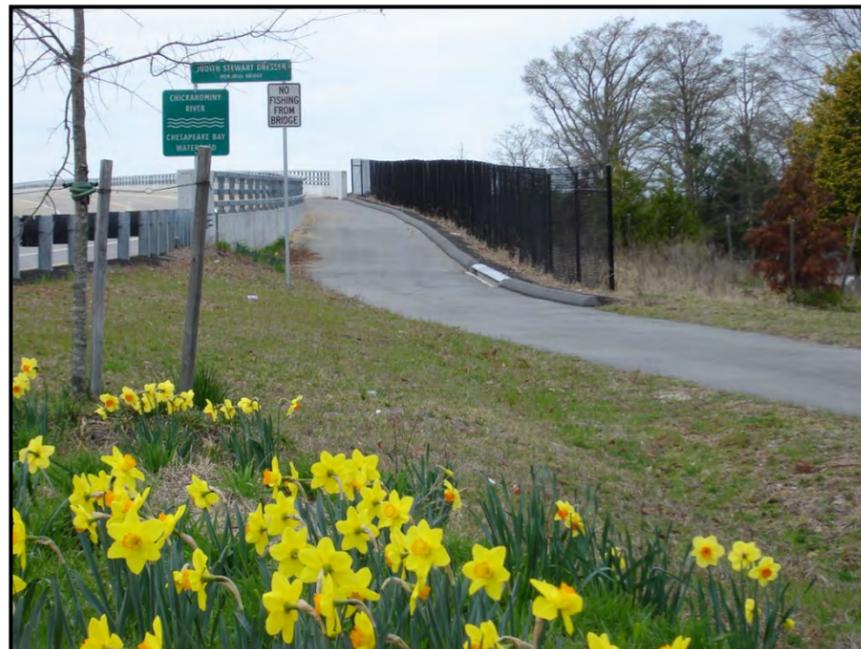
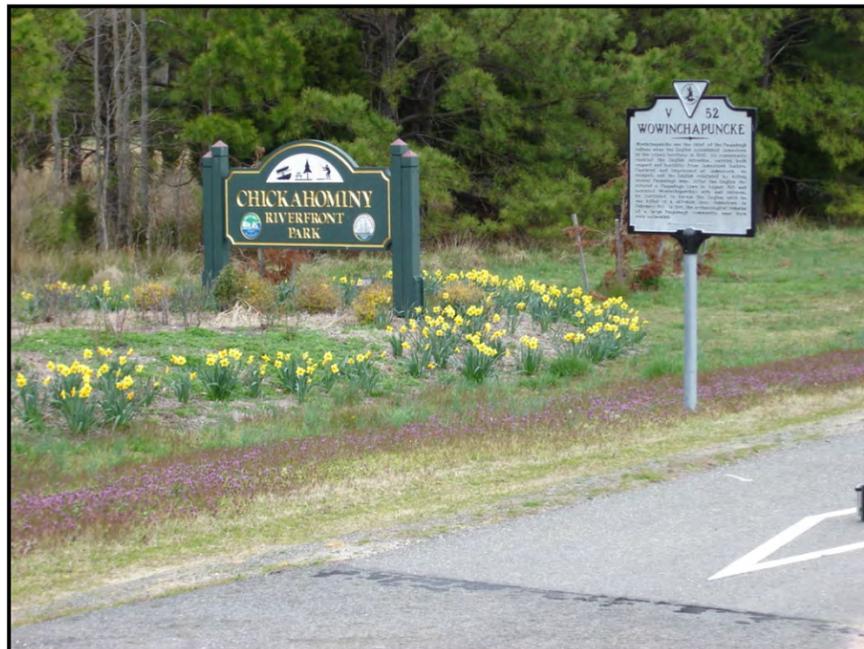
(LIMIT 1 PAGE PER PROJECT)

a. Project Name & Location	b. Name of the prime design consulting firm responsible for the overall project design.	c. Contact information of the Client or Owner and their Project Manager who can verify Firm's responsibilities.	d. Contract Completion Date (Original)	e. Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Dollar Value of Work Performed by the Firm identified as the Lead Contractor for this procurement.(in thousands)
					Original Contract Value	Final or Estimated Contract Value	
Name: Chickahominy Bridge Approaches and Virginia Capital Trail Bike Path 116 VDOT (NFO)0005-018-111, C501, B604 Location: Charles City County/James City County, VA	Name: VDOT	Name of Client./ Owner: VDOT Phone: 804-524-6000 Project Manager: Harold Dyson Phone: 804-524-6211 Email: Harold.dyson@vdot.virginia.gov	2010	2010	\$2,021	\$2,241	\$2,241

h. Narrative describing the Work Performed by the Firm identified as the Lead Contractor for this procurement. If the Offeror chooses to submit work completed by an affiliated or subsidiary company of the Lead Contractor, identify the full legal name of the affiliate or subsidiary and the role they will have on this Project, so the relevancy of that work can be considered accordingly.

I16 Chickahominy Bridge Approaches and Bike Path

Howard Brothers Contractor, Inc. worked as subcontractor to prime contractor "Skanska USA Civil Southeast, Inc.". This VDOT bridge project included approximately 1 mile of the Virginia Capital Trail Bike Path crossing the Chickahominy River joining James City County and Charles City County. Howard Brothers' scope of work on the project included: all grading, excavation, drainage, and construction of project components including bike path construction.



ATTACHMENT 4.2.3(b)

LEAD DESIGNER - WORK HISTORY FORM

(LIMIT 1 PAGE PER PROJECT)

a. Project Name & Location	b. Name of the prime/ general contractor responsible for overall construction of the project.	c. Contact information of the Client and their Project Manager who can verify Firm's responsibilities.	d. Construction Contract Completion Date (Original)	e. Construction Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Design Fee for the Work Performed by the Firm identified as the Lead Designer for this procurement.(in thousands)
					Construction Contract Value (Original)	Construction Contract Value (Actual or Estimated)	
Name: Region 2, Project 2 Multiple Culvert Rehabilitation Design-Build Location: Lynchburg District	Name: DLB, Inc. John Ralston, PE Design-Build Project Manager PO Box 1239 Hillsville, VA 24343 276-728-2137	Name of Client.: VDOT Phone: 434-947-6559 Project Manager: Todd Bolling, PE Phone:434-856-8255 Email: todd.bolling@vdot.virginia.gov	2011	2011	\$2,850	\$2,850	\$500

h. Narrative describing the Work Performed by the Firm identified as the Lead Designer for this procurement. Include the office location(s) where the design work was performed and whether the firm was the prime designer or a subconsultant.

AMT served as Lead Designer for the Region 2, Project 2 Multiple Culvert Rehabilitation design-build contract in the Lynchburg District. AMT provided design, project management, survey, hydraulic & hydrologic design, roadway plans, traffic management plans, roadside development plans, and erosion and sediment control plans for 31 sites in the Lynchburg District. Work consisted of installing smooth walled steel liners (VDOT Method E) into culverts in poor condition throughout the district. AMT developed and has followed the Project QA/QC Plan, which outlined preliminary design reviews, QC reviews, QA reviews, and submittal processes for the project. AMT's David Covington, PE served as the Design Manager for the project and AMT's Preston Breeding, PE, CCM served as the Quality Assurance Manager (QAM).

Lessons Learned:

AMT has gained valuable experience in the role of Lead Designer for this project. AMT designers were active in the development of a QA/QC Plan for design, have actively participated in project scheduling, and have developed strong personal relationships with VDOT staff managing the project. AMT has learned to adapt its internal project management and invoicing techniques to match VDOT's "20/80" rule for design-build projects which has facilitated smooth reporting of progress and justification of monthly vouchers. AMT also developed an FTP site for sharing large volumes of information with VDOT staff, which streamlined plan review and submittal processes for the project.

Other Data: Design office location: Richmond, Verona, and Lebanon, VA
AMT was the lead designer.



ATTACHMENT 4.2.3(b)

LEAD DESIGNER - WORK HISTORY FORM

(LIMIT 1 PAGE PER PROJECT)

a. Project Name & Location	b. Name of the prime/ general contractor responsible for overall construction of the project.	c. Contact information of the Client and their Project Manager who can verify Firm's responsibilities.	d. Construction Contract Completion Date (Original)	e. Construction Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Design Fee for the Work Performed by the Firm identified as the Lead Designer for this procurement.(in thousands)
					Construction Contract Value (Original)	Construction Contract Value (Actual or Estimated)	
Name: Western Maryland Rails-to-Trails Hiker Biker Trail Location: Pearre, MD	Name: Mann's Construction, Inc.	Name of Client.: Maryland Department of General Services Phone: 410.767.4224 Project Manager: Larry Bricker Phone: 410.764.4379 Email: larry.bricker@dgs.state.md.us	2012	2012	\$4,700	\$4,700	\$320

h. Narrative describing the Work Performed by the Firm identified as the Lead Designer for this procurement. Include the office location(s) where the design work was performed and whether the firm was the prime designer or a subconsultant.

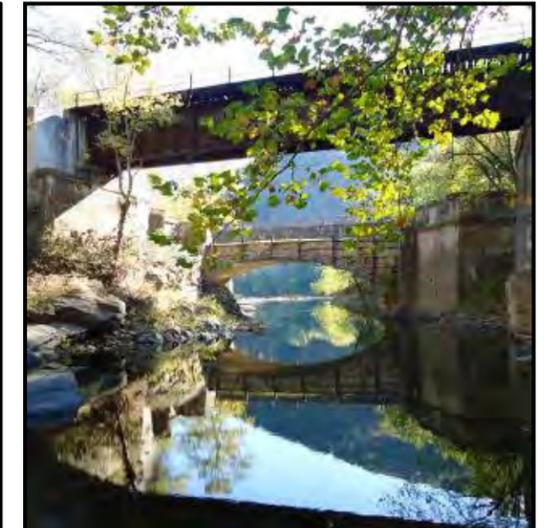
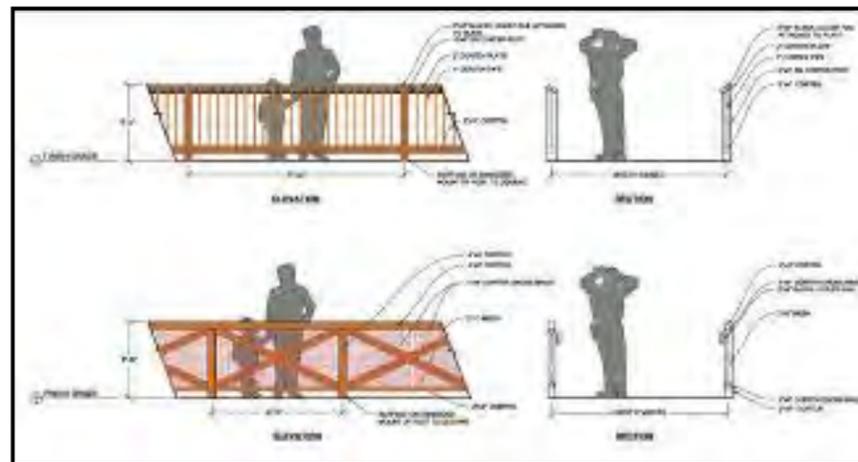
AMT provided trail planning, engineering, landscape architecture, and surveying services for this 4.5-mile long extension to the existing hiker biker trail system that will eventually extend into West Virginia. The project is a collaboration between the Maryland Department of General Services, National Park Service, and Department of Natural Resources. Partial Funding for the project was awarded as part of the Transportation Enhancement Fund.

Located in western Maryland, the existing trail is approximately 22.5 miles long. It starts just west of Fort Frederick at Big Pool and extends along the historic rail bed to a historic rail station location on the southern slope of Sidling Hill Ridge. This segment of the project will continue the trail through National Park Service lands to just past Little Orleans, Maryland.

The project design included a 10' wide paved bike/walk/run trail system with road crossings, interpretive sites, boardwalks, bridges and parking lots along with permitting for forest conservation, sediment and erosion control and environmental site design. Most of the trail alignment is located on the historic Western Maryland Rail track bed. At each end of Indigo Tunnel a bypass system has been designed and the trail alignment continues on the existing C&O Canal Toe Path. Each Bypass system has been designed to minimize cultural, visual and environmental impacts and meet accessibility guidelines.

The design has incorporated the redecking of the 160 foot long historic Sidling Creek train bridges. The new railing system for the bridge will be used as the standard for future phases of the trail system. In addition, two bat gates have been designed and constructed to close the historic Indigo Tunnel. The AMT team worked in conjunction with Bat Conservation International (BCI) to design and develop a gate system that is compatible with bat needs and appropriate with the historic context of the tunnel.

Other Data: Design office location: Rockville, MD.
AMT was the lead designer.



ATTACHMENT 4.2.3(b)

LEAD DESIGNER - WORK HISTORY FORM

(LIMIT 1 PAGE PER PROJECT)

a. Project Name & Location	b. Name of the prime/ general contractor responsible for overall construction of the project.	c. Contact information of the Client and their Project Manager who can verify Firm's responsibilities.	d. Construction Contract Completion Date (Original)	e. Construction Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Design Fee for the Work Performed by the Firm identified as the Lead Designer for this procurement.(in thousands)
					Construction Contract Value (Original)	Construction Contract Value (Actual or Estimated)	
Name: On-Call Trail Design Location: Fairfax, VA	Various / Multiple contracts and contractors	Name of Client.: Fairfax County Park Authority Phone: 703.324.8752 Project Manager: Eric Brunner Phone: 703.324.8732 Email: Eric.Brunner@fairfaxcounty.gov	2005	2005	\$2,400	\$2,400	\$235

h. Narrative describing the Work Performed by the Firm identified as the Lead Designer for this procurement. Include the office location(s) where the design work was performed and whether the firm was the prime designer or a subconsultant.

AMT provided design of trail facilities throughout Fairfax County including access for pedestrians and emergency vehicles and new stream crossings as well as the replacement of existing stream crossings. Services included design of asphalt trails, pedestrian bridges, and stream stabilization; wetland and forest stand evaluations; field reconnaissance and evaluation of project sites as well as preparation of topographic surveys and base maps; environmental evaluations; preparation of permit applications for various federal, state, and county permits; preparation of construction cost estimates; facilitation of community participation meetings; preparation of technical specifications and contract documents; assistance in bidding; and construction phase services. The trail systems extended through existing stream valleys, and required agency coordination including Virginia Department of Conservation and Recreation Resources, Virginia Marine Resources Commission, Northern Virginia Regional Park Authority, Virginia Department of Environmental Quality, Fairfax County Department of Environmental Management and Virginia Department of Transportation. AMT provided full project services including surveying, geotechnical investigations, wetland delineations, preparation of construction documents and construction phase services. VDOT enhancement funding was provided. Projects included:

- **Rocky Run Trail Access:** The project consisted of design of asphalt surfacing of an existing trail, which extends from Longwood Lane to the existing trail west of Poplar Tree Elementary School, to allow for emergency access, as well as the replacement of one bridge stream crossing and related trail facilities in the Rocky Run Stream Valley Park. Improvements included 6-foot wide asphalt on the existing trail connecting to Longwood Lane; one 45-foot long, 8-foot wide steel frame, wood deck bridge, and appropriate drainage improvements, vehicle barriers, and related trail and drainage details.
- **Valley Crest Park Fair-Weather Crossing and Trail:** This project consisted of replacement of an existing asphalt trail, concrete fair-weather crossing and related stream bank protection in the Holmes Run, Valley Crest Park. Improvements included the replacement of the existing poured-in-place concrete fair-weather stream crossing, the lowering of the existing asphalt trail approaches for a distance of 20' on each side of the fair-weather crossing, and the stabilization of the existing stream banks both downstream and upstream of the fair-weather crossing. Services included design, bid documents, reconnaissance and field investigation; preparation of base maps; preparation of construction cost estimates; and bidding and construction administration services.
- **Accotink Park Trail:** Design for 2,250' of 8' wide trail which connected to an existing trail system and linked pedestrian and bicycle users to adjacent facilities.



Other Data: Design office location: Chantilly, VA
AMT is the lead designer.

APPENDIX D

Appendix D – SCC Registration

SCC Registration for Each Business			
Registered Name	Registration No.	Type of Corporation	Status
Howard Brothers Contractor, Inc <i>Lead Contractor</i>	0306717-0	Stock Corporation	Active / Good Standing
A. Morton Thomas and Associates, Inc. <i>Lead Designer / Construction QA</i>	F049431-2	Stock Corporation	Active / Good Standing
Traffic Signals Plus, PLLC <i>Traffic Engineering, Lighting</i>	S299757-7	Limited Liability Company	Active / Good Standing
Froehling & Robertson, Inc. <i>QC Testing Services</i>	0027211-2	Stock Corporation	Active/ Good Standing
DMY, Inc. <i>Geotechnical Engineering</i>	0724389-2	Stock Corporation	Active/ Good Standing
Diversified Property Services, Inc. <i>Right-of-Way Acquisition</i>	F130410-6	Stock Corporation	Active / Good Standing
H&B Surveying and Mapping, LLC <i>Surveying Services</i>	S290560-4	Limited Liability Company	Active / Good Standing
Apex Companies, LLC <i>Environmental Engineering</i>	T030565-8	Limited Liability Company	Active / Good Standing
Milestone Consultant, Inc. <i>CPM Scheduling</i>	0501305-7	Stock Corporation	Active/ Good Standing



Commonwealth of Virginia
State Corporation Commission

Virg

CISM0180

CORPORATE DATA INQUIRY

04/10/12

13:39:53

CORP ID: 0306717 - 0 STATUS: 00 ACTIVE STATUS DATE: 07/17/87
 CORP NAME: HOWARD BROTHERS CONTRACTOR, INC.

DATE OF CERTIFICATE: 07/17/1987 PERIOD OF DURATION: INDUSTRY CODE: 00
 STATE OF INCORPORATION: VA VIRGINIA STOCK INDICATOR: S STOCK
 MERGER IND: CONVERSION/DOMESTICATION IND:
 GOOD STANDING IND: Y MONITOR INDICATOR:
 CHARTER FEE: MON NO: MON STATUS: MONITOR DTE:
 R/A NAME: M BRUCE STOKES

STREET: M BRUCE STOKES PC AR RTN MAIL:
 8401 PATTERSON AVE #106

CITY: RICHMOND STATE : VA ZIP: 23229
 R/A STATUS: 4 ATTORNEY EFF. DATE: 03/03/11 LOC : 143
 ACCEPTED AR#: 211 11 0449 DATE: 06/06/11 HENRICO COUNTY
 CURRENT AR#: 211 11 0449 DATE: 06/06/11 STATUS: A ASSESSMENT INDICATOR: 0

YEAR	FEES	PENALTY	INTEREST	TAXES	BALANCE	TOTAL SHARES
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 (Screen Id:/Corp_Data_Inquiry)



CISM0180

CORPORATE DATA INQUIRY

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CORP ID: F049431 - 2 STATUS: 00 ACTIVE STATUS DATE: 12/15/09
 CORP NAME: THOMAS & ASSOCIATES, INC., A. MORTON

DATE OF CERTIFICATE: 11/26/1997 PERIOD OF DURATION: INDUSTRY CODE: 00
 STATE OF INCORPORATION: MD MARYLAND STOCK INDICATOR: S STOCK
 MERGER IND: CONVERSION/DOMESTICATION IND:
 GOOD STANDING IND: Y MONITOR INDICATOR:
 CHARTER FEE: MON NO: MON STATUS: MONITOR DTE:
 R/A NAME: CT CORPORATION SYSTEM

STREET: 4701 COX RD STE 301 AR RTN MAIL:

CITY: GLEN ALLEN STATE : VA ZIP: 23060 6802
 R/A STATUS: 5 B.E. AUTH IN VI EFF. DATE: 01/05/04 LOC : 143
 ACCEPTED AR#: 211 18 3703 DATE: 10/27/11 HENRICO COUNTY
 CURRENT AR#: 211 18 3703 DATE: 10/27/11 STATUS: A ASSESSMENT INDICATOR: 0
 YEAR FEES PENALTY INTEREST TAXES BALANCE TOTAL SHARES
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(Screen Id:/Corp_Data_Inquiry)



04/10/12

LLCM3220

LLC DATA INQUIRY

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LLC NAME: Traffic Signals Plus, PLLC

DATE OF FILING: 08/03/2009 PERIOD OF DURATION: INDUSTRY CODE: 70

STATE OF FILING: VA VIRGINIA MERGER INDICATOR:

CONVERSION/DOMESTICATION INDICATOR:

P R I N C I P A L O F F I C E A D D R E S S

STREET: 621 FRENCHS STORE RD

CITY: CUMBERLAND STATE: VA ZIP: 23040-0000

R E G I S T E R E D A G E N T I N F O R M A T I O N

R/A NAME: VIRGINIA PROFESSIONAL SERVICES LLC

STREET: 3850 Gaskins Rd., Suite 120

RTN MAIL:

CITY: Richmond STATE: VA ZIP: 23233-0000

R/A STATUS: 5 ENTITY AUTHORIZ EFF DATE: 05/04/11 LOC: 143 HENRICO COUNTY

YEAR	FEES	PENALTY	INTEREST	BALANCE
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(Screen Id:/LLC_Data_Inquiry)



CISM0180

CORPORATE DATA INQUIRY

04/10/12

13:43:53

CORP ID: 0027211 - 2 STATUS: 00 ACTIVE STATUS DATE: 11/13/09
 CORP NAME: FROEHLING & ROBERTSON, INCORPORATED

DATE OF CERTIFICATE: 10/11/1924 PERIOD OF DURATION: INDUSTRY CODE: 00
 STATE OF INCORPORATION: VA VIRGINIA STOCK INDICATOR: S STOCK
 MERGER IND: CONVERSION/DOMESTICATION IND:
 GOOD STANDING IND: Y MONITOR INDICATOR:
 CHARTER FEE: 2480.00 MON NO: MON STATUS: MONITOR DTE:
 R/A NAME: WILLIAM H HOOFNAGLE III

STREET: 1900 ONE JAMES CENTER AR RTN MAIL:
 901 E CARY ST

CITY: RICHMOND STATE : VA ZIP: 23219

R/A STATUS: 4 ATTORNEY EFF. DATE: 09/21/11 LOC : 216

ACCEPTED AR#: 211 16 6326 DATE: 09/23/11 RICHMOND CITY

CURRENT AR#: 211 16 6326 DATE: 09/23/11 STATUS: A ASSESSMENT INDICATOR: 0

YEAR	FEES	PENALTY	INTEREST	TAXES	BALANCE	TOTAL SHARES
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(Screen Id:/Corp_Data_Inquiry)



CISM0180

CORPORATE DATA INQUIRY

04/10/12

13:44:26

CORP ID: 0724389 - 2 STATUS: 00 ACTIVE STATUS DATE: 06/14/10

CORP NAME: DMY Inc.

DATE OF CERTIFICATE: 06/14/2010 PERIOD OF DURATION: INDUSTRY CODE: 70
 STATE OF INCORPORATION: VA VIRGINIA STOCK INDICATOR: S STOCK
 MERGER IND: CONVERSION/DOMESTICATION IND:
 GOOD STANDING IND: Y MONITOR INDICATOR:
 CHARTER FEE: 50.00 MON NO: MON STATUS: MONITOR DTE:
 R/A NAME: JOHN Z DING

STREET: 14241 MIDLOTHIAN TPKE, SUITE 230 AR RTN MAIL:

CITY: MIDLOTHIAN STATE : VA ZIP: 23113
 R/A STATUS: 1 DIRECTOR EFF. DATE: 10/18/11 LOC : 120
 ACCEPTED AR#: 211 51 1321 DATE: 05/31/11 CHESTERFIELD CO
 CURRENT AR#: 211 51 1321 DATE: 05/31/11 STATUS: A ASSESSMENT INDICATOR: 0

YEAR	FEES	PENALTY	INTEREST	TAXES	BALANCE	TOTAL SHARES
12	100.00				100.00	100

(Screen Id:/Corp_Data_Inquiry)



Commonwealth of Virginia
State Corporation Commission

Virg

CISM0180

CORPORATE DATA INQUIRY

04/10/12

13:42:21

CORP ID: F130410 - 6 STATUS: 00 ACTIVE STATUS DATE: 07/01/09
 CORP NAME: **DIVERSIFIED PROPERTY SERVICES OF VIRGINIA, INC. (U
 SED IN VA BY: DIVERSIFIED PROPERTY SERVICES, INC.)**
 DATE OF CERTIFICATE: 08/05/1997 PERIOD OF DURATION: INDUSTRY CODE: 00
 STATE OF INCORPORATION: MD MARYLAND STOCK INDICATOR: S STOCK
 MERGER IND: CONVERSION/DOMESTICATION IND:
 GOOD STANDING IND: Y MONITOR INDICATOR:
 CHARTER FEE: 50.00 MON NO: MON STATUS: MONITOR DTE:
 R/A NAME: BRENDAN R HANTZES
 STREET: 3771 VERMACCHIA DR AR RTN MAIL:
 CITY: CHANTILLY STATE : VA ZIP: 20151
 R/A STATUS: 2 OFFICER EFF. DATE: 08/09/02 LOC : 129
 ACCEPTED AR#: 211 14 4054 DATE: 08/08/11 FAIRFAX COUNTY
 CURRENT AR#: 211 14 4054 DATE: 08/08/11 STATUS: A ASSESSMENT INDICATOR: 0
 YEAR FEES PENALTY INTEREST TAXES BALANCE TOTAL SHARES
 11 100.00 5,000

 (Screen Id:/Corp_Data_Inquiry)



04/10/12

LLCM3220

LLC DATA INQUIRY

13:52:26

LLC ID: T030565 - 8 STATUS: 00 ACTIVE STATUS DATE: 05/02/06

LLC NAME: Apex Companies, LLC

DATE OF FILING: 05/02/2006 PERIOD OF DURATION: 99/99/9999 INDUSTRY CODE: 00

STATE OF FILING: DE DELAWARE MERGER INDICATOR:

CONVERSION/DOMESTICATION INDICATOR:

P R I N C I P A L O F F I C E A D D R E S S

STREET: 15850 CRABBS BRACH WAY STE 200

CITY: ROCKVILLE STATE: MD ZIP: 20855-0000

R E G I S T E R E D A G E N T I N F O R M A T I O N

R/A NAME: REGISTERED AGENT SOLUTIONS, INC.

STREET: 7288 HANOVER GREEN DRIVE

RTN MAIL:

CITY: MECHANICSVILLE STATE: VA ZIP: 23111-0000

R/A STATUS: 5 ENTITY AUTHORIZ EFF DATE: 03/31/10 LOC: 142 HANOVER COUNTY

YEAR	FEES	PENALTY	INTEREST	BALANCE
12	50.00			

(Screen Id:/LLC_Data_Inquiry)



CISM0180

CORPORATE DATA INQUIRY

04/13/12

14:44:31

CORP ID: 0501305 - 7 STATUS: 00 ACTIVE STATUS DATE: 04/09/98
 CORP NAME: MILESTONE CONSULTANTS, INC.

DATE OF CERTIFICATE: 04/09/1998 PERIOD OF DURATION: INDUSTRY CODE: 00
 STATE OF INCORPORATION: VA VIRGINIA STOCK INDICATOR: S STOCK
 MERGER IND: CONVERSION/DOMESTICATION IND:
 GOOD STANDING IND: Y MONITOR INDICATOR:
 CHARTER FEE: 50.00 MON NO: MON STATUS: MONITOR DTE:
 R/A NAME: RICHARD L OTT

STREET: 1913 HUGUENOT RD STE 201 AR RTN MAIL:

CITY: RICHMOND STATE : VA ZIP: 23235
 R/A STATUS: 2 OFFICER EFF. DATE: 01/27/01 LOC : 120
 ACCEPTED AR#: 212 06 5210 DATE: 03/27/12 CHESTERFIELD CO
 CURRENT AR#: 212 06 5210 DATE: 03/27/12 STATUS: A ASSESSMENT INDICATOR: 0
 YEAR FEES PENALTY INTEREST TAXES BALANCE TOTAL SHARES
 12 100.00 5,000

(Screen Id:/Corp_Data_Inquiry)

Appendix D – DPOR Registration for Offices

DPOR Registration for Offices				
Business Name	Address	Registration Type	Registration Number	Expiration Date
Howard Brothers Contractor, Inc <i>Lead Contractor</i>	PO Box 96 Providence Forge, VA 23140	Class A Contractor	2701039212	09/30/2013
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	10710 Midlothian Turnpike, Suite 202 Richmond, VA 23235	ENG LA LS	0411 000587	02/29/2014
A. Morton Thomas and Associates, Inc. <i>Home Office Registration</i>	12750 Twinbrook Pkwy Rockville, MD 20852	ENG LA LS	0407 003077	12/31/2013
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	135 Highland Drive, PO Box 3008 Lebanon, VA 24266	ENG	0411 000588	02/29/2014
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	One Jadip Lane, Suite 111 Fredericksburg, VA 22405	ENG LS	0411 000758	02/29/2014
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	14900 Conference Center Dr., Suite 180 Chantilly, VA 20151	ENG LA LS	0411 000586	02/29/2014
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	113 Mill Place Parkway Verona, VA 24482	ENG	0411 000589	02/29/2014
A. Morton Thomas and Associates, Inc <i>Branch Office Registration</i>	1530 Breezeport Way, Bldg 4, Suite 300 Suffolk, VA 23435	ENG LA	0411 000693	02/29/2014
Traffic Signals Plus, PLLC <i>Home Office Registration</i>	621 French's Store Rd. Cumberland, VA 23040	ENG	0413 000317	12/31/2013
Froehling & Robertson, Inc. <i>Home Office Registration</i>	3015 Dumbarton Road Richmond, VA 23228	ENG	0407 000098	12/31/2013
Froehling & Robertson, Inc. <i>Branch Office Registration</i>	22923 Quicksilver Dr, Suite 111 Sterling, VA 20166	ENG	0411 000051	02/29/2014
Froehling & Robertson, Inc. <i>Branch Office Registration</i>	1734 Seibel Drive N.E. Roanoke, VA 24012	ENG	0411 000053	02/29/2014
Froehling & Robertson, Inc. <i>Branch Office Registration</i>	10909 Houser Drive Fredericksburg VA 22408	ENG	0411 000050	02/29/2014
Froehling & Robertson, Inc. <i>Branch Office Registration</i>	W Greenbrier Commerce Park 833 Professional Place Chesapeake, VA 23320	ENG	0411 000049	02/29/2014
Froehling & Robertson, Inc. <i>Branch Office Registration</i>	6181 Rockfish Gap Turnpike Crozet, VA 22932	ENG	0411 000052	02/29/2014
DMY, Inc. <i>Home Office Registration</i>	14241 Midlothian Turnpike, Suite 230 Midlothian, VA 23113	ENG	0405 001794	12/31/2013
H&B Surveying and Mapping, LLC <i>Home Office Registration</i>	201 W. 7 th Street, 2 nd Floor Richmond, VA 23224	LS	0407005432	12/31/2013
Apex Companies, LLC <i>Home Office Registration</i>	15850 Crabbs Branch Way, Suite 200 Rockville, MD 20855	ENG	0407004688	12/31/2013
Milestone Consultants, Inc. <i>Home Office Registration</i>	1913 Huguenot Road, Suite 201 Richmond, VA 23235	ENG	0407 005159	12/31/2013


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Contractor Business License

Contractor Business License

BUSINESS NAME: HOWARD BROTHERS
CONTRACTOR INC

TRADING NAME:

ADDRESS: PO BOX 96
PROVIDENCE FORGE, VA
23140-0000

BUSINESS TYPE: CORPORATION

CLASS OF LICENSE:

[Click here for Class of License Definitions](#)

A

CLASSIFICATIONS/SPECIALTIES:

[Click here for Classification Definitions](#)

[Click here for Specialty Definitions](#)

HIGHWAY / HEAVY

REGISTRATION NO: 2701039212

INITIAL CERTIFICATION DATE: SEPTEMBER 27, 1991

EXPIRATION DATE: SEPTEMBER 30, 2013

Open Complaints: None

"Open Complaints" reflect only those complaints for which a departmental investigation has determined that sufficient evidence exists to establish probable cause of a violation of the law or regulations. Only those cases that have proceeded through an investigation to the adjudication stage are displayed.

State law prohibits the disclosure of any information about open complaints[\[Code of Virginia Section 54.1-108\]](#). Members of the public may review official records and obtain copies only after a complaint investigation is closed.

Closed Complaints: None

DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA

EXPIRES ON
02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER
0411000587

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: ENG, LA, LS

A MORTON THOMAS AND ASSOCIATES INC
10710 MIDLOTHIAN TNPK STE 202
RICHMOND, VA 23235



Gordon N. Dixon
Gordon N. Dixon, Director

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(POCKET CARD)

COMMONWEALTH OF VIRGINIA
BOARD FOR APPLSCIDLA
BUSINESS ENTITY BRANCH OFFICE REGISTRATION
NUMBER: 0411000587 EXPIRES: 02-28-2014
PROFESSIONS: ENG, LA, LS
A MORTON THOMAS AND ASSOCIATES INC
10710 MIDLOTHIAN TNPK STE 202
RICHMOND, VA 23235



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COMMONWEALTH OF VIRGINIA

EXPIRES ON
12-31-2013

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER
0407003077

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY REGISTRATION

PROFESSIONS: ENG, LS, LA

A MORTON THOMAS AND ASSOCIATES INC
12750 TWINBROOK PARKWAY
ROCKVILLE, MD 20852



Gordon N. Dixon
Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA
BOARD FOR APELSCIDLA
BUSINESS ENTITY REGISTRATION
NUMBER: 0407003077 EXPIRES: 12-31-2013
PROFESSIONS: ENG, LS, LA
A MORTON THOMAS AND ASSOCIATES INC
12750 TWINBROOK PARKWAY
ROCKVILLE, MD 20852



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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
9960 Mayland Dr., Suite 400, Richmond, VA 23233

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**DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA**

EXPIRES ON

02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER

0411000588

**BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY BRANCH OFFICE REGISTRATION**

PROFESSIONS: ENG

A MORTON THOMAS AND ASSOCIATES INC
P.O.BOX 3008
LEBANON, VA 24266



Gordon N. Dixon
Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APESCIDLA
BUSINESS ENTITY BRANCH OFFICE REGISTRATION
NUMBER: 0411000588 EXPIRES: 02-28-2014
PROFESSIONS: ENG
A MORTON THOMAS AND ASSOCIATES INC
P.O.BOX 3008
LEBANON, VA 24266



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COMMONWEALTH OF VIRGINIA

EXPIRES ON

02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233

Telephone: (804) 367-8500

NUMBER

0411000758

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: ENG, LS

A MORTON THOMAS AND ASSOCIATES INC
ONE JADIP LANE
SUITE 111
FREDERICKSBURG, VA 22405



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Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APPLSCIDLA
BUSINESS ENTITY BRANCH OFFICE REGISTRATION
NUMBER: 0411000758 EXPIRES: 02-28-2014
PROFESSIONS: ENG, LS
A MORTON THOMAS AND ASSOCIATES INC
ONE JADIP LANE
SUITE 111
FREDERICKSBURG, VA 22405



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COMMONWEALTH OF VIRGINIA

EXPIRES ON

02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER

0411000586

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: LA, LS, ENG

A MORTON THOMAS AND ASSOCIATES INC
14900 CONFERENCE CENTER DR STE 180
CHANTILLY, VA 20151



Gordon N. Dixon
Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APESCIDLA
BUSINESS ENTITY BRANCH OFFICE REGISTRATION
NUMBER: 0411000586 EXPIRES: 02-28-2014
PROFESSIONS: LA, LS, ENG
A MORTON THOMAS AND ASSOCIATES INC
14900 CONFERENCE CENTER DR STE 180
CHANTILLY, VA 20151



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COMMONWEALTH OF VIRGINIA

EXPIRES ON
02-28-2014

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Telephone: (804) 367-8500

NUMBER
0411000589

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: ENG

A MORTON THOMAS AND ASSOCIATES INC
113 MILL PLACE PKWY
UNIT 107
VERONA, VA 24482



Gordon N. Dixon
Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

EXPIRES ON
02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER
0411000693

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: LA, ENG

A MORTON THOMAS AND ASSOCIATES INC
1530 BREEZEPORT WAY, BUILDING 4
SUITE 300
SUFFOLK, VA 23435



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Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APELSCIDLA
BUSINESS ENTITY BRANCH OFFICE REGISTRATION
NUMBER: 0411000693 EXPIRES: 02-28-2014
PROFESSIONS: LA, ENG
A MORTON THOMAS AND ASSOCIATES INC
1530 BREEZEPORT WAY, BUILDING 4
SUITE 300
SUFFOLK, VA 23435



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COMMONWEALTH OF VIRGINIA

EXPIRES ON

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9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER

0413000317

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
PROFESSIONAL LIMITED LIABILITY COMPANY

PROFESSIONS: ENG

TRAFFIC SIGNALS PLUS PLLC
621 FRENCH'S STORE RD
CUMBERLAND, VA 23040



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Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APESCIDLA
PROFESSIONAL LIMITED LIABILITY COMPANY
NUMBER: 0413000317 EXPIRES: 12-31-2013
PROFESSIONS: ENG
TRAFFIC SIGNALS PLUS PLLC
621 FRENCH'S STORE RD
CUMBERLAND, VA 23040



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COMMONWEALTH OF VIRGINIA

EXPIRES ON
12-31-2013

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER
0407000098

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY REGISTRATION

PROFESSIONS: ENG

FROEHLING & ROBERTSON, INC
3015 DUMBARTON ROAD
RICHMOND, VA 23228



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Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APESCIDLA
BUSINESS ENTITY REGISTRATION
NUMBER: 0407000098 EXPIRES: 12-31-2013
PROFESSIONS: ENG
FROEHLING & ROBERTSON, INC
3015 DUMBARTON ROAD
RICHMOND, VA 23228



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COMMONWEALTH OF VIRGINIA

EXPIRES ON
02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER
0411000051

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: ENG

FROEHLING & ROBERTSON, INC
22923 QUICKSILVER DR STE 111
STERLING, VA 20166



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Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APESCIDLA
BUSINESS ENTITY BRANCH OFFICE REGISTRATION
NUMBER: 0411000051 EXPIRES: 02-28-2014
PROFESSIONS: ENG
FROEHLING & ROBERTSON, INC
22923 QUICKSILVER DR STE 111
STERLING, VA 20166



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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
9960 Mayland Dr., Suite 400, Richmond, VA 23233

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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA

EXPIRES ON

02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER

0411000053

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: ENG

FROEHLING ROBERTSON INC
1734 SEIBEL DR N E
ROANOKE, VA 24012



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Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
9960 Mayland Dr., Suite 400, Richmond, VA 23233

BOARD FOR APELSCIDLA
BUSINESS ENTITY BRANCH OFFICE REGISTRATION
NUMBER: 0411000053 EXPIRES: 02-28-2014
PROFESSIONS: ENG
FROEHLING ROBERTSON INC
1734 SEIBEL DR N E
ROANOKE, VA 24012



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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA

EXPIRES ON

02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER

0411000050

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: ENG

FROEHLING & ROBERTSON, INC
10909 HOUSER DR
FREDERICKSBURG, VA 22408



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Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APESCIDLA
BUSINESS ENTITY BRANCH OFFICE REGISTRATION
NUMBER: 0411000050 EXPIRES: 02-28-2014
PROFESSIONS: ENG
FROEHLING & ROBERTSON, INC
10909 HOUSER DR
FREDERICKSBURG, VA 22408



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9960 Mayland Dr., Suite 400, Richmond, VA 23233

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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA

EXPIRES ON
02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER
0411000049

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS

BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: ENG

FROEHLING & ROBERTSON, INC
W GREENBRIER COMMERCE PARK
833 PROFESSIONAL PLACE
CHESAPEAKE, VA 23320



Gordon N. Dixon
Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APPLSCIDLA
BUSINESS ENTITY BRANCH OFFICE REGISTRATION
NUMBER: 0411000049 EXPIRES: 02-28-2014
PROFESSIONS: ENG
FROEHLING & ROBERTSON, INC
W GREENBRIER COMMERCE PARK
833 PROFESSIONAL PLACE
CHESAPEAKE, VA 23320



FOR

(DETACH HERE)

DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
9960 Mayland Dr., Suite 400, Richmond, VA 23233

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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA

EXPIRES ON
02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER
0411000052

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: ENG

FROEHLING & ROBERTSON, INC
6181 ROCKFISH GAP TURNPIKE
CROZET, VA 22932



Gordon N. Dixon
Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APELSCIDLA
BUSINESS ENTITY BRANCH OFFICE REGISTRATION
NUMBER: 0411000052 EXPIRES: 02-28-2014
PROFESSIONS: ENG
FROEHLING & ROBERTSON, INC
6181 ROCKFISH GAP TURNPIKE
CROZET, VA 22932



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9960 Mayland Dr., Suite 400, Richmond, VA 23233

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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA

EXPIRES ON
12-31-2013

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER
0405001794

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
PROFESSIONAL CORPORATION REGISTRATION

PROFESSIONS: ENG

DMY INC
14241 MIDLOTHIAN TNPK
SUITE 230
MIDLOTHIAN, VA 23113



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Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

EXPIRES ON
12-31-2013

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER
0407005432

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY REGISTRATION

PROFESSIONS: LS

H & B SURVEYING & MAPPING LLC
201 W. 7TH ST., 2ND FLOOR
RICHMOND, VA 23224



Gordon N. Dixon
Gordon N. Dixon, Director

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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA

EXPIRES ON
12-31-2013

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER
0407004688

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY REGISTRATION

PROFESSIONS: ENG

APEX COMPANIES LLC
15850 CRABBS BRANCH WAY
STE 200
ROCKVILLE, MD 20855



Gordon N. Dixon
Gordon N. Dixon, Director

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(POCKET CARD)

COMMONWEALTH OF VIRGINIA

BOARD FOR AP/ELSC/DLA
BUSINESS ENTITY REGISTRATION
NUMBER: 0407004688 EXPIRES: 12-31-2013
PROFESSIONS: ENG
APEX COMPANIES LLC
15850 CRABBS BRANCH WAY
STE 200
ROCKVILLE, MD 20855



(DETACH HERE)

DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
9960 Mayland Dr., Suite 400, Richmond, VA 23233

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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA

EXPIRES ON
12-31-2013

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER
0407005159

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
BUSINESS ENTITY REGISTRATION

PROFESSIONS: ENG

MILESTONE CONSULTANTS INC
1913 HUGUENOT RD STE 201
RICHMOND, VA 23235



Gordon N. Dixon
Gordon N. Dixon, Director

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(POCKET CARD)

COMMONWEALTH OF VIRGINIA

BOARD FOR APELSCIDLA
BUSINESS ENTITY REGISTRATION
NUMBER: 0407005159 EXPIRES: 12-31-2013
PROFESSIONS: ENG
MILESTONE CONSULTANTS INC
1913 HUGUENOT RD STE 201
RICHMOND, VA 23235



(FOLD)

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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
9960 Mayland Dr., Suite 400, Richmond, VA 23233

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Appendix D – DPOR Registration for Key Personnel

DPOR Registration for Key Personnel					
Registered Name	Address	Reg. Type	Registration Number	Expiration Date	Practicing Office
David Covington, PE <i>Design Manager</i>	113 Mill Place Pkwy, Unit 107 Verona, VA 24482	PE	0402 038235	06/30/2013	Verona, VA
Darin Simpson, PE <i>Quality Assurance Manager</i>	5518 Hill Top Street Crozet, VA 22932	PE	0402 042310	05/31/2012	Verona, VA

DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA

EXPIRES ON

06-30-2013

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

NUMBER

0402038235

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
PROFESSIONAL ENGINEER LICENSE

DAVID WALTER COVINGTON
A.MORTON THOMAS & ASSOCIATES,INC
113 MILL PLACE PARKWAY
UNIT 107
VERONA, VA 24482



Gordon N. Dixon
Gordon N. Dixon, Director

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**DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA**

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

EXPIRES ON
05-31-2012

NUMBER
0402042310

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS
AND LANDSCAPE ARCHITECTS
PROFESSIONAL ENGINEER LICENSE

EMMETT DARIN SIMPSON
5518 HILL TOP STREET
CROZET, VA 22932



Gordon N. Dixon
Gordon N. Dixon, Director

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(SEE REVERSE SIDE FOR NAME AND/OR ADDRESS CHANGE)

(POCKET CARD)

COMMONWEALTH OF VIRGINIA

BOARD FOR ARCHITECTS,
PROFESSIONAL ENGINEER LICENSE
NUMBER: 0402042310 EXPIRES: 05-31-2012

EMMETT DARIN SIMPSON
5518 HILL TOP STREET
CROZET, VA 22932



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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
9960 Mayland Dr., Suite 400, Richmond, VA 23233

(DETACH HERE)

(FOLD)

Appendix D – DPOR Registration for Other Professional Services

DPOR Registration for Other Professional Services				
Registered Name	Address	Registration Number	Expiration Date	Practicing Office
Diversified Property Services of VA, Inc <i>Real Estate Appraiser Business</i>	20 E. Timonium Road, Suite 111 Timonium, MD 21093	4008 001190	11/30/2012	Timonium, MD

DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
COMMONWEALTH OF VIRGINIA

9960 Mayland Dr., Suite 400, Richmond, VA 23233
Telephone: (804) 367-8500

EXPIRES ON

11-30-2012

NUMBER

4008 001190

REAL ESTATE APPRAISER BOARD
BUSINESS REGISTRATION

DIVERSIFIED PROPERTY SERVICES OF VIRGINIA INC
20 E TIMONIUM ROAD SUITE 111
TIMONIUM MD 21093 0000



Gordon N. Dixon
Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

REAL ESTATE APPRAISER BOARD
BUSINESS REGISTRATION

NUMBER: 4008 001190 EXPIRES: 11-30-2012

DIVERSIFIED PROPERTY SERVICES OF VIRGINIA INC

20 E TIMONIUM ROAD SUITE 111

TIMONIUM MD 21093 0000



DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION
9960 Mayland Dr., Suite 400, Richmond, VA 23233

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(8/08)

VIRGINIA CAPITAL TRAIL NEW MARKET HEIGHTS PHASE

HENRICO COUNTY & CHARLES CITY COUNTY, VIRGINIA



VIRGINIA CAPITAL TRAIL, CHARLES CITY PHASE



VIRGINIA CAPITAL TRAIL, CHICKAHOMNY BRIDGE



PRICE PROPOSAL

APRIL 25, 2012

HOWARD BROTHERS CONTRACTOR, INC | A. MORTON THOMAS AND ASSOCIATES, INC.



Howard Brothers Contractor, Inc. (Howard Brothers) is pleased to submit this Price Proposal in response to the Virginia Department of Transportation's (VDOT's) RFP for the Virginia Capital Trail – New Market Heights Phase design-build project in Henrico and Charles City counties. The required Price Proposal Checklist, Attachment 4.0.1.2, is included as page 2 of our submission.

Project No. 0005-964-562, P101, R201, C501, B602-B607, C502
Virginia Capital Trail – New Market Heights Phase
Federal Project No: OC-5127 (789), ARRA – FS09 (048)
Contract ID Number: C00086279DB51

Section 4.3.1: Proposal in Words

The price proposal form, Attachment 4.3.1, is included in Appendix A.

Section 4.3.2: Adjustments to Asphalt, Asphalt Concrete, Fuel and Steel

The adjustment forms, for Asphalt, Asphalt Concrete, Fuel and Steel are located in Appendix B.

Section 4.3.3: Proposal Guaranty

The Proposal Guaranty form, C-24, is located in Appendix C.

Section 4.3.4: Sworn Statement Forms

The Sworn Statement forms, C-104, C-105, are located in Appendix D.

Section 4.3.5: DBE Requirements

Forms C-111 and C-112 are included in Appendix E. Howard Brothers has attained 21% DBE participation for this proposal.

ATTACHMENT 4.0.1.2

PRICE PROPOSAL CHECKLIST AND CONTENTS
 (Revised April 13, 2012)

Price Proposal Component	Form (if any)	RFP Part 1 Cross reference	Price Proposal Page Reference
Price Proposal Checklist and Contents	Attachment 4.0.1.2	Section 4.0.1	2
Price Proposal	N/A	Section 4.3	1
Price Proposal Form	Attachment 4.3.1	Section 4.3.1	Appendix A
Adjustment for Asphalt Form	Part 3 Exhibit 6.3(a)	Section 4.3.2	Appendix B
Adjustment for Asphalt Concrete Form	Part 3 Exhibit 6.3(b)	Section 4.3.2	Appendix B
Adjustment for Fuel Form	Part 3 Exhibit 6.3(c)	Section 4.3.2	Appendix B
Adjustment for Steel Form	Part 3 Exhibit 6.3(d)	Section 4.3.2	Appendix B
Proposal Guaranty	C-24	Section 4.3.3	Appendix C
Sworn Statement Forms	C-104, C-105	Section 4.3.4	Appendix D
Minimum DBE Requirements Form	C -111	Section 4.3.5	Appendix E
DBE Good Faith Effort Documentation Form, If applicable	C - 49	Section 4.3.5	N/A
Certification of Binding Agreement Form	C - 112	Section 4.3.5	Appendix E

APPENDIX A

ATTACHMENT 4.3.1
PRICE PROPOSAL FORM

4.3.1 Offeror shall specify, the following pricing information, in both numbers and words:

Proposal Price;

Total Lump Sum Eight Million Nine Hundred Ninety Six
Thousand Eighteen @ $\frac{43}{100}$ — (\$ 8,996,018 $\frac{43}{100}$)

Date: 4-25-12

Signature: 

Design-Builder: Howard Brothers Const. Inc.

Vendor No.: H301

APPENDIX B

**EXHIBIT 6.3(a)
ADJUSTMENT FOR ASPHALT**

**SPECIAL PROVISION FOR
ASPHALT MATERIAL PRICE ADJUSTMENT
DESIGN-BUILD PROJECTS**

June 30, 2011

In the event the Design-Builder elects to seek adjustment for asphalt items designated in the Price Proposal\Contract as Price Adjustment Items such items will be subject to price adjustment as set forth herein. Other items will not be adjusted, except as otherwise specified in the contract. If new pay items which contain Asphalt Material are established by Work Order, they will not be subject to Price Adjustment unless specifically designated in the Work Order to be subject to Price Adjustment.

Each month, the Department will publish an average state-wide PG 64-22 f.o.b. price per ton developed from the average terminal prices provided to the Department from suppliers of asphalt cement to contractors doing work in Virginia. The Department will collect terminal prices from approximately 12 terminals each month. These prices will be received once each month from suppliers on or about the last weekday of the month. The high and low prices will be eliminated and the remaining values averaged to establish the average statewide price for the following month. That monthly state-wide average price will be posted on the Scheduling and Contract Division website on or about the first weekday of the following month.

This monthly statewide average price will be the Base Index for all contracts on which bids are received during the calendar month of its posting and will be the Current Index for all asphalt placed during the calendar month of its posting. In the event an index changes radically from the apparent trend, as determined by the Engineer, the Department may establish an index which is determined to best reflect the trend.

The amount of adjustment applied will be based on the difference between the Price Proposal\Contract Base Index and the Current Index for the applicable calendar month during which the work is performed. Adjustment of any asphalt material item designated as a price adjustment item which does not contain PG 64-22, except PG 76-22, will be based on the indexes for PG 64-22. The quantity of asphalt cement for asphalt concrete pavement to which adjustment will be applied will be the quantity based on the percent of asphalt cement shown on the appropriate approved job mix formula.

The quantity of asphalt emulsion for surface treatments to which adjustment will be applied will be the quantity based on 65 percent residual asphalt.

Price adjustment will be shown as a separate entry on the monthly application of payment for work packages completed; however, such adjustment will not be included in the total cost of the work for progress determination or for extension of contract time.

In order to be eligible for asphalt price adjustment under this provision, the Design-Builder shall clearly identify in its Price Proposal those pay items and the associated quantities it chooses to have asphalt price adjustment applied to in its work packages. Items the Design-Builder claims in its application of payment for asphalt adjustments must be properly designated in order to be considered for adjustment. Items not properly designated or left out of the Design-Builder's Price Proposal will automatically not be considered for adjustment.

Any apparent attempt to unbalance bids in favor of items subject to price adjustment or failure to submit required cost and price data as noted hereinbefore may result in rejection of items for asphalt adjustment.

I elect to use this provision

I elect not to use this provision

Date: 4/25/12

Signature: [Signature]

Design-builder: Howard Bros. Cont. Inc.

Vendor No.: H301

EXHIBIT 6.3(b)

Form C-16a
7-13-05

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
PRICE ADJUSTMENT

INSTRUCTIONS - This form is to be completed and returned ONLY when asphalt concrete items are designated for price adjustment on the bid price sheets.

PROJECT NUMBER: 0005-964-562, P101, R201, C501, B602-B607
0005-964-562, C502

DISTRICT: Richmond

Bid Prices in this contract for items containing PG 64-22 asphalt cement were developed using an f.o.b. price of \$ _____ per IMPERIAL ton for PG 64-22. This quote will be averaged into the monthly price index.

Bid Prices in this contract for items containing PG 76-22 asphalt cement were developed using an f.o.b. price of \$ _____ per IMPERIAL ton for PG 76-22. This quote is project specific.
(When required by provisions)

Price quotes signed by each supplier from which the Design-Builder proposes to obtain PG 64-22 or PG 76-22 shall be maintained by the successful bidder. These quotes shall be retained on site during the life of the Contract for review by the Engineer upon request.

- I elect to use this provision
 I elect not to use this provision

DATE: 4/25/12

SIGNATURE: Samuel E. Jones

Howard Brothers Cont. Inc.
(Firm or Corporation)

H301
(Vendor No.)

**EXHIBIT 6.3 (c)
ADJUSTMENT FOR FUEL**

**VIRGINIA DEPARTMENT OF TRANSPORTATION
SPECIAL PROVISION FOR
OPTIONAL ADJUSTMENT FOR FUEL
DESIGN-BUILD PROJECTS**

June 30, 2011

In the event the Design-Builder elects to seek adjustment for fuel items designated in the Price Proposal/Contract as Price Adjustment Items such items will be subject to price adjustment as set forth herein. Other items will not be adjusted, except as otherwise specified in the contract.

The Design-Builder will submit their monthly application for payment associated with eligible work packages with an adjustment up or down as appropriate for cost changes in fuel used on specific items of work identified in this provision. A master listing of standard items eligible for fuel adjustment is provided by the Department on its website at the following link <http://www.virginiadot.org/business/resources/masteroptionalfuelitems.pdf>. The listing on the web site also includes the corresponding fuel factor for each item. The fuel usage factor for each item is considered inclusive of all fuel usage.

The amount of adjustment will be computed from the change in the indexes and the on-site fuel use as shown in the Department's master listing of eligible items.

In order to be eligible for fuel adjustment under this provision, the Design-Builder shall clearly identify in its Price Proposal those pay items and the associated quantities it chooses to have fuel adjustment applied to in its work packages. Items the Design-Builder claims in its application of payment for fuel adjustments must be properly designated in order to be considered for adjustment. Items not properly designated or left out of the Design-Builder's Price Proposal will automatically not be considered for adjustment.

The monthly index price to be used in the administration of this provision will be calculated by the Department from the Diesel fuel prices published by the U. S. Department of Energy, Energy Information Administration on highway diesel prices, for the Lower Atlantic region. The monthly index price will be the price for diesel fuel calculated by averaging each of the weekly posted prices for that particular month.

For the purposes of this provision, the base index price will be calculated using the data from the month preceding the receipt of bids. The base index price will be posted by the Department at the beginning of the month for all bids received during that month.

The current index price will be posted by the Department and will be calculated using the data from the month preceding the particular estimate being vouchered for payment.

The current monthly quantity for eligible items of work selected by the Design-Builder for fuel adjustment in its work packages will be multiplied by the appropriate fuel factor to determine the gallons of fuel to be cost adjusted. The amount of adjustment per gallon will be the net difference between the current index price and the base index price. Computation for adjustment will be made as follows:

$$S = (E - B) QF$$

Where; S = Monetary amount of the adjustment (plus or minus)
B = Base index price
E = Current index price

Q = Quantity of individual units of work
F = Appropriate fuel factor

Adjustments will not be made for work performed beyond the original contract time limit unless the original time limit has been changed by an executed Work Order.

If new pay items are added to this contract by Work Order and they are listed in the Department's master listing of eligible items, the Work Order must indicate which of these individual items will be fuel adjusted; otherwise, those items will not be fuel adjusted. If applicable, designating which new pay items will be added for fuel adjustment must be determined during development of the Work Order and clearly shown on the Work Order form. The Base Index price on any new eligible pay items added by Work Order will be the Base Index price posted for the month in which bids were received for that particular project. The Current Index price for any new eligible pay items added by Work Order will be the Index price posted for the month preceding the estimate on which the Work Order is paid.

When quantities differ between the last monthly application of payment prepared upon final acceptance and the final application of payment, adjustment will be made using the appropriate current index for the period in which that specific item of work was last performed.

In the event any of the base fuel prices in this contract increase more than 100 percent (i.e. fuel prices double), the Department will review each affected item of work and give the Design-Builder written notice if work is to stop on any affected item of work. The Department reserves the right to reduce, eliminate or renegotiate the price for remaining portions of affected items of work.

Any amounts resulting from fuel adjustment will not be included in the total cost of work for determination of progress or for extension of contract time.

I elect to use this provision

I elect not to use this provision

Date: 4/25/12

Signature: [Handwritten Signature]

Design-builder: Howard Bros Cont Lnc.

Vendor No.: H 301

**EXHIBIT 6.3(d)
ADJUSTMENT FOR STEEL**

**VIRGINIA DEPARTMENT OF TRANSPORTATION
SPECIAL PROVISION FOR
PRICE ADJUSTMENT FOR STEEL
DESIGN-BUILD PROJECTS**

June 30, 2011

In the event the Design-Builder elects to seek adjustment for steel items designated in the Price Proposal/Contract as Price Adjustment Items such items will be subject to price adjustment as set forth herein. If new pay items which involve steel are established by Work Order, they will not be subject to Price Adjustment unless specifically designated in the Work Order to be subject to Price Adjustment.

The Design-Builder will submit their monthly application for payment associated with eligible work packages with an adjustment up or down as appropriate for cost changes in steel used on specific items of work identified in the Price Proposal/contract in accordance with this provision. Provided at the end of this provision is a master listing of standard bid items the Department has determined are eligible for steel price adjustment. Inventoried materials from the listing of eligible items are specifically excluded for consideration. In addition, concrete items where reinforcing steel is normally included in the unit bid price for the item such as (but not limited to) drop inlets, median barriers, sound barrier walls, bridge railing and parapets, are not eligible for consideration under this provision.

The requirements of this provision shall apply only to material cost changes that occur between the date of the opening of the Price Proposal and the date the material is shipped to the fabricator. To be eligible for this price adjustment, Design-Builder is required to fill out the accompanying Form for Price Adjustment for Eligible Steel Items on Design-Build Projects and submit the same with its Price Proposal for the Project. By signing the Form and submitting it with its Price Proposal Design-Builder declares its intention to participate in the price adjustment in its contract with the Department. For the purposes of this provision, the prices listed on the Form for Price Adjustment for Eligible Steel Items on Design-Build projects are fixed for cost and adjustment calculations regardless of quantities incorporated into final design. Further, in order for steel items to be eligible for adjustment, once shipped to the fabricator, the items shall be specifically stored, labeled, or tagged, recognizable by color marking, and identifiable by project for inspection and audit verification.

Design-Builder shall upon request furnish documentation supporting the price per pound for eligible steel items as shown on the Form for Price Adjustment for Eligible Steel Items on Design-Build Projects furnished with its Price Proposal. Design-Builder must use the format as shown with this Form; no other format for presenting this information will be permitted. Design-Builder shall certify that all items of documentation are original and were used in the computation of the price per pound amount for the represented eligible pay items for the month the Price Proposal was opened. This documentation shall support the base line material price ("Base Price") of the steel item only. Base price per pound shall not include the following cost components: fabrication, shipping, storage, handling, and erection.

Failure to submit all documentation required or requested supporting the per pound prices on eligible steel items will result in Design-Builder being ineligible for a price adjustment of any or all steel items.

Price adjustment of each qualifying item under consideration will be subject to the following condition:

There is an increase or decrease in the cost of eligible steel materials in excess of 10 percent up to a maximum of 60 percent from the Base Price when compared with the latest published price index ("Price Index") in effect at the time material is shipped to the fabricator.

The Price Index the Department is using is based on The U.S. Department of Labor, Bureau of Labor Statistics, Producers Price Index (PPI) which measures the average price change over time of the specific steel eligible item from the perspective of the seller of goods. The Master List table provided at the end of this provision indicates the Producers Price Index (PPI) steel category index items and the corresponding I.D. numbers to which VDOT items will be compared. **Please note:** The Producers Price Index (PPI) is subject to revision 4 months after original publication, therefore, price adjustments and payments will not be made until the index numbers are finalized.

The price adjustment will be determined by computing the percentage of change in index value beyond 10 percent above or below the index on the date of opening of Design-Builder's Price Proposal to the index value on the date the steel material is shipped to the fabricator (Please see included sample examples). Weights and date of shipment must be documented by a bill of lading provided to the Department. The final price adjustment dollar value will be determined by multiplying this percent increase or decrease in the index (after 10%) by the represented quantity of steel shipped, by the Base Price per pound subject to the limitations herein.

Price increase/decrease will be computed as follows:

$$A = B \times P \times Q$$

- Where;
- A = Steel price adjustment in lump sum dollars
 - B = Average weighted price of steel submitted in Design-Builder's Price Proposal for project in price per pound as listed on the Form for Price Adjustment for Eligible Steel Items on Design-Build Project
 - P = Adjusted percentage change in PPI average from shipping date to date of opening of Price Proposal minus 10% (0.10) threshold
 - Q = Total quantity of steel in pounds shipped to fabricator for specific project

The need for application of the adjustments herein to extra work will be determined by the Engineer on an individual basis and, if appropriate, will be specified on the Work Order.

This price adjustment is capped at 60 percent. This means the maximum "P" value for increase or decrease that can be used in the above equation is 50% (60%-10% threshold).

Calculations for price adjustment shall be shown separate from the monthly progress payment for work packages and will not be included in the total cost of work for determination of progress or for extension of contract time.

Upon Department review and due process consideration for redress by Design-Builder, any apparent evidence to unbalance the price supplied by Design-Builder in favor of items subject to price adjustment will result in ineligibility for Department participation under this provision.

Sample Calculation of a Price Adjustment (increase)

Project bid on April 28, 2004.

Project has 450,000 lb. of eligible structural steel.

Design Builder's *f.o.b. supplier price for structural steel submitted in the Price Proposal is \$0.2816 per pound. *free on board

Adjusted** BLS Producers Price Index (PPI) most recently published average at time of opening of the Price Proposal is 139.6.

All eligible steel shipped to fabricator in same month, October 2004.

Adjusted BLS Producers Price Index (PPI) most recently published average for month of October is 161.1

Adjustment formula is as follows:

$$A = B \times P \times Q$$

- Where;
- A = Steel price adjustment in lump sum dollars
 - B = Average weighted price of steel submitted in the Price Proposal for Design-Build project in \$ per pound
 - P = Adjusted percentage change in PPI average from shipping date to date of submitted Price Proposal minus 10% (0.10) threshold
 - Q = Total quantity of eligible steel shipped to fabricator in October 2004 for this project in pounds

$$B = \$0.2816$$

$$P = (161.1 - 139.6) / 139.6 - 0.10 = 0.054$$

$$Q = 450,000 \text{ lb.}$$

$$A = 0.2816 \times 0.054 \times 450,000$$

$$A = \$6,842.88 \text{ pay adjustment to Design-Builder}$$

Sample Calculation of a Price Adjustment (decrease)

Project bid on April 28, 2004.

Project has 450,000 lb. of eligible structural steel.

Design-Builder's *f.o.b. supplier price for structural steel submitted in the Price Proposal is \$0.2816 per pound.

*free on board

Adjusted BLS Producers Price Index (PPI) most recently published average at time of opening of the Price Proposal is 156.6.

All eligible steel shipped to fabricator in same month, October 2004.

Adjusted BLS Producers Price Index (PPI) most recently published average for month of October is 136.3

Adjustment formula is as follows:

$$A = B \times P \times Q$$

- Where;
- A = Steel price adjustment in lump sum dollars
 - B = Average weighted price of steel submitted in the Price Proposal for Design-Build project in \$ per pound
 - P = Adjusted percentage change in PPI average from shipping date to date of submitted Price Proposal minus 10% (0.10) threshold
 - Q = Total quantity of eligible steel shipped to fabricator in October 2004 for this project in pounds

$$B = \$0.2816$$

$$P = (156.6 - 136.3) / 156.6 - 0.10 = 0.030$$

$$Q = 450,000 \text{ lb.}$$

$$A = 0.2816 \times 0.030 \times 450,000$$

$$A = \$3,801.60 \text{ credit to Department}$$

MASTER LISTING

STANDARD BID ITEMS ELIGIBLE FOR STEEL PRICE ADJUSTMENT

March 18, 2009

BLS Series I. D.

ITEM NUMBER	ITEM DESCRIPTION	UNITS	Number WPU used in \$ adjust.
00519	SHEET PILE, STEEL	SF	avg. 1017 & 101
00540	REINF. STEEL	LB	101704
00542	EPOXY COATED REINF. STEEL	LB	101704
00560	STRUCTURAL STEEL JB-1	LB	avg. 1017 & 101
11030	REINF. STEEL BRIDGE APPR. SLAB	LB	101704
11181	PATCH.HYDR.CEM.CONC. PAVE.	SY	101704
13290	GUARDRAIL GR-8 (NCHRP 350 TL-3)	LF	avg. 1017 & 101
13292	GUARDRAIL GR-8A (NCHRP 350 TL-3)	LF	avg. 1017 & 101
13294	GUARDRAIL GR-8B (NCHRP 350 TL-3)	LF	avg. 1017 & 101
13310	GUARDRAIL TERMINAL GR-6 (NCHRP 350)	LF	avg. 1017 & 101
13320	GUARDRAIL GR-2	LF	avg. 1017 & 101
13323	GUARDRAIL GR-2A	LF	avg. 1017 & 101
13331	RAD. GUARDRAIL GR-2	LF	avg. 1017 & 101
13333	RAD. GUARDRAIL GR-2A	LF	avg. 1017 & 101
13335	GUARDRAIL GR-3	LF	avg. 1017 & 101
13341	GUARDRAIL TER. GR-6(WEATHERING STEEL	LF	avg. 1017 & 101
13351	GUARDRAIL GR-8	LF	avg. 1017 & 101
13352	GUARDRAIL GR-8A	LF	avg. 1017 & 101
13353	GUARDRAIL GR-8B	LF	avg. 1017 & 101
13355	GUARDRAIL GR-10	LF	avg. 1017 & 101
13421	MEDIAN BARRIER MB-3	LF	avg. 1017 & 101
13450	MEDIAN BARRIER MB-5	LF	avg. 1017 & 101
13451	MEDIAN BARRIER MB-5A	LF	avg. 1017 & 101
13452	MEDIAN BARRIER MB-5B	LF	avg. 1017 & 101
13545	REINF. STEEL	LB	101704
14502	REINFORCING STEEL	LB	101704
15290	PATCH.CEM.CONC.PAVE.TY.CRCP-A	SY	101704
15302	PATCH.CEM.CONC.PAVE. TY. II	SY	101704
15305	PATCH.CEM.CONC.PAVE.TY. IV-A	SY	101704
17323	GUARDRAIL BEAM *	LF	avg. 1017 & 101
17325	RADIAL GUARDRAIL BEAM *	LF	avg. 1017 & 101
17327	RUB RAIL	LF	avg. 1017 & 101
17353	CABLE GR-3	LF	avg. 1017 & 101
17521	GUARDRAIL BEAM (WEATHERING STEEL)	LF	avg. 1017 & 101
17523	RADIAL GUARDRAIL BEAM (WEATHERING STEEL)	LF	avg. 1017 & 101
17525	RUB RAIL (WEATHERING STEEL)	LF	avg. 1017 & 101
22501	FENCE FE-W1	LF	avg. 1017 & 101
22643	FENCE FE-CL	LF	avg. 1017 & 101
22645	FENCE FE-CL VINYL COATED	LF	avg. 1017 & 101
23043	WATER GATE FE-4 TY.III	LF	avg. 1017 & 101
23501	FENCE FE-W1 (FABRIC ONLY)	LF	avg. 1017 & 101
45522	4" STEEL ENCASE. PIPE	LF	101706
45532	6" STEEL ENCASE. PIPE	LF	101706
45562	16" STEEL ENCASE. PIPE	LF	101706

45572	18" STEEL ENCASE. PIPE	LF	101706
45582	24" STEEL ENCASE. PIPE	LF	101706
45584	24" JACKED STEEL ENCASUREMENT PIPE	LF	101706
45592	30" STEEL ENCASE. PIPE	LF	101706
50402	SIGN POST STEEL 3"	LF	101706
50404	SIGN POST STEEL 4"	LF	101706
50406	SIGN POST STEEL 6"	LF	101706
50410	SIGN POST STEEL 10"	LF	101706
50412	SIGN POST STEEL 12"	LF	101706
50414	SIGN POST STEEL 14"	LF	101706
50416	SIGN POST STEEL 16"	LF	101706
50418	SIGN POST STEEL 18"	LF	101706
51317	SIG. POLE MP-1 20' ONE ARM 30'	EA	101706
51319	SIG. POLE MP-1 20' ONE ARM 32'	EA	101706
51325	SIG. POLE MP-1 20' ONE ARM 38'	EA	101706
51327	SIG. POLE MP-1 20' ONE ARM 40'	EA	101706
51329	SIG. POLE MP-1 20' ONE ARM 42'	EA	101706
51331	SIG. POLE MP-1 20' ONE ARM 44'	EA	101706
51337	SIG. POLE MP-1 20' ONE ARM 50'	EA	101706
51339	SIG. POLE MP-1 20' ONE ARM 52'	EA	101706
51341	SIG. POLE MP-1 20' ONE ARM 54'	EA	101706
51344	SIG. POLE MP-1 20' ONE ARM 56'	EA	101706
51346	SIG. POLE MP-1 20' ONE ARM 58'	EA	101706
51347	SIG. POLE MP-1 20' ONE ARM 60'	EA	101706
51348	SIG. POLE MP-1 20' ONE ARM 62'	EA	101706
51368	SIG.POLE MP-1 20'TWO ARMS 36' & 42'	EA	101706
51400	SIG.POLE MP-1 CO.LU.ONE ARM 38	EA	101706
51402	SIG.POLE MP-1 CO.LU.ONE ARM 40	EA	101706
51408	SIG.POLE MP-1 CO.LU.ONE ARM 46	EA	101706
51412	SIG.POLE MP-1 CO.LU.ONE ARM 50	EA	101706
51414	SIG.POLE MP-1 CO.LU.ONE ARM 52	EA	101706
51416	SIG.POLE MP-1 CO.LU.ONE ARM 54	EA	101706
51418	SIG.POLE MP-1 CO.LU.ONE ARM 56	EA	101706
51420	SIG.POLE MP-1 CO.LU.ONE ARM 58	EA	101706
51422	SIG.POLE MP-1 CO.LU.ONE ARM 60	EA	101706
55162	LIGHTING POLE LP-1 30'-4'	EA	101706
55163	LIGHTING POLE LP-1 30'-6'	EA	101706
55166	LIGHTING POLE LP-1 30'-12'	EA	101706
55169	LIGHTING POLE LP-1 35'-6'	EA	101706
55171	LIGHTING POLE LP-1 35'-10'	EA	101706
55176	LIGHTING POLE LP-1 40'-8'	EA	101706
55185	LIGHTING POLE LP-2 TYPE A	EA	101706
55186	LIGHTING POLE LP-2 TYPE B	EA	101706
55187	LIGHTING POLE LP-2 TYPE C	EA	101706
55188	LIGHTING POLE LP-2 TYPE D	EA	101706
55189	LIGHTING POLE LP-2 TYPE E	EA	101706
55190	LIGHTING POLE LP-2 TYPE F	EA	101706
55192	LIGHTING POLE LP-2 TYPE H	EA	101706
60452	REINF. STEEL BRIDGE APPR. SLAB	LB	101704
61700	REINF. STEEL	LB	101704
61704	CORROSION RESISTANT REINF. STEEL	LB	101704
61705	EPOXY COATED REINF. STEEL	LB	101704
61750	STRUCT.STEEL HIGH STRG.PLT.GIRDERS	LB	avg. 1017 & 101
61811	STR.STEEL PLATE GIRDER ASTM A709 GRADE50	LB	avg. 1017 & 101
61812	STR.STEEL PLATE GIRDER ASTM A709 GRADE50	LB	avg. 1017 & 101
61813	STR.STEEL PLATE GIRDER ASTM A709 GRADEHPS50W	LB	avg. 1017 & 101

61814	STR.STEEL PLATE GIRDER ASTM A709 GRADEHPS70W	LB	avg. 1017 & 101
61820	STR.STEEL ROLLED BEAM ASTM A709 GRADE 36	LB	avg. 1017 & 101
61821	STR.STEEL ROLLED BEAM ASTM A709 GRADE50	LB	avg. 1017 & 101
61822	STR.STEEL ROLLED BEAM ASTM A709 GRADE50W	LB	avg. 1017 & 101
61990	STEEL GRID FLOOR	SF	avg. 1017 & 101
64110	STEEL PILES 10"	LF	avg. 1017 & 101
64112	STEEL PILES 12"	LF	avg. 1017 & 101
64114	STEEL PILES 14"	LF	avg. 1017 & 101
64768	DRIVING TEST FOR 12" STEEL PILE	LF	avg. 1017 & 101
64778	DRIVING TEST FOR 14" STEEL PILE	LF	avg. 1017 & 101
65200	REINF. STEEL	LB	101704
65204	CORROSION RESISTANT REINF. STEEL	LB	101704
65205	EPOXY COATED REINF. STEEL	LB	101704
67086	PED. FENCE 6'	LF	avg. 1017 & 101
67088	PED. FENCE 8'	LF	avg. 1017 & 101
67089	PED. FENCE 10'	LF	avg. 1017 & 101
68100	REINF. STEEL	LB	101704
68104	CORROSION RESISTANT REINF. STEEL	LB	101704
68105	EPOXY COATED REINF. STEEL	LB	101704
68107	STR.STEEL PLATE GIRDER ASTM A709 GRADE50	LB	avg. 1017 & 101
68108	STR. STEEL PLATE GIRDER ASTM A709 GR50W	LB	avg. 1017 & 101
68109	STR. STEEL PLATE GIRDER ASTM A709 GR.HPS50W	LB	avg. 1017 & 101
68110	STR. STEEL PLATE GIRDER ASTM A709 GR.HPS70W	LB	avg. 1017 & 101
68112	STR.STEEL ROLLED BEAM ASTM A709 GR.36	LB	avg. 1017 & 101
68113	STR.STEEL ROLLED BEAM ASTM A709 GR.50	LB	avg. 1017 & 101
68114	STR.STEEL ROLLED BEAM ASTM A709 GR. 50W	LB	avg. 1017 & 101
68115	STRUCT. STEEL	LB	avg. 1017 & 101
68270	REINF. STEEL BRIDGE APPR. SLAB	LB	101704
69060	SHEET PILES, STEEL	SF	avg. 1017 & 101
69100	REINF. STEEL	LB	101704
69104	CORROSION RESISTANT REINF. STEEL	LB	101704
69105	EPOXY COATED REINF. STEEL	LB	101704
69110	STEEL PILES 10"	LF	avg. 1017 & 101
69112	STEEL PILE 12"	LF	avg. 1017 & 101
69113	DRIVING TEST FOR 12" STEEL PILE	LF	avg. 1017 & 101

I elect to use this provision
 I elect not to use this provision

Date: 4/25/12

Signature: 

Design-Builder: Howard Bros. Const. Inc

Vendor No.: H301

APPENDIX C

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
PROPOSAL GUARANTY

KNOW ALL MEN BY THESE PRESENTS, THAT WE Howard Brothers Contractor, Inc. as principal, and Westfield Insurance Company Surety, are held and firmly bound unto the Commonwealth of Virginia as obligee, in the amount of FIVE PERCENT OF THE DOLLAR VALUE OF THE BID, lawful money of the United States of America, for the payment of which, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally and firmly by these presents.

SIGNED, sealed and dated this 25th day of April, 2012

WHEREAS, the above said principal is herewith submitting its proposal for:

PROJECT NUMBER: State Project No.: 0005-964-562,P101, R201, C501, B602-B607 0005-964-562, C502; Federal Project No.: OC-5127(789) ARRA-FS09(048); Contract ID No.: C00086279DB51

NOW, THEREFORE, the condition of the above obligee is such, that if the aforesaid principal shall be awarded the contract upon said proposal and shall within the time specified in the Specifications after the notice of such award enter into a contract and give bond for the faithful performance of the contract, then this obligation shall be null and void; otherwise to remain in full force and effect and the principal and surety will pay unto the obligee the difference in money between the amount of the bid of the said principal and the amount for which the obligee may legally contract with another party to perform the said work if the latter amount be in excess of the former; but in no event shall the liability exceed the penal sum hereof.

Howard Brothers Contractor, Inc.

(Principal*)

By: [Signature]
(Officer, Partner or Owner) (Seal)

(Principal*)

By: _____
(Officer, Partner or Owner) (Seal)

(Principal*)

By: _____
(Officer, Partner or Owner) (Seal)

Westfield Insurance Company

(Surety Company)

By: [Signature]
Donna M. Bisgrove (Attorney-in-Fact**) (Seal)

4701 Cox Road, Suite 104, Glen Allen, VA 23060
(Address)

(Surety Company)

BY: _____
(Attorney-in-Fact**) (Seal)

By: _____
(Address)

*Note: If the principal is a *joint venture*, each party thereof must be named and execution made by same hereon. If there is more than one surety to the bid bond, each surety must be named and execution shall be made by same hereon.

Electronic Bid Only: In lieu of completing the above section of the Contract Performance Bond, the Principal shall file an Electronic Bid Bond when bidding electronically. By signing below the Principal is ensuring the identified electronic bid bond has been executed and the Principal and Surety are firmly bound unto the Commonwealth of Virginia under the same conditions of the bid bond as shown above.

Electronic Bid Bond ID# _____ Company/Bidder Name _____ Signature and Title _____

**Attach copy of Power of Attorney

General
Power
of Attorney

POWER NO. 4520152 00

Westfield Insurance Co.
Westfield National Insurance Co.
Ohio Farmers Insurance Co.
Westfield Center, Ohio

CERTIFIED COPY

Know All Men by These Presents, That WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY, corporations, hereinafter referred to individually as a "Company" and collectively as "Companies," duly organized and existing under the laws of the State of Ohio, and having its principal office in Westfield Center, Medina County, Ohio, do by these presents make, constitute and appoint

R. C. MOORE, III, A. WHITFIELD SMITH, JR., ROBERT A. QUICKE, JR., DONNA M. BISGROVE, LAURIE DAUGHERTY, SUSAN E. WACHTER, KAYLYN PASCARELLA, JOINTLY OR SEVERALLY

of **RICHMOND** and State of **VA** its true and lawful Attorney(s)-in-Fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver **any and all bonds, recognizances, undertakings, or other instruments or contracts of suretyship** - - - - -

LIMITATION: THIS POWER OF ATTORNEY CANNOT BE USED TO EXECUTE NOTE GUARANTEE, MORTGAGE DEFICIENCY, MORTGAGE GUARANTEE, OR BANK DEPOSITORY BONDS.

and to bind any of the Companies thereby as fully and to the same extent as if such bonds were signed by the President, sealed with the corporate seal of the applicable Company and duly attested by its Secretary, hereby ratifying and confirming all that the said Attorney(s)-in-Fact may do in the premises. Said appointment is made under and by authority of the following resolution adopted by the Board of Directors of each of the WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY:

"Be It Resolved, that the President, any Senior Executive, any Secretary or any Fidelity & Surety Operations Executive or other Executive shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

The Attorney-in-Fact. may be given full power and authority for and in the name of and on behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements of indemnity and other conditional or obligatory undertakings and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be as binding upon the Company as if signed by the President and sealed and attested by the Corporate Secretary."

"Be It Further Resolved, that the signature of any such designated person and the seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signatures or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached." (Each adopted at a meeting held on February 8, 2000).

In Witness Whereof, WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY have caused these presents to be signed by their **Senior Executive** and their corporate seals to be hereto affixed this **13th** day of **OCTOBER** A.D., **2011**.

Corporate
Seals
Affixed



WESTFIELD INSURANCE COMPANY
WESTFIELD NATIONAL INSURANCE COMPANY
OHIO FARMERS INSURANCE COMPANY

Richard L. Kinnaird, Jr.

By: **Richard L. Kinnaird, Jr., National Surety Leader and Senior Executive**

State of Ohio
County of Medina ss.:

On this **13th** day of **OCTOBER** A.D., **2011**, before me personally came **Richard L. Kinnaird, Jr.** to me known, who, being by me duly sworn, did depose and say, that he resides in **Medina, Ohio**; that he is **Senior Executive** of WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY, the companies described in and which executed the above instrument; that he knows the seals of said Companies; that the seals affixed to said instrument are such corporate seals; that they were so affixed by order of the Boards of Directors of said Companies; and that he signed his name thereto by like order.

Notarial
Seal
Affixed



William J. Kahelin

William J. Kahelin, Attorney at Law, Notary Public
My Commission Does Not Expire (Sec. 147.03 Ohio Revised Code)

State of Ohio
County of Medina ss.:

I, **Frank A. Carrino**, Secretary of WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY and OHIO FARMERS INSURANCE COMPANY, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney, executed by said Companies, which is still in full force and effect; and furthermore, the resolutions of the Boards of Directors, set out in the Power of Attorney are in full force and effect.

In Witness Whereof, I have hereunto set my hand and affixed the seals of said Companies at Westfield Center, Ohio, this **25th** day of **April** A.D., **2012**



Frank A. Carrino
Frank A. Carrino, Secretary

APPENDIX D

Attachment 4.3.4(a)
Form C-104 (BIDDER'S STATEMENT)

ORDER NO.: N/A
CONTRACT ID. NO.: C00086279DB51

Form C-104
Rev. 12-9-99

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION



UPC #: 86279

FHWA: TEA-005(411)

This form must be completed, signed and returned with bid; and failure to do so may result in the rejection of your bid. **THE CONTRACTOR SHALL AFFIRM THE FOLLOWING STATEMENT EITHER BY SIGNING THE AFFIDAVIT AND HAVING IT NOTARIZED OR BY SIGNING THE UNSWORN DECLARATION UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES.** A SEPARATE FORM MUST BE SUBMITTED BY EACH PRINCIPAL OF A JOINT VENTURE BID.

STATEMENT. In preparation and submission of this bid, I, the firm, corporation or officers, agents or employees thereof did not, either directly or indirectly, enter into any combination or arrangement with any persons, firm or corporation or enter into any agreement, participate in any collusion, or otherwise take any action in the restraint of free, competitive bidding in violation of the Sherman Act (15 U.S.C. Section 1) or Article 1.1 or Chapter 12 of Title 18.2 (Virginia Governmental Frauds Act), Sections 59.1-9.1 through 59.1-9.17 or Sections 59.1-68.6 through 59.1-68.8 of the Code of Virginia.

AFFIDAVIT

The undersigned is duly authorized by the bidder to make the foregoing statement to be filed with bids submitted on behalf of the bidder for contracts to be let by the Commonwealth Transportation Board.

Signed at New Kent VA, this 25th day of April, 20 12
County (City), STATE

Howard Brothers Contractor Inc. By: Samuel C. Howard President
(Name of Firm) (Signature) Title (print)

STATE of Virginia COUNTY (CITY) of New Kent
To-wit:

I Darlene G. Thomas, a Notary Public in and for the State and
County(City) aforesaid, hereby certify that this day Samuel C. Howard

personally appeared before me and made oath that he is duly authorized to make the above statements and that such statements are true and correct.

Subscribed and sworn to before me this 25th day of April, 20 12
Darlene G. Thomas # 287631 My Commission expires 12-31-2014

Notary Public

OR
UNSWORN DECLARATION

The undersigned is duly authorized by the bidder to make the foregoing statement to be filed with bids submitted on behalf of the bidder for contracts to be let by the Commonwealth Transportation Board.

Signed at _____, this _____ day of _____, 20 _____
County (City), STATE

By: _____
(Name of Firm) (Signature) Title (print)

Attachment 4.3.4(b)
FORM C-105 (BIDDER'S CERTIFICATION)

UPC NO.: 86279
CONTRACT ID. NO.: C00086279DB51

Form C-105
Rev. 12-9-99

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
AFFIDAVIT



UPC #: 86279

FHWA: TEA-005(411)

This form must be completed, signed, notarized and returned with bid; and failure to do so, may result in the rejection of your bid. A separate form must be submitted by each principal of a joint venture bid.

1. I, the firm, corporation or officers, agents or employees thereof have neither directly nor indirectly entered into any combination or arrangement with any person, firm or corporation or entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with such contract, the effect of which is to prevent competition or increase the cost of construction or maintenance of roads or bridges.

During the preceding twelve months, I (we) have been a member of the following Highway Contractor's Associations, as defined in Section 33.1-336 of the Code of Virginia (1970). (If none, so state).

NAME	Location of Principal Office
None	

2. I (we) have , have not , participated in a previous contract or subcontract subject to the equal opportunity clause, as required by Executive Orders 10925, 11114, or 11246, and that I/We have , have not , filed with the joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

Note: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor [41 CFR 60-1.7(b)(1)], and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contract or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contract and subcontract unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

(Continued)

Attachment 4.3.4(b)
FORM C-105 (BIDDER'S CERTIFICATION)

UPC NO.: 86279
CONTRACT ID. NO.: C00086279DB51

Form C-105
Page 2

3. The bidder certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal department or agency;
 - (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offence in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated above; and
 - (d) Where the bidders is unable to certify to any of the statements in this certification, the bidder shall show an explanation below.

Explanations will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any explanation noted, indicate below to whom it applies, initiating agency, and dates of action. Providing false information may result in federal criminal prosecution or administration sanctions. The bidder shall provide immediate written notice to the Department if at any time the bidder learns that its certification was erroneous when submitted or has become erroneous by reason of change circumstances.

The undersigned is duly authorized by the bidder to make the foregoing statements to be filed with bids submitted on behalf of the bidder for contracts to be let by the Commonwealth Transportation Board.

Signed at New Kent VA, this 25th day of April, 20 12
County (City), STATE
Howard Brothers Contractor Inc. By: Samuel C. Howard President
(Name of Firm) (Signature) Title (print)

STATE of Virginia COUNTY (CITY) of New Kent
To-wit:

I Darlene G. Thomas, a Notary Public in and for the State and
County(City) aforesaid, hereby certify that this day Samuel C. Howard

personally appeared before me and made oath that he is duly authorized to make the above statements and that such statements are true and correct.

Subscribed and sworn to before me this 25th day of April, 20 12
Darlene G. Thomas #287631 My Commission expires 12-31-2014
Notary Public

APPENDIX E

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
MINIMUM DBE REQUIREMENTS

PROJECT NO. 0005-964-562, P101, R201, C501, B602-B607 0005-964-562, C502
FHWA NO. OC-5127(789) ARRA-FS09(048)

*** INSTRUCTIONS ***

THIS FORM CAN BE USED BY THE CONTRACTOR TO SUBMIT THE NAMES OF DBE FIRMS TO BE UTILIZED ON THE PROJECT. THE CONTRACTOR SHALL INDICATE THE DESCRIPTION OF THE CATEGORY (S, M, SP or H) AND THE TYPE OF WORK THAT EACH DBE WILL PERFORM AND THE ALLOWABLE CREDIT PER ITEM(S). ADDITIONAL SHEETS TO SHOW THE ALLOWABLE CREDIT PER ITEM MAY BE ATTACHED IF NECESSARY. PLEASE NOTE: THE AMOUNT OF ALLOWABLE CREDIT FOR A DBE SUPPLIER IS 60% OF THE TOTAL COST OF THE MATERIALS OR SUPPLIES OBTAINED AND 100% FOR A DBE MANUFACTURER OF THE MATERIALS AND SUPPLIES OBTAINED. A CONTRACTOR MAY COUNT 100% OF THE FEES PAID TO A DBE HAULER FOR THE DELIVERY OF MATERIALS AND SUPPLIES TO THE PROJECT SITE, BUT NOT FOR THE COST OF THE MATERIALS AND SUPPLIES THEMSELVES.

DBE REQUIREMENT 17 %
PERCENT ATTAINED BY BIDDER 21 %

NAMES(S) AND CERTIFICATION NO. OF DBE(S) TO BE USED	USED AS SUBCONTR. (S) MFG. (M) SUPPLIER (SP) HAULER (H)	TYPE OF WORK AND ITEM NO(S)	\$ AMOUNT OF ALLOWABLE CREDIT PER ITEM
H & B #679423	(S)	Surveying	244,803.40
Traffic Signals Plus #687859	(S)	MOT, Traffic, paint marking, signs	44,580.00
DMY #687645	(S)	Geotechnical Engineering	83,812.00
Diversified Property Services #626679	(S)	ROW	294,225.00
Liquid, Inc. #626510 (L287)	(S)	Concrete Items	712,500.00
Total from Page 2			543,450.54
TOTAL			\$ 1,923,370.94

TOTAL CONTRACT VALUE \$ 8,996,018.43 x REQUIRED DBE 17 % = \$ 1,529,323.13

I/WE CERTIFY THAT THE PROPOSED DBE(S) SUBMITTED WILL BE USED ON THIS CONTRACT AS STATED HEREON AND ASSURE THAT DURING THE LIFE OF THE CONTRACT, I/WE WILL MEET OR EXCEED THE PARTICIPATION ESTABLISHED HEREON BY THE DEPARTMENT.

Howard Brothers Contractor Inc.
BIDDER

BY


SIGNATURE

President
TITLE

BY

04/25/2012

DATE

Page 1 of 2

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
CERTIFICATION OF BINDING AGREEMENT
WITH

DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 0005-964-562, P101, R201, C501, B602 - B607

Federal Project No.: 0005-964-562, C502
OC-5127(789) AREA-F509(048)

This form is to be submitted in accordance with the Department's Special Provision for Section 107.15.

It is hereby certified by the below signed Contractors that there exists a written quote, acceptable to the parties involved preliminary to a binding subcontract agreement stating the details concerning the work to be performed and the price which will be paid for the aforementioned work. This document is not intended to, nor should it be construed to, contain the entire text of the agreement between the contracting parties. This document does not take the place of, nor may it be substituted for, an official subcontracting agreement in those situations that may require such an agreement. A copy of the fully executed *subcontract agreement* shall be submitted to the Engineer within fourteen (14) business days after contract execution.

It is further certified that the aforementioned mutually acceptable quote and fully executed subcontract agreement represent the entire agreement between the parties involved and that no conversations, verbal agreements, or other forms of non-written representations shall serve to add to, delete, or modify the terms as stated.

The prime Contractor further represents that the aforementioned mutually acceptable quote and fully executed subcontract agreement shall remain on file for a period of not less than one year following completion of the prime's contract with the Department or for such longer period as provisions of governing Federal or State law or regulations may require. For purposes of this form, the term Prime Contractor shall refer to any Contractor utilizing a DBE subcontractor, regardless of tier, in which they are claiming DBE credit toward the contract goal.

Contractors further jointly and severally represent that said binding agreement is for the performance of a "commercially useful function" as that term is employed in 49 C.F.R. Part 26.55 (c), (d).

**TO BE SIGNED BY THE SUBCONTRACTOR TO THE PRIME CONTRACTOR, AND ANY LOWER TIER
SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM**

Prime Contractor Howard Brothers Contractor, Inc.

By:  President
Signature Title

Date: 04/25/2012

First Tier Subcontractor if Applicable _____

By: _____ Signature Title

Date: _____

Second Tier
Subcontractor if
Applicable

By: _____
Signature Title
Date: _____

Third Tier
Subcontractor if
Applicable

By: _____
Signature Title
Date: _____

DBE Contractor

H & B Surveying and Mapping, LLC #679423

By: *[Signature]* *President*
Signature Title
Date: 04/25/2012

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
CERTIFICATION OF BINDING AGREEMENT
WITH
DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 0005-964-562, P101, R201, C501, B602-B607

Federal Project No.: 0005-964-562, C502
OC-5127(789) ARRA-F509(048)

This form is to be submitted in accordance with the Department's Special Provision for Section 107.15.

It is hereby certified by the below signed Contractors that there exists a written quote, acceptable to the parties involved preliminary to a binding subcontract agreement stating the details concerning the work to be performed and the price which will be paid for the aforementioned work. This document is not intended to, nor should it be construed to, contain the entire text of the agreement between the contracting parties. This document does not take the place of, nor may it be substituted for, an official subcontracting agreement in those situations that may require such an agreement. A copy of the fully executed *subcontract agreement* shall be submitted to the Engineer within fourteen (14) business days after contract execution.

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**TO BE SIGNED BY THE SUBCONTRACTOR TO THE PRIME CONTRACTOR, AND ANY LOWER TIER
SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM**

Prime Contractor Howard Brothers Contractor, Inc. H301

By: 
Signature

President

Title

Date: 04/25/2012

First Tier
Subcontractor if
Applicable

By: _____
Signature

Title

Date: _____

Second Tier
Subcontractor if
Applicable

By: _____
Signature Title
Date: _____

Third Tier
Subcontractor if
Applicable

By: _____
Signature Title
Date: _____

DBE Contractor

Traffic Signals Plus, PLLC #687859

By: Ed K. [Signature] Managing Member
Signature Title
Date: 04/25/2012

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
CERTIFICATION OF BINDING AGREEMENT
WITH

DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 0005-964-562, P101, R201, C501, B602-B607

Federal Project No.: 0005-964-562, C502
OC-5127(789) ARRA-F509(048)

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**TO BE SIGNED BY THE SUBCONTRACTOR TO THE PRIME CONTRACTOR, AND ANY LOWER TIER
SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM**

Prime Contractor Howard Brothers Contractor, Inc. H301

By:  President
Signature Title
Date: 04/25/2012

First Tier
Subcontractor if
Applicable

By: _____
Signature Title
Date: _____

Second Tier
Subcontractor if
Applicable

By: _____ Signature _____ Title _____
Date: _____

Third Tier
Subcontractor if
Applicable

By: _____ Signature _____ Title _____
Date: _____

DBE Contractor

DMY, Inc. #687645

By:  Signature

PRESIDENT
Title

Date: 04/25/2012

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
CERTIFICATION OF BINDING AGREEMENT
WITH
DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 0005-964-562, P101, R201, C501, B602-B607

Federal Project No.: 0005-964-562, C502
OC-5127(789) ARRA - FS09 (048)

This form is to be submitted in accordance with the Department's Special Provision for Section 107.15.

It is hereby certified by the below signed Contractors that there exists a written quote, acceptable to the parties involved preliminary to a binding subcontract agreement stating the details concerning the work to be performed and the price which will be paid for the aforementioned work. This document is not intended to, nor should it be construed to, contain the entire text of the agreement between the contracting parties. This document does not take the place of, nor may it be substituted for, an official subcontracting agreement in those situations that may require such an agreement. A copy of the fully executed *subcontract agreement* shall be submitted to the Engineer within fourteen (14) business days after contract execution.

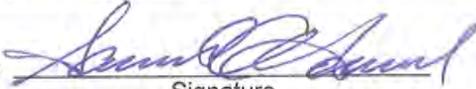
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**TO BE SIGNED BY THE SUBCONTRACTOR TO THE PRIME CONTRACTOR, AND ANY LOWER TIER
SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM**

Prime Contractor Howard Brothers Contractor, Inc. H301

By:  President
Signature Title
Date: 04/25/2012

First Tier
Subcontractor if
Applicable

By: _____ Title _____
Signature
Date: _____

Second Tier
Subcontractor if
Applicable

By: _____
Signature Title
Date: _____

Third Tier
Subcontractor if
Applicable

By: _____
Signature Title
Date: _____

DBE Contractor

Diversified Property Services of VA #626679

By: Patricia E. DeLoach President
Signature Title
Date: 04/25/2012

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
CERTIFICATION OF BINDING AGREEMENT
WITH
DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 0005-964-562, P101, R201, C501, B3602-B3607
0005-964-562, C502
Federal Project No.: OC-5127(789) ARRA-F509(048)

This form is to be submitted in accordance with the Department's Special Provision for Section 107.15.

It is hereby certified by the below signed Contractors that there exists a written quote, acceptable to the parties involved preliminary to a binding subcontract agreement stating the details concerning the work to be performed and the price which will be paid for the aforementioned work. This document is not intended to, nor should it be construed to, contain the entire text of the agreement between the contracting parties. This document does not take the place of, nor may it be substituted for, an official subcontracting agreement in those situations that may require such an agreement. A copy of the fully executed *subcontract agreement* shall be submitted to the Engineer within fourteen (14) business days after contract execution.

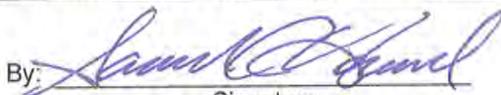
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**TO BE SIGNED BY THE SUBCONTRACTOR TO THE PRIME CONTRACTOR, AND ANY LOWER TIER
SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM**

Prime Contractor Howard Brothers Contractor, Inc. H301

By: 
Signature

President

Title

Date: 04/25/2012

First Tier
Subcontractor if
Applicable

By: _____
Signature

_____ Title

Date: _____

Second Tier
Subcontractor if
Applicable

By: _____
Signature Title
Date: _____

Third Tier
Subcontractor if
Applicable

By: _____
Signature Title
Date: _____

DBE Contractor

Liquid, Inc. #626510 (L287)

By:  _____
Signature Title
Date: 04/25/2012

VP

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
CERTIFICATION OF BINDING AGREEMENT
WITH
DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 0005-964-562, P101, R201, C501, B602-B607

Federal Project No.: 0005-964-562, C502
OC-5127(789) ARRA-F509(048)

This form is to be submitted in accordance with the Department's Special Provision for Section 107.15.

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**TO BE SIGNED BY THE SUBCONTRACTOR TO THE PRIME CONTRACTOR, AND ANY LOWER TIER
SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM**

Prime Contractor Howard Brothers Contractor, Inc. H301

By:  President
Signature Title

Date: 04/25/2012

First Tier
Subcontractor if
Applicable

By: _____
Signature Title

Date: _____

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
CERTIFICATION OF BINDING AGREEMENT
WITH

DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 0005-964-562, P101, R201, C501, B602-B607

Federal Project No.: 0005-964-562, C502
OC-5127(789) ARRA-F509(048)

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TO BE SIGNED BY THE SUBCONTRACTOR TO THE PRIME CONTRACTOR, AND ANY LOWER TIER
SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM

Prime Contractor Howard Brothers Contractor, Inc. H301

By:  President
Signature Title

Date: 04/25/2012

First Tier
Subcontractor if
Applicable

By: _____
Signature Title

Date: _____

Second Tier
Subcontractor if
Applicable

By: _____
Signature Title

Date: _____

Third Tier
Subcontractor if
Applicable

By: _____
Signature Title

Date: _____

DBE Contractor

Pro Marking, Inc. #000616 (P616)

By: Gregory M. [Signature] President
Signature Title

Date: 04/25/2012

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
CERTIFICATION OF BINDING AGREEMENT
WITH

DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 0005-964-562, P101, R201, C501, B602-B607

Federal Project No.: 0005-964-562, C502
DC-5127(789) ARRA - F509(048)

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TO BE SIGNED BY THE SUBCONTRACTOR TO THE PRIME CONTRACTOR, AND ANY LOWER TIER
SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM

Prime Contractor Howard Brothers Contractor, Inc. H301

By:  President
Signature Title

Date: 04/25/2012

First Tier Subcontractor if Applicable _____

By: _____ Signature Title

Date: _____

Second Tier
Subcontractor if
Applicable

By: _____
Signature Title
Date: _____

Third Tier
Subcontractor if
Applicable

By: _____
Signature Title
Date: _____

DBE Contractor

D & W Fence, Inc. #626549 (D357)

By: CN Smith/Shoy _____
Signature Title
Date: 04/25/2012

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
CERTIFICATION OF BINDING AGREEMENT
WITH

DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 0005-964-562, P101, R201, C501, B602-B607
0005-964-562, C502

Federal Project No.: OC-5127(789) ALRA-F509(048)

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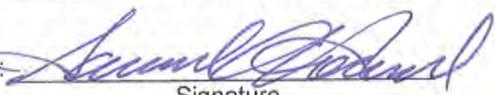
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TO BE SIGNED BY THE SUBCONTRACTOR TO THE PRIME CONTRACTOR, AND ANY LOWER TIER
SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM

Prime Contractor Howard Brothers Contractor, Inc. (#301)

By:  President
Signature Title

Date: 04/25/2012

First Tier
Subcontractor if
Applicable

By: _____
Signature Title

Date: _____

Second Tier
Subcontractor if
Applicable

By: _____
Signature

_____ Title

Date: _____

Third Tier
Subcontractor if
Applicable

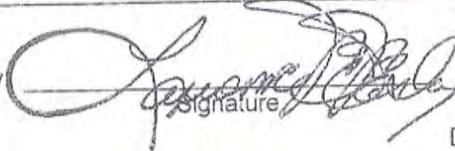
By: _____
Signature

_____ Title

Date: _____

DBE Contractor

LL&G Services, Inc. #649023 (L473)

By: 
Signature

President
_____ Title

Date: 04/25/2012
