

A Design-Build Project

# Virginia Capital Trail - Varina Phase

Henrico County, Virginia



SUBMITTED TO



SUBMITTED BY



**Southwood Building Systems, Inc.**

IN ASSOCIATION WITH



**Vanasse Hangen Brustlin, Inc.**

State Project No.: 0005-043-714, P-101, R-201, C-501

Federal Project No.: STP-5127(785)

Contract ID No.: C00086280DB58

**ATTACHMENT 4.0.1.1**  
**VIRGINIA CAPITAL TRAIL – VARINA PHASE**  
**LETTER OF SUBMITTAL CHECKLIST AND CONTENTS**

Offerors shall furnish a copy of this Letter of Submittal Checklist, with the page references added, with the Letter of Submittal.

<b>Letter of Submittal Component</b>	<b>Form (if any)</b>	<b>RFP Part 1 Cross Reference</b>	<b>Page Reference</b>
<b>Letter of Submittal Checklist and Contents</b>	Attachment 4.0.1.1	Section 4.0.1.1	i-ii
<b>Acknowledgement of RFP, Revisions, and/or Addenda</b>	Attachment 3.4 (Form C-78-RFP)	Sections 3.4; 4.0.1.1	iii
<b>Letter of Submittal</b>	NA	Sections 4.1	1
Letter of Submittal on Offeror's letterhead	NA	Section 4.1.1	1
Offeror's full legal name and address	NA	Section 4.1.1	1
Authorized representative's original signature	NA	Section 4.1.1	2
Declaration of intent	NA	Section 4.1.2	3
120 day declaration	NA	Section 4.1.3	3
Point of Contact information	NA	Section 4.1.4	3
Principal Officer information	NA	Section 4.1.5	3
Offeror's Corporate Structure	NA	Section 4.1.6	4
Full Legal Name of Lead Contractor and Lead Designer	NA	Section 4.1.7	4
Offeror's VDOT prequalification information	NA	Section 4.1.8	4
DBE statement confirming Offeror is committed to achieving the required DBE goal	NA	Section 4.1.9	4
Substantial and Final Completion Date(s)	NA	Section 4.1.10	4

**ATTACHMENT 4.0.1.1**  
**VIRGINIA CAPITAL TRAIL – VARINA PHASE**  
**LETTER OF SUBMITTAL CHECKLIST AND CONTENTS**

<b>Letter of Submittal Component</b>	<b>Form (if any)</b>	<b>RFP Part 1 Cross Reference</b>	<b>Page Reference</b>
<b>Attachments to the Letter of Submittal</b>	NA	Section 4.2	5
Affiliated and/ or Subsidiary Companies	Attachment 4.2.1	Section 4.2.1	6
Certification Regarding Debarment Forms	Attachment 4.2.2(a) Attachment 4.2.2(b)	Section 4.2.2	7
Offeror's VDOT prequalification information	NA	Section 4.2.3	18
Evidence of obtaining bonding	NA	Section 4.2.4	23
Full size copies of DPOR licenses and SCC registrations	NA	Section 4.2.5	24
SCC registration information - businesses	Attachment 4.2.5	Section 4.2.5.1	54
DPOR registration information - businesses	Attachment 4.2.5	Section 4.2.5.2	54
Lead Contractor Work History Form	Attachment 4.2.6(a)	Section 4.2.6	56
Lead Designer Work History Form	Attachment 4.2.6(b)	Section 4.2.6	59

**ATTACHMENT 3.4**

**COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION**

RFP NO. C00086280DB58  
PROJECT NO.: 0005-043-714, P101, R201, C501

**ACKNOWLEDGEMENT OF RFP, REVISION AND/OR ADDENDA**

Acknowledgement shall be made of receipt of the Request for Proposals (RFP) and/or any and all revisions and/or addenda pertaining to the above designated project which are issued by the Department prior to the Letter of Submittal submission date shown herein. Failure to include this acknowledgement in the Letter of Submittal may result in the rejection of your proposal.

By signing this Attachment 3.4, the Offeror acknowledges receipt of the RFP and/or following revisions and/or addenda to the RFP for the above designated project which were issued under cover letter(s) of the date(s) shown hereon:

1. Cover letter of September 25, 2012 – RFP  
(Date)
2. Cover letter of October 29, 2012 - Addendum #1  
(Date)
3. Cover letter of \_\_\_\_\_  
(Date)

  
\_\_\_\_\_  
SIGNATURE F.T.Evans

11/21/2012  
\_\_\_\_\_  
DATE

## 4.1 Letter of Submittal



## SOUTHWOOD BUILDING SYSTEMS, INC.

---

P.O. Box 1016 • Ashland, Virginia 23005  
804-798-1390 • FAX 804-798-8702

November 29, 2012

Brenda Williams  
Virginia Department of Transportation  
Central Mail Center  
1401 East Broad Street  
Richmond, Virginia 23219

Re: Letter of Submittal – Virginia Capital Trail-Varina Phase

State Project No.: 0005-043-714, P101, R201, C501  
Federal Project No.: STP-5127(785)  
Contract ID No.: C00086280DB58

Dear Ms. Williams:

**Southwood Builders, Inc. (Southwood)** is pleased to respond to the above-referenced Request for Proposal to VDOT as the Offeror. **Southwood** is one of the leading industrial, commercial and heavy civil contractors in the Commonwealth having experience in Design-Build and Design-Bid-Build projects. We have established a first class team to ensure the success of the Capital Trail project.

Southwood will subcontract **Vanasse Hangen Brustlin, Inc. (VHB)**, as Lead Design Consultant from Williamsburg, Virginia, to provide VDOT a team with a solid reputation for completing complex projects on time and ahead of schedule. **Zannino Engineering** located in Richmond, Virginia, will lead the construction Quality Assurance (QA) effort and will be responsible for the independent QA inspection and testing of all materials used on the Project. We are committed to providing experienced personnel familiar with VDOT requirements necessary to meet or exceed the quality and schedule demands of the Project.

VDOT is a valued client and an integral part of Southwood's history. The size of our Team's staff in Virginia demonstrates a commitment to local resources, which translates into high quality projects with on-time delivery. In concert with VDOT's strong pledge

to utilize DBE/MBE designers and contractors, Southwood remains committed to meeting or exceeding the specified 16% DBE goal. The **Southwood/VHB Team** is also committed to including SWaM firms. Both design and construction subconsultants and subcontractors who meet DBE/SWaM requirements have been integrated into the **Southwood/VHB Team**.

Our Team members' strong and long-standing relationships with each other, with VDOT, and with many of the identified stakeholders and entities (e.g., utility companies), excellent communication skills, and keen understanding of VDOT concerns and overall vision for this project, ensure that coordination with the public, utilities, facilities, and other stakeholders will be organized, thorough, and successful. The following subconsultants included on the **Southwood/VHB Team** have an established working history as well as current experience working together with both and/or each Southwood and VHB:

- NXL Construction Services, Inc.
- Zannino Engineering
- JE Liesfeld Contractor, Inc.
- GET Solutions, Inc.
- KDR Real Estate Services, Inc.
- Aquarius Engineering

The **Southwood/VHB Team** will demonstrate our ability and commitment to providing VDOT with the quality Design-Build experience necessary to successfully meet or exceed the goals and objectives established for the Capital Trail-Varina Phase Project. We fully understand that this is much more than just a multi-use trail and will include bike/pedestrian trail, bridge design, MOT/TMP, utility relocation, ROW acquisition, public outreach and involvement, mainline storm drainage, signal design, environmental services and other pertinent permitting, safety improvements and QA. The **Southwood/VHB Team** can and will ensure that VDOT and the citizens of Virginia continue to receive the attractive, high quality, convenient level of public transportation infrastructure they deserve, and to improve mobility, safety, and quality of life in Central Virginia.

I am pleased to serve in the capacity of Team Executive for the **Southwood/VHB Team** and look forward to working with VDOT on this exciting and important project.

Sincerely,  
SOUTHWOOD BUILDERS, INC.

Frank T. Evans  
President

4.1.1 **Official Representative.** Frank T. Evans will serve as the Design-Build Project Manager for Southwood, and as such, will be the single point of contact for VDOT. He can be reached at our Ashland, Virginia office:

Frank T. Evans  
Design-Build Project Manager  
627 North Washington Highway  
Ashland, VA 23005

Phone: 804-798-9225, Ext. 310

FAX: 804-798-8702

Email: [tom@southwoodbuilders.com](mailto:tom@southwoodbuilders.com)

4.1.2 **Declaration of Intent to Enter into a Contract.** Upon award of the Capital Trail: Varina Phase Project, Southwood would enter into a Design-Build contract with VDOT. In accordance with Part I, Section 4.1.2 of the above-referenced request for proposals.

4.1.3 **Statement of Proposal Validity.** We hereby confirm that the Southwood Letter of Submittal and Attachments and Price Proposals will remain in full force for one hundred twenty (120) days following the submittal date of the proposals. Additionally, a one year warranty would apply to the proposal and if requirements are different, we respectfully request to be advised by VDOT in response to review of submission.

4.1.4 **Contact for Offeror.** The office location and phone number of the Offeror's Contact is as follows:

Frank T. Evans  
President  
627 North Washington Highway  
Ashland, VA 23005

Phone: 804-798-9225, Ext. 310

FAX: 804-798-8702

Email: [tom@southwoodbuilders.com](mailto:tom@southwoodbuilders.com)

4.1.5 **Principal Officer.** The office location and phone number of the Principal Officer is as follows:

Frank T. Evans  
President  
627 North Washington Highway  
Ashland, VA 23005

Phone: 804-798-9225, Ext. 310

FAX: 804-798-8702

Email: [tom@southwoodbuilders.com](mailto:tom@southwoodbuilders.com)

4.1.6 **Offeror's Corporate Structure.** The Offeror is Southwood Builders, Inc., a Chapter Sub "S" Corporation incorporated in the Commonwealth of Virginia in Hanover County. Southwood will be the legal entity, will take full financial responsibility for this Project, and will provide performance and payment bonds for the required contract value and time periods. Southwood will be the lead contractor for our Team and is the member that will have joint and several liabilities for the performance of the work required for this Project. There are no liability limitations.

Southwood has been qualified to do business in the Commonwealth of Virginia since 1975 and holds a Class A License Number **2701 014752A**. The Southwood prequalification number for doing business with VDOT is **S065**

Neither Southwood Builders, Inc., nor any of its affiliates have contingent liabilities, financial commitments, or performance commitments that will put limitations on Southwood's financial exposure for this project.

4.1.7 **Identify Lead Contractor and Lead Designer.** The legal structure of this project team consists of Southwood Builders, Inc. (Southwood) as the lead contractor and Vanasse Hangen Brustlin, Inc. (VHB) as the lead designer. As the lead contractor, Southwood will be responsible for administering the contract, providing the bond, scheduling, quality control, supervising construction, the safety program, maintenance of traffic (MOT), and coordination of all subcontractors and trades. VHB will be under a subcontract with Southwood for all design efforts pertaining to this project, including MOT. The additional subconsultants and/or specialty consultants required by VHB in its design efforts, will be under direct subcontracts to VHB.

4.1.8 **Offeror's VDOT Prequalification Number and Status.** Southwood's VDOT Prequalification number is S065 and currently holds an active prequalification in good standing. A copy of the Southwood page of VDOT's prequalified list is included in the Attachments to this letter of submittal in the next tabbed section. The page is followed by documentation from VDOT supporting the fact that many certificates, including Southwood's are being prepared for publication at this time.

4.1.9 **Commitment to Meet State DBE Participation Goal.** As stated earlier in this letter of submittal, Southwood is committed to meeting or exceeding the specified 16% DBE goal for the value of this contract.

4.1.10 **Substantial Completion and Final Completion Dates.** The Southwood/VHB Team is committed to a substantial completion date of 9/30/14 and a final completion date of 9/30/14.

to utilize DBE/MBE designers and contractors, Southwood remains committed to meeting or exceeding the specified 16% DBE goal. The **Southwood/VHB Team** is also committed to including SWaM firms. Both design and construction subconsultants and subcontractors who meet DBE/SWaM requirements have been integrated into the **Southwood/VHB Team**.

Our Team members' strong and long-standing relationships with each other, with VDOT, and with many of the identified stakeholders and entities (e.g., utility companies), excellent communication skills, and keen understanding of VDOT concerns and overall vision for this project, ensure that coordination with the public, utilities, facilities, and other stakeholders will be organized, thorough, and successful. The following subconsultants included on the **Southwood/VHB Team** have an established working history as well as current experience working together with both and/or each Southwood and VHB:

- NXL Construction Services, Inc.
- Zannino Engineering
- JE Liesfeld Contractor, Inc.
- GET Solutions, Inc.
- KDR Real Estate Services, Inc.
- Aquarius Engineering

The **Southwood/VHB Team** will demonstrate our ability and commitment to providing VDOT with the quality Design-Build experience necessary to successfully meet or exceed the goals and objectives established for the Capital Trail-Varina Phase Project. We fully understand that this is much more than just a multi-use trail and will include bike/pedestrian trail, bridge design, MOT/TMP, utility relocation, ROW acquisition, public outreach and involvement, mainline storm drainage, signal design, environmental services and other pertinent permitting, safety improvements and QA. The **Southwood/VHB Team** can and will ensure that VDOT and the citizens of Virginia continue to receive the attractive, high quality, convenient level of public transportation infrastructure they deserve, and to improve mobility, safety, and quality of life in Central Virginia.

I am pleased to serve in the capacity of Team Executive for the **Southwood/VHB Team** and look forward to working with VDOT on this exciting and important project.

Sincerely,  
SOUTHWOOD BUILDERS, INC.



Frank T. Evans  
President

## 4. 2 Attachments to Letter of Submittal

## 4.2 ATTACHMENTS TO THE LETTER OF SUBMITTAL

All attachments to the Letter of Submittal as prescribed in the RFP follow this page in the order listed below.

4.2.1 **Full Legal Name and Address of All Offeror Affiliated or Subsidiary Companies.** Names and addresses of all affiliated and/or subsidiary companies of the Offeror, Southwood Builders, Inc. are listed in Attachment 4.2.1. Specific indication of whether the entity is an affiliate or a subsidiary is included in that Attachment.

4.2.2 **Debarment Certification (primary participant).** Completed Attachment 4.2.2(a) Certification Regarding Debarment Primary Covered Transactions is included at the end of this Section beginning on the following page.

**Debarment Certification (lower-tier participants).** Completed Attachments 4.2.2(b), Certification Regarding Debarment Lower-Tier Covered Transactions are included at the end of this Section beginning on the following page.

4.2.3 **Offeror's Prequalification Certificate.** Southwood's prequalification, #S065, has an expiration date of 09/13/2013. A copy of the Southwood page of VDOT's prequalified list is included in the Attachments to this letter of submittal in the next tabbed section. The page is followed by documentation from VDOT supporting the fact that many certificates, including Southwood's are being prepared for publication at this time.

4.2.4 **Letter of Surety.** Southwood's letter of surety is provided at the end of this Section 4.2 beginning on the following page.

4.2.5 **DPOR and SCC Licenses and Registrations.** The required full size copies of DPOR licensure and SCC registrations for the Southwood/VHB team are provided at the end of this Section 4.2 beginning on the following page.

Additionally, Attachment 4.2.5 contains: 1) SCC registration information for each entity on the team, and 2) DPOR registration information for each office of team entities practicing professional services in Virginia.

4.2.6(a) **Lead Contractor Work History Form.** Completed Attachment 4.2.6(a), Lead Contractor Work History Form is included in the pages that follow.

4.2.6(b) **Lead Designer Work History Form.** Completed Attachment 4.2.6(b), Lead Designer Work History Form is included in the pages that follow.



**ATTACHMENT 4.2.2(a)**  
**CERTIFICATION REGARDING DEBARMENT**  
**PRIMARY COVERED TRANSACTIONS**

**Project No.: 0005-043-714, P101, R201, C501**

1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency.

b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; and have not been convicted of any violations of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements, or receiving stolen property;

c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1) b) of this certification; and

d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the Offeror for contracts to be let by the Commonwealth Transportation Board.

	11/29/2012	President
Signature F.T.Evans	Date	Title

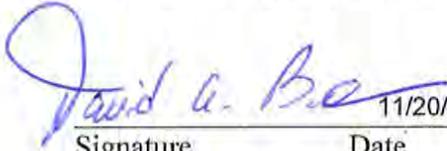
Southwood Builders, Inc.  
Name of Firm

**ATTACHMENT 4.2.2(b)**  
**CERTIFICATION REGARDING DEBARMENT**  
**LOWER TIER COVERED TRANSACTIONS**

**Project No.: 0005-043-714, P101, R201, C501**

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the Offeror for contracts to be let by the Commonwealth Transportation Board.

  
Signature

11/20/2012  
Date

Mid-Atlantic Regional Manager  
Title

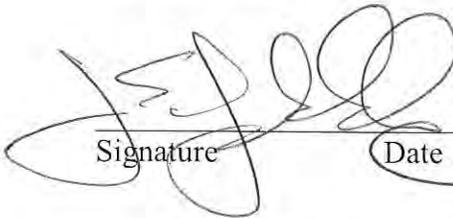
Vanasse Hangen Brustlin, Inc.  
Name of Firm

ATTACHMENT 4.2.2(b)  
CERTIFICATION REGARDING DEBARMENT  
LOWER TIER COVERED TRANSACTIONS

**Project No.: 0005-043-714, P101, R201, C501**

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
  
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the Offeror for contracts to be let by the Commonwealth Transportation Board.

	11/27/2012	Vice President
_____ Signature	_____ Date	_____ Title

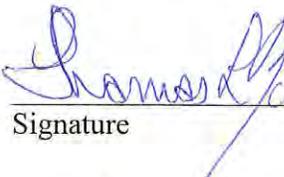
J.E. Liesfeld Contractor, Inc.  
\_\_\_\_\_  
Name of Firm

**ATTACHMENT 4.2.2(b)**  
**CERTIFICATION REGARDING DEBARMENT**  
**LOWER TIER COVERED TRANSACTIONS**

**Project No.: 0005-043-714, P101, R201, C501**

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
  
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the Offeror for contracts to be let by the Commonwealth Transportation Board.

	<u>11-27-12</u>	<u>President</u>
Signature	Date	Title

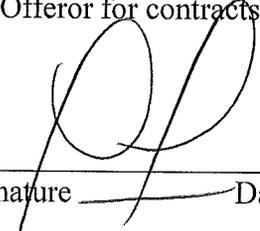
Zannino Engineering Inc  
Name of Firm

ATTACHMENT 4.2.2(b)  
CERTIFICATION REGARDING DEBARMENT  
LOWER TIER COVERED TRANSACTIONS

**Project No.: 0005-043-714, P101, R201, C501**

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the Offeror for contracts to be let by the Commonwealth Transportation Board.

  
\_\_\_\_\_  
Signature                      Date                      11/26/12                      Title                      President

NXL Construction Service, Inc.  
Name of Firm

**ATTACHMENT 4.2.2(b)**  
**CERTIFICATION REGARDING DEBARMENT**  
**LOWER TIER COVERED TRANSACTIONS**

**Project No.: 0005-043-714, P101, R201, C501**

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
  
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the Offeror for contracts to be let by the Commonwealth Transportation Board.

 Signature	10/31/2012 Date	Principal Title
-------------------------------------------------------------------------------------------------	--------------------	--------------------

G E T Solutions, Inc.  
Name of Firm

ATTACHMENT 4.2.2(b)  
CERTIFICATION REGARDING DEBARMENT  
LOWER TIER COVERED TRANSACTIONS

Project No.: 0005-043-714, P101, R201, C501

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the Offeror for contracts to be let by the Commonwealth Transportation Board.



Signature

November 20, 2012

Date

President

Title

KDR Real Estate Services, Inc.

Name of Firm

**ATTACHMENT 4.2.2(b)**  
**CERTIFICATION REGARDING DEBARMENT**  
**LOWER TIER COVERED TRANSACTIONS**

**Project No.: 0005-043-714, P101, R201, C501**

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the Offeror for contracts to be let by the Commonwealth Transportation Board.

June B. Smith      11/27/12      President  
Signature                      Date                      Title

Aquarius Engineering PC  
Name of Firm



**ATTACHMENT 4.2.2(b)**  
**CERTIFICATION REGARDING DEBARMENT**  
**LOWER TIER COVERED TRANSACTIONS**

**Project No.: 0005-043-714, P101, R201, C501**

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the Offeror for contracts to be let by the Commonwealth Transportation Board.


11-28-12
Estimator  
 Signature                      Date                      Title

Liquid Inc  
 Name of Firm

**ATTACHMENT 4.2.2(b)**  
**CERTIFICATION REGARDING DEBARMENT**  
**LOWER TIER COVERED TRANSACTIONS**

**Project No.: 0005-043-714, P101, R201, C501**

- 1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
  
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The undersigned makes the foregoing statements to be filed with the proposal submitted on behalf of the Offeror for contracts to be let by the Commonwealth Transportation Board.

 _____ Signature	<i>Nov. 27, 12</i> _____ Date	<i>President</i> _____ Title
---------------------------------------------------------------------------------------------------------	-------------------------------------	------------------------------------

*Derricott EIP Inc*  
\_\_\_\_\_  
Name of Firm

TRANSPORT - E22  
LSPPREQ

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
PREQUALIFIED VENDORS SORTED BY VENDOR NAME  
THIS LIST INCLUDES ALL PREQUALIFIED LEVELS  
AS OF 11/13/2012  
- S -

11/13/2012  
1:53 PM  
PAGE 378

=====

S065  
SOUTHWOOD BUILDERS, INC.  
PREQ. EXP : 09/30/2013

--PREQ ADDRESS -----	WORK CLASSES (LISTED BUT NOT LIMITED TO)
P. O. BOX 1016	002 - GRADING
ASHLAND, VA 23005-0000	007 - MINOR STRUCTURES
PHONE : 804-798-9225	011 - CLEARING AND GRUBBING
FAX : 804-798-8702	044 - UNDERDRAINS

BUSINESS CONTACT: EVANS, FRANK THOMAS  
EMAIL: TOM@SOUTHWOODBUILDERS.COM

-----DBE INFORMATION-----

DBE TYPE : N/A  
DBE CONTACT: N/A

=====

**From:** Silies, Don E. (VDOT) [<mailto:Don.Silies@VDOT.Virginia.gov>]  
**Sent:** Tuesday, November 20, 2012 10:52 AM  
**To:** Frank T. Evans  
**Cc:** Lucas, Suzanne F. (VDOT)  
**Subject:** Waiver to bid the Virginia Capitol Trail-Varina Phase Project

I have reviewed the qualifications of Southwood Builders Inc. and I find them acceptable for the purpose of bidding this project. Therefore, I hereby waive the bidding restriction on your firm for this project. If in the future you desire to bid beyond what your prequalification status allows, please make a request to me by email. State which project you wish to bid on and that I have granted a waiver in the past. I will keep the supportive information on file so you do not need to send it again. I would welcome additional supportive information if available. I look forward to your bid.

### *Don Silies*

Assistant Division Administrator  
Construction Division  
Virginia Department of Transportation  
(804) 786-1630  
[Don.Silies@vdot.virginia.gov](mailto:Don.Silies@vdot.virginia.gov)

**From:** Frank T. Evans [<mailto:tom@southwoodbuilders.com>]  
**Sent:** Tuesday, November 20, 2012 10:38 AM  
**To:** Silies, Don E. (VDOT)  
**Subject:** Prequalification waiver Southwoodbuilders Inc. S065

Mr. Silies,

Southwood Builders Inc is hereby requesting a waiver for bidding restrictions in order to bid on the Virginia Capitol Trail-Varina Phase Project No.0005-043-714,P101,R201,C501 Contract ID #C00086280DB58.This project is advertised at 10 mil. And due on 11-29-12.

Southwood is currently designated as PSI.

Your attention to this request is appreciated.

Sincerely

Frank T. Evans –President

Southwood Builders Inc.

PO Box 1016

Ashland Va. 23005

Prequalification No. S065

**From:** Silies, Don E. (VDOT)  
**Sent:** Friday, September 28, 2012 3:35 PM  
**To:** 'TOM@SOUTHWOODBUILDERS.COM'  
**Subject:** FW: Reference Southwood Builders Inc.

Frank,

I have received 4 sterling references including the one below. I need you now to officially request a waiver (by email) specifying the project(s) you desire to bid. I will send the waiver back to you by email.

## ***Don Silies***

Assistant Division Administrator  
Construction Division  
Virginia Department of Transportation  
(804) 786-1630  
[Don.Silies@vdot.virginia.gov](mailto:Don.Silies@vdot.virginia.gov)

**From:** Hunt, Paul [<mailto:Paul.Hunt@arcadis-us.com>]  
**Sent:** Friday, September 28, 2012 11:39 AM  
**To:** Silies, Don E. (VDOT)  
**Cc:** Frank T. Evans  
**Subject:** Reference Southwood Builders Inc.

Don,

Southwood Builders is the General Contractors on two of the five City of Richmond Wastewater Treatment Plant's Nutrient Reduction Projects.

Nutrient Reduction Contract #3 NTP was on June 1, 2009 and was substantially completed on February 24, 2011 compared to the original contract completion date of February 9, 2011. This slight delay was outside the control of Southwood. This \$6.2M contract was completed with 0.29% change orders.

Nutrient Reduction Contract #4 NTP was on September 7, 2010 and is projected to be was substantially complete on May 1, 2013 compared to the original contract completion date of March 7, 2013. The delay in completion is outside the control of Southwood and it is anticipated that a contract time extension will be granted. At 79% completion, this \$24.6 contract has 0.0% change orders to date.

As the Construction Manager for both of these projects I can report that Southwood has been cooperative to the needs and desires of the City of Richmond, Arcadis, and the Engineer. Issues are discussed and resolved in a professional manner and quality of workmanship is excellent. I would recommend Southwood as a high quality contractor and in my experience capable of building projects up to the \$25 million dollar range.

Please feel free to call or email me if I can be of any other assistance.

**Paul S. Hunt** | Senior Project Manager | [paul.hunt@arcadis-us.com](mailto:paul.hunt@arcadis-us.com)  
ARCADIS U.S., Inc. | 1400 Brander Street | Richmond, VA, 23224  
T. 804.646.1400 | M. 804.339.8309  
[www.arcadis-us.com](http://www.arcadis-us.com)  
ARCADIS, Imagine the result  
Please consider the environment before printing this email.

## Leslie Lipscomb

---

**From:** Prequalification (VDOT) [Prequalification@VDOT.Virginia.gov]  
**Sent:** Monday, November 19, 2012 12:02 PM  
**To:** Leslie Lipscomb  
**Subject:** RE: Southwood S065  
**Attachments:** Prequal Probationary \_or\_ Currently Inactive\_2012.pdf

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Your firm is designated as a PCI. Please see the attached description of this level.

Please know Your firm is always welcome to submit a waiver request prior to bidletting to Don Silies, State Contract Officer for a specific Project. This would include 3 letters of recommendation, 3 Project evaluations from another DOT and/or any Highway Construction Projects of similar cost & scope of work.

This could include letters of recommendation from a Design-Build.

Don Silies: [don.silies@vdot.virginia.gov](mailto:don.silies@vdot.virginia.gov)

*Suzanne Lucas*

Prequalification Officer  
Construction Division  
Virginia Department of Transportation  
1401 East Broad Street  
Richmond, Virginia 23219  
(804) -786-2941

Email: [Prequalification@VDOT.Virginia.gov](mailto:Prequalification@VDOT.Virginia.gov)

Webpage: <http://www.virginiadot.org/business/const/prequal.asp>

## Prequalified-Probationary (PP) and Prequalified-Currently Inactive (PCI) Levels

New firms are Prequalified-Probationary (PP) and previous prequalified firms without past performance reports are Prequalified-Currently Inactive (PCI).

Firms that are Prequalified-Probationary (PP) and firms that are Prequalified-Currently Inactive (PCI) can be awarded/have under contract **no more than three (3) projects** at any given time. Each of the contracts will be limited to a maximum contract value of **\$2,000,000**. The State Contract Officer has the authority to waive this restriction on a project-by-project basis. Such *waiver* must be obtained in writing by the firm one week prior to bidding on that specific project.

### Right to appeal

If your firm is not satisfied with this Prequalification Evaluation, it should **immediately** contact the Prequalification Office at 804-786-2938. Please review the "If Prequalification is Prequalified-Probationary, Prequalified-Currently Inactive, Conditional, or Denied" and "The Prequalification Appeals Process". Both documents are located with the Prequalification Rules and Regulations on our website (see below).

### Expiration and renewal

Prequalification privileges are for a limited period of time. The **expiration** date is posted on your firm's listing on "*The Prequalified List*" on our webpage. New prequalification forms are due in the Prequalification Office the first day of the month prior to the Expiration month. Renewal expirations always fall on the last date of the expiration month.

Below are the set months of expiration for firms name *starting* with the letter or if the firm has a formal person's name, the first letter of the last name.

1. <b>January</b>	A	2. <b>February</b>	B
3. <b>March</b>	C	4. <b>April</b>	D&E
5. <b>May</b>	F, G,&H	6. <b>June</b>	I, J, K,&L
7. <b>July</b>	M, N,&O	8. <b>August</b>	P, Q&R
9. <b>September</b>	S	10. <b>October</b>	T&Z

New prequalification forms may be obtained at the following webpage:  
(Only the latest revision of the application will be accepted for renewal)

**<http://www.virginiadot.org/business/const/prequal.asp>**

Thanks for applying for Prequalification. Please send an email to the Prequalification Office if you have any further concerns regarding your firm's prequalification at:

**[Prequalification@VDOT.Virginia.gov](mailto:Prequalification@VDOT.Virginia.gov)**



**ZURICH**

1400 American Lane  
Schaumburg, IL 60196

November 26, 2012

Stephen D. Kindy, P.E.  
Virginia Department of Transportation  
1401 E. Broad Street  
Highway Annex Bldg., 8<sup>th</sup> Floor  
Richmond, VA 23219

Re: Southwood Builders, Inc., 627 N. Washington Hwy., Ashland, VA 23005  
Design/Build Project Virginia Capital Trail – Varina Phase, Contract ID #  
C00086280DB58, Project No. 0005-043-714, P101, R201, C501; Estimate \$10,500,000

Dear Mr. Kindy:

Fidelity and Deposit Company of Maryland has the privilege of providing surety bonds for Southwood Builders, Inc. Our A.M. Best financial strength rating is A+ (Superior) and Financial Size Category is XV (\$2 Billion or greater).

Southwood Builders, Inc. is capable of obtaining a 100% Performance bond and 100% Labor and Materials Payment bond in the amount of the anticipated cost of construction of \$10,500,000 as referred to in Section 2.3 of the VDOT RFQ, and said bonds will cover the Project and any warranty periods as provided for in the Contract Documents on behalf of the Contractor, in the event that such firm be the successful bidder and enter into a contract for this Project.

If you have any questions or need further information concerning this contractor, please feel free to contact me.

Sincerely,

Larry White, Attorney-in-Fact  
Fidelity and Deposit Company of Maryland  
804-287-1167

LW/db  
VDOT-Virginia Capital Trail Varina.doc

DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
COMMONWEALTH OF VIRGINIA

EXPIRES ON  
04-30-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 367-8500

NUMBER  
2701014742

BOARD FOR CONTRACTORS  
CLASS A CONTRACTOR  
\*CLASSIFICATIONS\* BLD FAS FSP H/H SPR

SOUTHWOOD BUILDERS INC  
PO BOX 1016  
ASHLAND, VA 23005



*Gordon N. Dixon*  
Gordon N. Dixon, Director

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(SEE REVERSE SIDE FOR NAME AND/OR ADDRESS CHANGE)

(POCKET CARD)

COMMONWEALTH OF VIRGINIA  
BOARD FOR CONTRACTORS  
CLASS A CONTRACTOR  
\*CLASSIFICATIONS\* BLD FAS FSP H/H SPR  
NUMBER: 2701014742 EXPIRES: 04-30-2014

SOUTHWOOD BUILDERS INC  
PO BOX 1016  
ASHLAND, VA 23005



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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
9960 Mayland Dr., Suite 400, Richmond, VA 23233

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10010 (7/11) 107028-3

DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
COMMONWEALTH OF VIRGINIA

EXPIRES ON  
02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 367-8500

NUMBER  
0411000235

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS  
AND LANDSCAPE ARCHITECTS  
BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: ENG, LS

VANASSE HANGEN BRUSTLIN INC  
351 MCLAWS CIRCLE STE 3  
WILLIAMSBURG, VA 23185-6316



*Gordon N. Dixon*  
Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APELSCIDLA  
BUSINESS ENTITY BRANCH OFFICE REGISTRATION  
NUMBER: 0411000235 EXPIRES: 02-28-2014  
PROFESSIONS: ENG, LS  
VANASSE HANGEN BRUSTLIN INC  
351 MCLAWS CIRCLE STE 3  
WILLIAMSBURG, VA 23185-6316



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COMMONWEALTH OF VIRGINIA

EXPIRES ON  
12-31-2013

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 367-8500

NUMBER  
0407003225

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS  
AND LANDSCAPE ARCHITECTS  
BUSINESS ENTITY REGISTRATION

PROFESSIONS: ENG, LS

VANASSE HANGEN BRUSTLIN INC  
115 SOUTH 15TH STREET  
SUITE 200  
RICHMOND, VA 23219



*Gordon N. Dixon*  
Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR APELSCIDLA  
BUSINESS ENTITY REGISTRATION  
NUMBER: 0407003225 EXPIRES: 12-31-2013  
PROFESSIONS: ENG, LS  
VANASSE HANGEN BRUSTLIN INC  
115 SOUTH 15TH STREET  
SUITE 200  
RICHMOND, VA 23219



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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
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**DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
COMMONWEALTH OF VIRGINIA**

**EXPIRES ON  
02-28-2014**

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 367-8500

**NUMBER  
0411000348**

**BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS  
AND LANDSCAPE ARCHITECTS  
BUSINESS ENTITY BRANCH OFFICE REGISTRATION**

**PROFESSIONS: ENG, LS, LA**

**VANASSE HANGEN BRUSTLIN INC  
TWO COLUMBUS CENTER  
SUITE 400  
VIRGINIA BEACH, VA 23462**



*Gordon N. Dixon*  
Gordon N. Dixon, Director

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**COMMONWEALTH OF VIRGINIA**

**BOARD FOR APESCIDLA  
BUSINESS ENTITY BRANCH OFFICE REGISTRATION  
NUMBER: 0411000348 EXPIRES: 02-28-2014  
PROFESSIONS: ENG, LS, LA  
VANASSE HANGEN BRUSTLIN INC  
TWO COLUMBUS CENTER  
SUITE 400  
VIRGINIA BEACH, VA 23462**



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COMMONWEALTH OF VIRGINIA

EXPIRES ON  
02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 367-8500

NUMBER  
2701013051

BOARD FOR CONTRACTORS  
CLASS A CONTRACTOR  
\*CLASSIFICATIONS\* H/H

J E LIESFELD CONTRACTOR INC  
1851 BENNINGTON RD  
ROCKVILLE, VA 23146



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Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA

BOARD FOR CONTRACTORS  
CLASS A CONTRACTOR  
\*CLASSIFICATIONS\* H/H  
NUMBER: 2701013051 EXPIRES: 02-28-2014

J E LIESFELD CONTRACTOR INC  
1851 BENNINGTON RD  
ROCKVILLE, VA 23146



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9960 Mayland Dr., Suite 400, Richmond, VA 23233

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COMMONWEALTH OF VIRGINIA

EXPIRES ON  
12-31-2013

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 367-8500

NUMBER  
0407003572

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS  
AND LANDSCAPE ARCHITECTS  
BUSINESS ENTITY REGISTRATION

PROFESSIONS: ENG

ZANNINO ENGINEERING INC  
9915 GREENWOOD RD  
GLEN ALLEN, VA 23060



*Gordon N. Dixon*  
Gordon N. Dixon, Director

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COMMONWEALTH OF VIRGINIA  
BOARD FOR APPELSCIDLA  
BUSINESS ENTITY REGISTRATION  
NUMBER: 0407003572 EXPIRES: 12-31-2013  
PROFESSIONS: ENG  
ZANNINO ENGINEERING INC  
9915 GREENWOOD RD  
GLEN ALLEN, VA 23060



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COMMONWEALTH OF VIRGINIA

EXPIRES ON  
02-28-2014

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 367-8500

NUMBER  
0411000366

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS  
AND LANDSCAPE ARCHITECTS  
BUSINESS ENTITY BRANCH OFFICE REGISTRATION

PROFESSIONS: ENG

GEOTECHNICAL ENVIRONMENTAL TESTING SOLUTIONS  
1592 PENNIMAN RD STE E  
WILLIAMSBURG, VA 23185



*Gordon N. Dixon*  
Gordon N. Dixon, Director

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BOARD FOR AP/ELSCIDLA  
BUSINESS ENTITY BRANCH OFFICE REGISTRATION  
NUMBER: 0411000366 EXPIRES: 02-28-2014  
PROFESSIONS: ENG  
GEOTECHNICAL ENVIRONMENTAL TESTING SOLUTIONS  
INC  
1592 PENNIMAN RD STE E  
WILLIAMSBURG, VA 23185



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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
9960 Mayland Dr., Suite 400, Richmond, VA 23233

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COMMONWEALTH OF VIRGINIA

EXPIRES ON  
12-31-2013

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 367-8500

NUMBER  
0407004018

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS  
AND LANDSCAPE ARCHITECTS  
BUSINESS ENTITY REGISTRATION

PROFESSIONS: ENG

GEOTECHNICAL ENVIRONMENTAL & TESTING  
204-B GRAYSON ROAD  
VIRGINIA BEACH, VA 23462



*Gordon N. Dixon*  
Gordon N. Dixon, Director

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(POCKET CARD)

COMMONWEALTH OF VIRGINIA

BOARD FOR APPLSCIDLA  
BUSINESS ENTITY REGISTRATION  
NUMBER: 0407004018 EXPIRES: 12-31-2013  
PROFESSIONS: ENG  
GEOTECHNICAL ENVIRONMENTAL & TESTING  
SOLUTIONS INC  
204-B GRAYSON ROAD  
VIRGINIA BEACH, VA 23462



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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
9960 Mayland Dr., Suite 400, Richmond, VA 23233

DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
COMMONWEALTH OF VIRGINIA

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 367-8500

EXPIRES ON  
12-31-2012

NUMBER  
0226 007129

REAL ESTATE BOARD  
REAL ESTATE CORPORATION, PARTNERSHIP, ASSOCIATION LICENSE  
POST IN A CONSPICUOUS PLACE  
THIS LICENSE TO BE KEPT IN CUSTODY AND CONTROL OF PRINCIPAL BROKER

KDR REAL ESTATE SERVICES INC  
2500 GRENOBLE RD  
RICHMOND, VA 23294



*Gordon N. Dixon*  
Gordon N. Dixon, Director

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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
COMMONWEALTH OF VIRGINIA

EXPIRES ON  
12-31-2013

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 367-8500

NUMBER  
0405001372

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, LAND SURVEYORS, CERTIFIED INTERIOR DESIGNERS  
AND LANDSCAPE ARCHITECTS  
PROFESSIONAL CORPORATION REGISTRATION

PROFESSIONS: ENG

AQUARIUS ENGINEERING P C  
2400 KETCH CT  
VIRGINIA BEACH, VA 23451



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Gordon N. Dixon, Director

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(POCKET CARD)

COMMONWEALTH OF VIRGINIA  
BOARD FOR APPLSCIDLA  
PROFESSIONAL CORPORATION REGISTRATION  
NUMBER: 0405001372 EXPIRES: 12-31-2013  
PROFESSIONS: ENG  
AQUARIUS ENGINEERING P C  
2400 KETCH CT  
VIRGINIA BEACH, VA 23451



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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
9960 Mayland Dr., Suite 400, Richmond, VA 23233

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**DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
COMMONWEALTH OF VIRGINIA**

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 367-8500

EXPIRES ON  
12-31-2014

NUMBER  
2705072372

BOARD FOR CONTRACTORS  
CLASS A CONTRACTOR  
\*CLASSIFICATIONS\* H/H PAV

TY'S HAULING AND PAVING, INC.  
2900 BELLS RD  
RICHMOND, VA 23234



*Gordon N. Dixon*  
Gordon N. Dixon, Director

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SCC # 0380029-9

**DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
COMMONWEALTH OF VIRGINIA**

EXPIRES ON  
**09-30-2013**

NUMBER  
**2705 065065A**

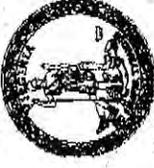
9900 Mayland Dr., Suite 400, Richmond, VA 23288  
Telephone: (804) 267-8500

**BOARD FOR CONTRACTORS  
CLASS A CONTRACTORS LICENSE**

LIQUID INC  
LIQUID INC

PO BOX 25117  
RICHMOND VA 23260

**\*CLASSIFICATIONS\* BLD CIC H/H HIC LSC**



  
 Gordon N. Dixon, Director

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(DETACH HERE)  
DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
9900 Mayland Dr., Suite 400, Richmond, VA 23288

(POCKET CARD) COMMONWEALTH OF VIRGINIA

BOARD FOR CONTRACTORS - CLASS A  
CONTRACTOR LICENSE - CLASSIFICATIONS: BLD  
CIC H/H HIC LSC



NUMBER: 2705 065065A  
EXPIRES: 09-30-2013

LIQUID INC  
LIQUID INC

PO BOX 25117

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DEPARTMENT OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
COMMONWEALTH OF VIRGINIA

EXPIRES ON  
12-31-2012

9960 Mayland Dr., Suite 400, Richmond, VA 23233  
Telephone: (804) 867-8500

NUMBER  
2705 072331

BOARD FOR CONTRACTORS CLASS B CONTRACTORS LICENSE  
INVALID ON JOBS \$120,000 OR MORE

WILLIAM DERRICOTT JR  
DERRICOTT E L P T

PO BOX 228  
ASHLAND VA 23005

\*CLASSIFICATIONS\* H/H LSC PAV



*Gordon N. Dixon*  
Gordon N. Dixon, Director

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(SEE REVERSE SIDE FOR NAME AND/OR ADDRESS CHANGE)



Commonwealth of Virginia  
State Corporation Commission

Virg

CISM0180

CORPORATE DATA INQUIRY

11/27/12

13:25:02

CORP ID: 0160585 - 6 STATUS: 00 ACTIVE STATUS DATE: 04/23/85  
CORP NAME: SOUTHWOOD BUILDERS, INC.

DATE OF CERTIFICATE: 07/23/1975 PERIOD OF DURATION: INDUSTRY CODE: 00  
STATE OF INCORPORATION: VA VIRGINIA STOCK INDICATOR: S STOCK  
MERGER IND: CONVERSION/DOMESTICATION IND:  
GOOD STANDING IND: Y MONITOR INDICATOR:  
CHARTER FEE: 210.00 MON NO: MON STATUS: MONITOR DTE:  
R/A NAME: DARVIN E SATTERWHITE

STREET: 3013 RIVER ROAD WEST AR RTN MAIL:  
PO BOX 325

CITY: GOOCHLAND STATE : VA ZIP: 23063

R/A STATUS: 4 ATTORNEY EFF. DATE: 06/25/09 LOC : 137  
ACCEPTED AR#: 212 09 8706 DATE: 05/30/12 GOOCHLAND COUNT  
CURRENT AR#: 212 09 8706 DATE: 05/30/12 STATUS: A ASSESSMENT INDICATOR: 0  
YEAR FEES PENALTY INTEREST TAXES BALANCE TOTAL SHARES  
12 730.00 110,000

(Screen Id:/Corp\_Data\_Inquiry)

# Commonwealth of Virginia



## STATE CORPORATION COMMISSION

*Richmond,*

March 18, 1994

*This is to certify that a certificate of authority to transact business in Virginia was this day issued and admitted to record in this office for*

*Vanasse Hangen Brustlin, Inc.*

*a corporation organized under the laws of* MASSACHUSETTS  
*and that the said corporation is authorized to transact business in Virginia, subject to all Virginia laws applicable to the corporation and its business.*



*State Corporation Commission*

*Attest:*

*William J. Bridge*  
*Clerk of the Commission*

# Commonwealth OF Virginia



## State Corporation Commission

### *CERTIFICATE OF GOOD STANDING*

*I Certify the Following from the Records of the Commission:*

That Vanasse Hangen Brustlin, Inc., a corporation incorporated under the law of Massachusetts, is authorized to transact business in the Commonwealth of Virginia;

That it obtained a certificate of authority to transact business in Virginia from the Commission on March 18, 1994; and

That the corporation is in good standing in the Commonwealth of Virginia as of the date set forth below.

Nothing more is hereby certified.



*Signed and Sealed at Richmond on this Date:*

*August 23, 2012*

*Joel H. Peck*

*Joel H. Peck, Clerk of the Commission*

COMMONWEALTH OF VIRGINIA - STATE CORPORATION COMMISSION  
CORPORATION - ANNUAL REGISTRATION FEE ASSESSMENT NOTICE

2013



On November 1, 2012, the State Corporation Commission assessed against the corporation identified below an annual registration fee pursuant to § 13.1-775.1 or § 13.1-936.1 of the Code of Virginia.

Payment of the annual registration fee must be received by the Commission on or before **January 31, 2013** (the "due date") to avoid imposition of a late payment penalty of 10% of the annual registration fee or \$10.00, whichever is greater. Note: If the due date falls on a weekend or scheduled holiday, your payment, if delivered by mail or in person, will need to be received in time for it to be processed on or before the last business day that precedes the due date. Postmarks cannot be considered and extensions of time cannot be granted.

CORP NAME: LIESFELD CONTRACTOR, INC., J. E.

SCC ID NO.: 0172002-8

+ 0401618 000010605 09SCC1 0122462  
LIESFELD CONTRACTOR, INC., J. E.  
JEFFREY M. ZWERDLING  
THE CORPORATE CENTRE  
5020 MONUMENT AVENUE  
RICHMOND, VA 23230-3632



 This annual registration fee may be paid online at [sccfile.scc.virginia.gov](http://sccfile.scc.virginia.gov).

If the corporation has not paid all annual registration fees and/or penalties assessed in any previous year, those amounts are included in the total amount due. If less than the total amount due is tendered, the amount paid will be applied against the fees and penalties that have remained unpaid for the longest period of time, as directed by §§ 13.1-615 and 13.1-815 of the Code of Virginia. Failure to submit payment for the total amount due could result in underpayment of the assessment for the current year, imposition of the statutory penalty and, ultimately, termination of the corporation's existence or revocation of its certificate of authority.

If this year's annual registration fee is not paid in full on or before the last day of the fourth month following its due date, the corporation's existence or certificate of authority to transact business in Virginia, as the case may be, will be automatically terminated or revoked as of that day. See §§ 13.1-752 and 13.1-914 of the Code for Virginia stock and nonstock corporations, and §§ 13.1-768 and 13.1-930 of the Code for foreign stock and nonstock corporations.

REGISTRATION FEE	\$	100.00
PRIOR YEARS BALANCE	\$	0.00
PENALTY	\$	
<b>TOTAL AMOUNT DUE</b>	<b>\$</b>	<b>100.00</b>

XI0438-00A

**J.E. LIESFELD, Contractor, Inc.**

OPERATING ACCOUNT  
1851 BENNINGTON ROAD  
ROCKVILLE, VIRGINIA 23146  
TELEPHONE: 804-749-3276

UNION FIRST MARKET BANK - GLEN ALLEN, VIRGINIA

DATE	CHECK NO.
11-26-12	39879

PAY *one hundred*  $\frac{00}{100}$

\$ *100.00*

J.E. LIESFELD, Contractor, Inc.

TO THE ORDER OF *SCC*

AUTHORIZED SIGNATURE

40

⑈039879⑈ ⑈051403164⑈ ⑈87694501⑈

*Certified True Copy  
Richard F. Faust*

# Commonwealth of Virginia



## STATE CORPORATION COMMISSION

*Richmond,* December 22, 1994

*This is to Certify that the certificate of incorporation of*

ZANNINO ENGINEERING, INC.

*was this day issued and admitted to record in this office  
and that the said corporation is authorized to transact its business  
subject to all Virginia laws applicable to the corporation and its  
business. Effective date:*

December 22, 1994



*State Corporation Commission*

*William J. Budge*

*Clerk of the Commission*

# Commonwealth of Virginia



## State Corporation Commission

*I Certify the Following from the Records of the Commission:*

NXL Construction Co., Inc. is a corporation existing under and by virtue of the laws of Virginia, and is in good standing.

The date of incorporation is November 17, 1989.

Nothing more is hereby certified.

*Signed and Sealed at Richmond on this Date:  
July 10, 2007*



*Joel H. Peck*  
\_\_\_\_\_  
*Joel H. Peck, Clerk of the Commission*

# Commonwealth OF Virginia



## State Corporation Commission

*I Certify the Following from the Records of the Commission:*

A duly attested copy of a certificate setting forth that NXL Construction Co., Inc. conducts business in Virginia under the assumed or fictitious name of NXL CONSTRUCTION SERVICES, INC. was filed in the Clerk's Office of the Commission on September 16, 1992.

Nothing more is hereby certified.



*Signed and Sealed at Richmond on this Date:  
July 29, 2009*

*Joel H. Peck*  
\_\_\_\_\_  
*Joel H. Peck, Clerk of the Commission*

# Commonwealth of Virginia



## STATE CORPORATION COMMISSION

Richmond, June 16, 2000

*This is to Certify that the certificate of incorporation of*

**GEO Design Consultants, Inc.**

*was this day issued and admitted to record in this office and that the said corporation is authorized to transact its business subject to all Virginia laws applicable to the corporation and its business. Effective date: June 16, 2000*



State Corporation Commission

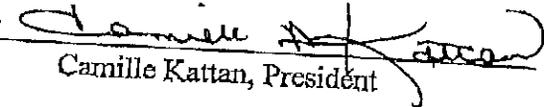
Attest:

*Joel H. Cook*  
Clerk of the Commission

ARTICLES OF AMENDMENT  
OF  
GEO DESIGN CONSULTANTS, INC.

1. The name of the Corporation is GEO Design Consultants, Inc.
2. Article I of the Corporation's Articles of Incorporation shall be amended to read as follows:  
"The name of the Corporation is Geotechnical Environmental and Testing Solutions, Inc."
3. The amendment was adopted by written unanimous of the Corporation's sole shareholder on June 27, 2000.

GEO DESIGN CONSULTANTS, INC.

By   
Camille Kattan, President

**COMMONWEALTH OF VIRGINIA  
STATE CORPORATION COMMISSION**

June 28, 2000

The State Corporation Commission has found the accompanying articles submitted on behalf of Geotechnical Environmental and Testing Solutions, Inc. (formerly GEO Design Consultants, Inc.) to comply with the requirements of law, and confirms payment of all related fees.

Therefore, it is ORDERED that this

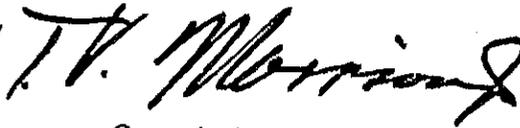
**CERTIFICATE OF AMENDMENT**

be issued and admitted to record with the articles of amendment in the Office of the Clerk of the Commission, effective June 28, 2000, at 11:23 AM.

The corporation is granted the authority conferred on it by law in accordance with the articles, subject to the conditions and restrictions imposed by law.

STATE CORPORATION COMMISSION

By



Commissioner

# Commonwealth of Virginia



## STATE CORPORATION COMMISSION

*Richmond, January 30, 2002*

*This is to Certify that the certificate of incorporation of*

**KDR Real Estate Services, Inc.**

*was this day issued and admitted to record in this office and that the said corporation is authorized to transact its business subject to all Virginia laws applicable to the corporation and its business. Effective date: January 30, 2002*



*State Corporation Commission*

*Attest:*

*Joel H. Beck*

*Clerk of the Commission*

# Commonwealth of Virginia



## STATE CORPORATION COMMISSION

*Richmond, June 2, 2003*

*This is to certify that the \_\_\_\_\_ certificate of incorporation of*

**Aquarius Engineering, PC**

*was this day issued and admitted to record in this office and that the said corporation is authorized to transact its business subject to all Virginia laws applicable to the corporation and its business. Effective date: June 2, 2003*



*State Corporation Commission*

*Attest:*

*Joel H. Beck*  
Clerk of the Commission

# Commonwealth of Virginia



## State Corporation Commission

*I Certify the Following from the Records of the Commission:*

Ty's Hauling and Paving, Inc. is a corporation existing under and by virtue of the laws of Virginia, and is in good standing.

The date of incorporation is February 20, 1997.

Nothing more is hereby certified.



*Signed and Sealed at Richmond on this Date:  
December 6, 2007*

*Joel H. Peck*

*Joel H. Peck, Clerk of the Commission*

COMMONWEALTH OF VIRGINIA  
STATE CORPORATION COMMISSION

February 20, 1997

The State Corporation Commission has found the accompanying  
articles submitted on behalf of

TY'S HAULING INC.

to comply with the requirements of law, and confirms payment of  
all related fees.

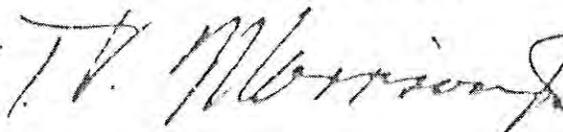
Therefore, it is ORDERED that this

CERTIFICATE OF INCORPORATION

be issued and admitted to record with the articles of  
incorporation in the Office of the Clerk of the Commission,  
effective February 20, 1997.

The corporation is granted the authority conferred on it by law in  
accordance with the articles, subject to the conditions and  
restrictions imposed by law.

STATE CORPORATION COMMISSION

By 

Commissioner

CORPACPT  
CIS20436  
97-02-19-0030

OF

-----LY'S WHOLE INC.-----

The undersigned, pursuant to Chapter 9 of Title 13.1 of the Code of Virginia, state(s) as follows:

1. The name of the corporation is:

-----LY'S WHOLE INC.-----

2. The number (and classes, if any) of shares the corporation is authorized to issue is (are):

Number of shares authorized	Class(es)
-----5,000-----	-----COMMON-----
-----	-----
-----	-----

3. A. The corporation's initial registered office address, including street and number, is:

-----11 S. FIRST STREET, RICHMOND, VA 23219-----

B. The name of the City or County in which the registered office is located is: -----RICHMOND CITY-----

4. A. The name of the corporation's initial registered agent, whose business office is identical with the above registered office, is: -----WILLIAM BOWEN-----

B. The initial registered agent is (mark appropriate box):

- (1) An individual who is a resident of Virginia and
  - an initial director of the corporation
  - a member of the Virginia State Bar

OR

- (2)  A professional corporation registered under the provisions of Section 54-42.2, Code of Virginia

5. The names and addresses of the initial directors (required if the initial registered agent is an initial director) are:

-----BOWEN BOWEN 200 MURKIN RICHMOND, VA 23217-----

-----GERALD LEE 124 N. MURKIN RICHMOND, VA 23215-----

6. INCORPORATOR(S):

-----

-----

Signature(s)

Printed name(s)



Commonwealth of Virginia  
State Corporation Commission

Virg

CISM0180

CORPORATE DATA INQUIRY

11/28/12

15:37:28

CORP ID: 0380029 - 9 STATUS: 01 FEE DELINQUENT STATUS DATE: 09/01/12  
CORP NAME: LIQUID, INC.

DATE OF CERTIFICATE: 08/21/1991 PERIOD OF DURATION: INDUSTRY CODE: 00  
STATE OF INCORPORATION: VA VIRGINIA STOCK INDICATOR: S STOCK  
MERGER IND: CONVERSION/DOMESTICATION IND:  
GOOD STANDING IND: N NOT PAID MONITOR INDICATOR:  
CHARTER FEE: 50.00 MON NO: MON STATUS: MONITOR DTE:  
R/A NAME: KEITH L PHILLIPS

STREET: 311 SOUTH BLVD

AR RTN MAIL:

CITY: RICHMOND STATE : VA ZIP: 23220  
R/A STATUS: 4 ATTORNEY EFF. DATE: 08/23/99 LOC : 216  
ACCEPTED AR#: 212 17 5387 DATE: 11/13/12 RICHMOND CITY  
CURRENT AR#: 212 17 5387 DATE: 11/13/12 STATUS: A ASSESSMENT INDICATOR: 0

YEAR	FEES	PENALTY	INTEREST	TAXES	BALANCE	TOTAL SHARES
12	100.00	10.00			10.00	5,000

---

(Screen Id:/Corp\_Data\_Inquiry)



Commonwealth of Virginia  
State Corporation Commission

Virg

CISM0180

CORPORATE DATA INQUIRY

11/28/12

13:18:42

CORP ID: 0611730 - 3 STATUS: 00 ACTIVE STATUS DATE: 05/30/12  
 CORP NAME: DERRICOTT E.L.P.T., INC.

DATE OF CERTIFICATE: 02/20/2004 PERIOD OF DURATION: INDUSTRY CODE: 00  
 STATE OF INCORPORATION: VA VIRGINIA STOCK INDICATOR: S STOCK  
 MERGER IND: CONVERSION/DOMESTICATION IND:  
 GOOD STANDING IND: Y MONITOR INDICATOR:  
 CHARTER FEE: 50.00 MON NO: MON STATUS: MONITOR DTE:  
 R/A NAME: LAKEYSIA D DERRICOTT

STREET: 13400 NURSERY RD

AR RTN MAIL:

CITY: ASHLAND STATE : VA ZIP: 23005  
 R/A STATUS: 2 OFFICER EFF. DATE: 11/07/11 LOC : 142  
 ACCEPTED AR#: 212 09 7773 DATE: 05/29/12 HANOVER COUNTY  
 CURRENT AR#: 212 09 7773 DATE: 05/29/12 STATUS: A ASSESSMENT INDICATOR: 0

YEAR	FEES	PENALTY	INTEREST	TAXES	BALANCE	TOTAL SHARES
12	100.00	10.00				5,000

(Screen Id:/Corp\_Data\_Inquiry)

## ATTACHMENT 4.2.5

### State Project No. 0005-043-714, P101, R201,C501

#### SCC and DPOR Information

Offerors shall complete the table and include the required state registration and licensure information. By completing this table, Offerors certify that their team complies with the requirements set forth in Section 4.2.5 and that all businesses listed are active and in good standing.

<b>SCC &amp; DPOR INFORMATION FOR BUSINESSES (RFP Sections 4.2.5.1 and 4.2.5.2)</b>							
<b>Business Name</b>	<b>SCC Information (4.2.5.1)</b>			<b>DPOR Information (4.2.5.2)</b>			
	<b>SCC Number</b>	<b>SCC Type of Corporation</b>	<b>SCC Status</b>	<b>DPOR Registered Address</b>	<b>DPOR Registration Type</b>	<b>DPOR Registration Number</b>	<b>DPOR Expiration Date</b>
<b>Southwood Builders, Inc.</b>	<b>0160585-6</b>	<b>Stock Corporation</b>	<b>Active</b>	<b>627 North Washington Hwy Ashland, VA 23005</b>	<b>Contractor Class A</b>	<b>2701014742</b>	<b>04-30-2014</b>
<b>Vanasse Hangen Brustlin, Inc. (VHB)</b>	<b>F1170440</b>	<b>Stock Corporation</b>	<b>Active</b>	<b>351 McLaws Circle Suite 3 Williamsburg, VA 23185</b>	<b>Business Entity Branch Office</b>	<b>0411000235</b>	<b>02-28-2014</b>
<b>Vanasse Hangen Brustlin, Inc. (VHB)</b>	<b>F1170440</b>	<b>Stock Corporation</b>	<b>Active</b>	<b>4500 Main Street Suite 400 Virginia Beach, VA 23462</b>	<b>Business Entity Branch Office</b>	<b>0411000348</b>	<b>02-28-2014</b>
<b>Vanasse Hangen Brustlin, Inc. (VHB)</b>	<b>F1170440</b>	<b>Stock Corporation</b>	<b>Active</b>	<b>115 S. 15<sup>th</sup> Street Suite 200 Richmond, VA 23219</b>	<b>Business Entity Branch Office</b>	<b>0407003225</b>	<b>12-31-2013</b>
<b>J E Liesfeld Contractor, Inc.</b>	<b>0172002-8</b>	<b>Stock Corporation</b>	<b>Active</b>	<b>1851 Bennington Road Rockville, VA 23146</b>	<b>Contractor Class A</b>	<b>2701013051</b>	<b>02-28-2014</b>
<b>Zannino Engineering, Inc.</b>	<b>0438706-4</b>	<b>Stock Corporation</b>	<b>Active</b>	<b>9915 Greenwood Road Glen Allen, VA 23060</b>	<b>Business Entity</b>	<b>0407003572</b>	<b>12-31-2013</b>
<b>NXL Construction Co., Inc.</b>	<b>0349742-7</b>	<b>Stock Corporation</b>	<b>Active</b>	<b>114 E. Cary Street Suite 200 Richmond, VA 23219</b>	<b>Business Entity</b>	<b>0407003031</b>	<b>12-31-2013</b>

**ATTACHMENT 4.2.5**

**State Project No. 0005-043-714, P101, R201,C501**

**SCC and DPOR Information**

GET Solutions, Inc.	0541847	Stock Corporation	Active	204 Grayson Road Virginia Beach, VA 23462	Business Entity	0407004018	12-1-2013
GET Solutions, Inc.	0541847	Stock Corporation	Active	1592 Penniman Road, Suite E Williamsburg, VA 23185	Business Entity Branch Office	0411000366	02-28-2014
KDR Real Estate, Inc.	05712410-4	Stock Corporation	Active	2500 Grenoble Road Richmond, VA 23294	Real Estate Business Entity	0226007129	12-31-2012
Aquarius Engineering	0597483-7	Stock Corporation	Active	2400 Ketch Court Virginia Beach, VA 23451	Business Entity	0405001372	12-31-2013
Derricott ELPT	06117303	Stock Corporation	Active	13400 Nursery Road Ashland, VA 23005	Contractor Class B	2705072331	13-31-2012
Liquid, Inc.	0380029-9	Stock Corporations	Active	P O Box 25117 Richmond, VA 23260	Contractor Class A	2705065065	9-30-2013
Ty's Hauling and Paving, Inc.	0479835-1	Stock Corporation	Active	2900 Bells Road Richmond, VA 23234	Contractor Class A	2705072372	12-31-2014

**ATTACHMENT 4.2.6(a)**

**LEAD CONTRACTOR - WORK HISTORY FORM**

**(LIMIT 1 PAGE PER PROJECT)**

a. Project Name & Location	b. Name of the prime design consulting firm responsible for the overall project design.	c. Contact information of the Client or Owner and their Project Manager who can verify Firm's responsibilities.	d. Contract Completion Date (Original)	e. Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Dollar Value of Work Performed by the Firm identified as the Lead Contractor for this procurement.(in thousands)
					Original Contract Value	Final or Estimated Contract Value	
Name: West Area Middle School No. 1  Location: Henrico, VA	Name: Moseley Architects, P. C.	Name of Owner: School Board of Henrico County Phone: (804) 652-3899 Project Manager: Don Large Phone: (804) 652-3899 Email: delarge@henrico.k12.va.us	June 2010	June 2010	\$23,253	\$23,253	\$8,138

h. Narrative describing the Work Performed by the Firm identified as the Lead Contractor for this procurement. If the Offeror chooses to submit work completed by an affiliated or subsidiary company of the Lead Contractor, identify the full legal name of the affiliate or subsidiary and the role they will have on this Project, so the relevancy of that work can be considered accordingly.

The project consists of the construction of an approximately 120,000 SF middle school. The school includes an auditorium, gymnasium, food service equipment, offices, and associated classroom spaces. It is a masonry and steel bearing facility, with masonry exterior and interior walls, aluminum composite panels, metal and modified bitumen roofing. Site work, track, baseball, softball field, basketball courts, tennis courts, bus loop and parking. Underground storm, water, and sanitary piping. Heat pumps and exchanger units. Central plant for heating and water distribution; and a closed circuit cooler. Automatic wet sprinkler protection system. Electrical systems, security, fire alarm, intercom communications and data/phone rough-ins. Installed 3195 L.F.8" and 12" water main, detector 6"check vault, 3"water meter, 6 each fire hydrants, and associated 8" and 6" valves & fittings. C-900 PVC and Ductile Iron Pipe. All lines were Hydrostatic Tested and Chlorinated with Passing Bacteria Samples. This is a LEED project.



**ATTACHMENT 4.2.6(a)**

**LEAD CONTRACTOR - WORK HISTORY FORM**

**(LIMIT 1 PAGE PER PROJECT)**

a. Project Name & Location	b. Name of the prime design consulting firm responsible for the overall project design.	c. Contact information of the Client or Owner and their Project Manager who can verify Firm's responsibilities.	d. Contract Completion Date (Original)	e. Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Dollar Value of Work Performed by the Firm identified as the Lead Contractor for this procurement.(in thousands)
					Original Contract Value	Final or Estimated Contract Value	
Name: Matoaca Park, Parking, Roadway & Athletic Improvements  Location: Chesterfield, VA	Name: Timmons Group	Name of Owner: Chesterfield County, Dept of Parks and Recreation Phone: (804) 748-1623 Project Manager: Stuart Connock, Jr. Phone: (804) 748-1623 Email: <a href="mailto:connocks@chesterfield.gov">connocks@chesterfield.gov</a>	January 2007	January 2007	\$536	\$536	\$456

h. Narrative describing the Work Performed by the Firm identified as the Lead Contractor for this procurement. If the Offeror chooses to submit work completed by an affiliated or subsidiary company of the Lead Contractor, identify the full legal name of the affiliate or subsidiary and the role they will have on this Project, so the relevancy of that work can be considered accordingly.

The project consists of demolition of asphalt roads and parking lots, basketball courts, benches, bumper blocks, decking, goals and other site amenities; removal and/or relocation of existing light poles, grading and drainage improvements around the existing football field, grading around limits of asphalt and concrete trails to maintain existing drainage patterns, construction of approximately 100 space asphalt parking lot, access drives, curb and gutter, sidewalks, landscaping, seeding, and other amenities, and associated grading, drainage, erosion control, and other improvements.

**ATTACHMENT 4.2.6(a)**

**LEAD CONTRACTOR - WORK HISTORY FORM**

**(LIMIT 1 PAGE PER PROJECT)**

a. Project Name & Location	b. Name of the prime design consulting firm responsible for the overall project design.	c. Contact information of the Client or Owner and their Project Manager who can verify Firm's responsibilities.	d. Contract Completion Date (Original)	e. Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Dollar Value of Work Performed by the Firm identified as the Lead Contractor for this procurement.(in thousands)
					Original Contract Value	Final or Estimated Contract Value	
Name: Lake Anna State Park New Campground, Linen Building, Cabin Road  Location: Spotsylvania Co.	Name: Hankins and Anderson	Name of Owner: Dept of Conservation & Recreation, Virginia Phone: (804) 225-2738 Project Manager: Kelly McClary Phone: (804) 225-2738 Email: Kelly.mcclary@dcr.virginia.gov	April 2006	April 2006	\$3,149	\$3,149	\$1,574

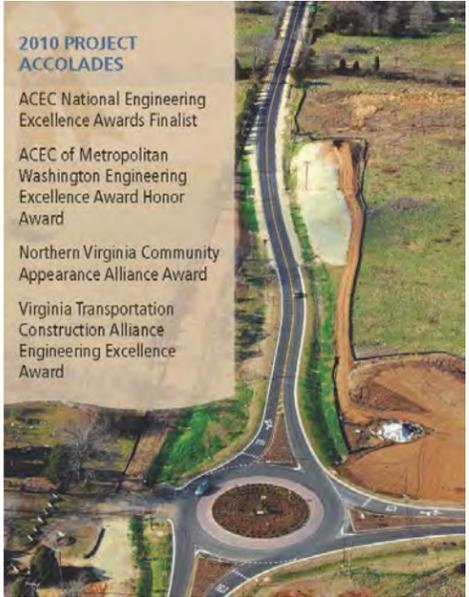
h. Narrative describing the Work Performed by the Firm identified as the Lead Contractor for this procurement. If the Offeror chooses to submit work completed by an affiliated or subsidiary company of the Lead Contractor, identify the full legal name of the affiliate or subsidiary and the role they will have on this Project, so the relevancy of that work can be considered accordingly.

Project consists of approximately 1 mile of entrance road, approximately 2 miles of access roads to 53 individual camp sites. Infrastructure included approximately 4" C-900 PC 7300 linear feet of water line, underground electrical to all camp sites, sewer systems and a R.V. dump station. Yard Hydrants at camp sites. Support facilities included the construction of two comfort stations (bathrooms and showers), a well house, and a linen storage facility. All lines were Hydrostatic Tested and Chlorinated with Passing Bacteria Samples.

**ATTACHMENT 4.2.6(b)**

**LEAD DESIGNER - WORK HISTORY FORM**

**(LIMIT 1 PAGE PER PROJECT)**

a. Project Name & Location	b. Name of the prime/general contractor responsible for overall construction of the project.	c. Contact information of the Client and their Project Manager who can verify Firm's responsibilities.	d. Construction Contract Completion Date (Original)	e. Construction Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Design Fee for the Work Performed by the Firm identified as the Lead Designer for this procurement.(in thousands)
					Construction Contract Value (Original)	Construction Contract Value (Actual or Estimated)	
VHB ①							
<b>Name: Design-Build Services for Route 50 Roadway Improvements Project (Gilberts Corner)</b>  Location: Loudoun County, VA	Name: The Lane Construction Company	Name of Client.: Virginia Department of Transportation Northern Virginia District Phone: 703-383-2394 Project Manager: Brian Fry 4975 Alliance Drive Fairfax, VA 22030 Phone: 703-383-8368 Email: Brian.Fry@vdot.virginia.gov	March 2010	December 2009	\$13,400	\$13,400	\$1,900
h. Narrative describing the Work Performed by the Firm identified as the Lead Designer for this procurement. Include the office location(s) where the design work was performed and whether the firm was the prime designer or a subconsultant.							
<p>Spanning a 20-mile corridor of Route 50 from Paris in Fauquier County to Lenah in Loudoun County, the project focused on traffic and pedestrian safety, context sensitive design, rural traffic calming, scenic and historic preservation, and also involved extensive public participation. The team of VHB/Vanasse Hangen Brustlin, Inc. and Lane Construction were responsible for the 1.2 mile Gilberts Corner section of Route 50 in Loudoun County. This section of the project included construction of four roundabouts at or near the existing intersection of Route 50 and Route 15 and construction of a new roadway between Route 50 and Route 15.</p> <p>As the design lead, VHB designed the roadway based on a preliminary concept plan developed by VDOT. VHB made substantive alterations to the original concept plan that provided both operational and safety enhancements. In addition, VHB also developed an innovative construction staging plan that positively influenced the final design to be implemented, and introduced the drivers of Virginia to roundabouts. VHB was responsible for acquiring all related environmental permits and for providing right-of-way acquisition design services. This comprehensive design-build project also relied on VHB to perform utility relocation design services, construction phase design services, and structural services for permanent structures.</p> <p><b>VHB Design Offices:</b> Virginia Beach, Williamsburg, Richmond, Tysons Corner.  <b>VHB's Role:</b> Prime Designer, Subconsultant to Lane Construction Company</p> <p><b>Relevance to the Capital Trail Varina Phase Project:</b></p> <ul style="list-style-type: none"> <li>• VHB has proven Design Build experience as the Lead Designer.</li> <li>• VHB's proposed Design Manager for the I-64 widening was integral to the design development of Gilberts Corner.</li> <li>• VHB developed a creative and detailed TMP for this heavily traveled corridor that minimized disruption to the public.</li> <li>• VHB contributed to an extensive Public Awareness Program and Outreach for VDOT that introduced the public to the changing traffic patterns and the use of roundabouts.</li> </ul>							
							

**ATTACHMENT 4.2.6(b)**

**LEAD DESIGNER - WORK HISTORY FORM**

**(LIMIT 1 PAGE PER PROJECT)**

b. Project Name & Location VHB ②	b. Name of the prime/general contractor responsible for overall construction of the project.	c. Contact information of the Client and their Project Manager who can verify Firm's responsibilities.	d. Construction Contract Completion Date (Original)	e. Construction Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Design Fee for the Work Performed by the Firm identified as the Lead Designer for this procurement.(in thousands)
					Construction Contract Value (Original)	Construction Contract Value (Actual or Estimated)	
Name: <b>Sarasota Rails to Trails: The Legacy Trail Design-Build</b>  Location: <b>Sarasota County, FL</b>	Name: Gibbs & Register, Inc.	Name of Client.: Sarasota County Phone: 941-861-5000 Project Manager: Thai Tran, PE Manager, Public Works, Road Program Design Phone: 941-861-0889 Email: TTran@scgov.net	March 2008	March 2008	\$14,700	\$14,700	\$1,000

h. Narrative describing the Work Performed by the Firm identified as the Lead Designer for this procurement. Include the office location(s) where the design work was performed and whether the firm was the prime designer or a subconsultant.

Envisioned two decades ago as a haven for walkers, hikers, cyclists and joggers; the long-awaited Venice to Sarasota Rail Trail has finally become a reality. Thanks to \$15 million in additional funding, Phase II of the planned development was completed in 2008:

- Design and construction of 10.6 miles of 12 foot wide paved trail
- Rehabilitation of four railroad bridges and installation of two new bridges
- Construction of eight trail access connections
- Connection to the Venetian Waterway Trail

The trail, now known as The Legacy Trail, required integration of environmental constraints, historical requirements, stormwater management, and the County's master trail plan. As the design lead on this important link in the County's master trail plan, VHB was responsible for:

- Preparing the preliminary and final construction plans
- Ensuring that all necessary permits were approved
- Providing value engineering collaboration
- Designing road crossings and signals
- Bridge rehabilitation and replacement design

VHB provided environmental services that included creating a program to manage contaminated soils and investigating potential impacts to threatened and endangered species. We included design to avoid impacts to the numerous protected gopher tortoises and scrub-jays along the trail. VHB also prepared the Environmental Resource Permit for the Southwest Florida Water Management District.



**ATTACHMENT 4.2.6(b)**

**LEAD DESIGNER - WORK HISTORY FORM**

**(LIMIT 1 PAGE PER PROJECT)**

c. Project Name & Location	b. Name of the prime/general contractor responsible for overall construction of the project.	c. Contact information of the Client and their Project Manager who can verify Firm's responsibilities.	d. Construction Contract Completion Date (Original)	e. Construction Contract Completion Date (Actual or Estimated)	f. Contract Value (in thousands)		g. Design Fee for the Work Performed by the Firm identified as the Lead Designer for this procurement.(in thousands)
					Construction Contract Value (Original)	Construction Contract Value (Actual or Estimated)	
VHB ③  Name: <b>Designing and Planning the Blackstone River Bikeway</b>  Location: <b>Blackstone River Valley National Heritage Corridor, RI</b>	Name: (Design-Bid-Build project)	Name of Client: Rhode Island Department of Environmental Management Phone: 401-222-2797 Project Manager: Robert Bailey Principal Civil Engineer 235 Promenade Street Providence, RI 02908 Phone: 401-222-2776 x7702 Email: robert.bailey@dem.ri.gov	Original contract was signed in 1993 with a value of \$3.2M. The scope and fee at this time was known to have numerous items to be completed by supplemental agreement as the design details were developed.	2017	\$22,500 (to construct 15.5 miles of bikeway)	Approximately 5 miles remain. Anticipate \$3M more in design fees and construction costs of \$20M.	\$9,500

h. Narrative describing the Work Performed by the Firm identified as the Lead Designer for this procurement. Include the office location(s) where the design work was performed and whether the firm was the prime designer or a subconsultant.

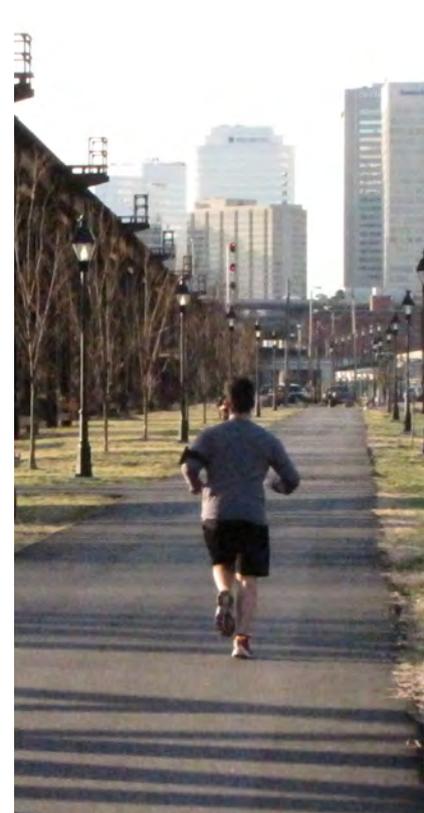
VHB developed innovative plans for creating the bikeway over the course of the project, which began in 1993. The bikeway traverses varied terrains including through sensitive wetlands on a boardwalk, through urban and industrial areas, along an active railroad, and across a dam. Other challenges included the mitigation of contaminated soils on EPA Superfund sites. An active railroad required design of rail grade crossings. VHB's experience in context-sensitive design enabled the construction of bikeway segments on the original historic 1830 Blackstone Canal towpath and through historic mill districts. VHB professionals served as technical guides, successfully bringing the design through substantive technical reviews and right-of-way coordination to achieve consensus from RIDOT, RIDEM, the National Park Service, and several state and federal agencies. VHB also participated in the permitting, design and construction of the bikeway.



A Design-Build Project

# Virginia Capital Trail - Varina Phase

Henrico County, Virginia



SUBMITTED TO



State Project No.: 0005-043-714, P-101, R-201, C-501

Federal Project No.: STP-5127(785)

Contract ID No.: C00086280DB58

SUBMITTED BY



**Southwood Building Systems, Inc.**

IN ASSOCIATION WITH



*Vanasse Hangen Brustlin, Inc.*

ATTACHMENT 4.0.1.2

**DESIGN-BUILD PRICE PROPOSAL  
CHECKLIST**

**Project Name: VIRGINIA CAPITAL TRAIL – VARINA PHASE  
Contract ID Number: C00086280DB58**

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➤ **Contents of Price Proposal:**

- Proposal Price, in both numbers and words (Attachment 4.3.1)**
  - Price Adjustment Information and Forms for Fuel, Asphalt and Steel, including identification of pay items and associated quantities eligible for adjustment (Part 3, Section 6.3, Attachments 6.3)**
  - Proposal Guaranty (C-24) required by Section 102.07 of Part 5, Division I Amendments to the Standard Specifications**
  - Sworn Statement Forms (C-104, C-105, Attachments 4.8.7(a) and 4.8.7(b))**
  - DBE Requirements Forms (C-111, C-49 and C-112) as applicable (Attachments 4.3.5(a), 4.3.5(b) and 4.3.5(c))**
  - CD-ROM containing the entire Price Proposal in a single cohesive Adobe PDF file**
-

### 4.3.1 Price Proposal

**ATTACHMENT 4.3.1**

**PRICE PROPOSAL FORM**

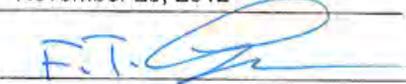
4.3.1 Offeror shall specify, the following pricing information, in both numbers and words:

.1 Proposal Price;

Lump Sum Fourteen million two hundred thirty thousand five hundred thirty five and no/100

(\$ 14,230,535.00 )

Date: November 29, 2012

Signature: 

Design-Builder: Southwood Builders, Inc.

Vendor No.: S065

### 4.3.2 Price Adjustment

**EXHIBIT 6.3(a)  
ADJUSTMENT FOR ASPHALT**

**SPECIAL PROVISION FOR  
ASPHALT MATERIAL PRICE ADJUSTMENT  
DESIGN-BUILD PROJECTS**

June 30, 2011

In the event the Design-Builder elects to seek adjustment for asphalt items designated in the Price Proposal\Contract as Price Adjustment Items such items will be subject to price adjustment as set forth herein. Other items will not be adjusted, except as otherwise specified in the contract. If new pay items which contain Asphalt Material are established by Work Order, they will not be subject to Price Adjustment unless specifically designated in the Work Order to be subject to Price Adjustment.

Each month, the Department will publish an average state-wide PG 64-22 f.o.b. price per ton developed from the average terminal prices provided to the Department from suppliers of asphalt cement to contractors doing work in Virginia. The Department will collect terminal prices from approximately 12 terminals each month. These prices will be received once each month from suppliers on or about the last weekday of the month. The high and low prices will be eliminated and the remaining values averaged to establish the average statewide price for the following month. That monthly state-wide average price will be posted on the Scheduling and Contract Division website on or about the first weekday of the following month.

This monthly statewide average price will be the Base Index for all contracts on which bids are received during the calendar month of its posting and will be the Current Index for all asphalt placed during the calendar month of its posting. In the event an index changes radically from the apparent trend, as determined by the Engineer, the Department may establish an index which is determined to best reflect the trend.

The amount of adjustment applied will be based on the difference between the Price Proposal\Contract Base Index and the Current Index for the applicable calendar month during which the work is performed. Adjustment of any asphalt material item designated as a price adjustment item which does not contain PG 64-22, except PG 76-22, will be based on the indexes for PG 64-22. The quantity of asphalt cement for asphalt concrete pavement to which adjustment will be applied will be the quantity based on the percent of asphalt cement shown on the appropriate approved job mix formula.

The quantity of asphalt emulsion for surface treatments to which adjustment will be applied will be the quantity based on 65 percent residual asphalt.

Price adjustment will be shown as a separate entry on the monthly application of payment for work packages completed; however, such adjustment will not be included in the total cost of the work for progress determination or for extension of contract time.

In order to be eligible for asphalt price adjustment under this provision, the Design-Builder shall clearly identify in its Price Proposal those pay items and the associated quantities it chooses to have asphalt price adjustment applied to in its work packages. Items the Design-Builder claims in its application of payment for asphalt adjustments must be properly designated in order to be considered for adjustment. Items not properly designated or left out of the Design-Builder's Price Proposal will automatically not be considered for adjustment.

Any apparent attempt to unbalance bids in favor of items subject to price adjustment or failure to submit required cost and price data as noted hereinbefore may result in rejection of items for asphalt adjustment.

I elect to use this provision

I elect not to use this provision

Date: November 29, 2012

Signature: 

Design-builder: Southwood Builders, Inc.

Vendor No.: S065

EXHIBIT 6.3(b)

Form C-16a  
7-13-05

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
PRICE ADJUSTMENT

INSTRUCTIONS - This form is to be completed and returned ONLY when asphalt concrete items are designated for price adjustment on the bid price sheets.

PROJECT NUMBER:

DISTRICT:

Bid Prices in this contract for items containing PG 64-22 asphalt cement were developed using an f.o.b. price of \$ \_\_\_\_\_ per IMPERIAL ton for PG 64-22. This quote will be averaged into the monthly price index.

Bid Prices in this contract for items containing PG 76-22 asphalt cement were developed using an f.o.b. price of \$ \_\_\_\_\_ per IMPERIAL ton for PG 76-22. This quote is project specific.  
(When required by provisions)

Price quotes signed by each supplier from which the Design-Builder proposes to obtain PG 64-22 or PG 76-22 shall be maintained by the successful bidder. These quotes shall be retained on site during the life of the Contract for review by the Engineer upon request.

I elect to use this provision  
 I elect not to use this provision

DATE: 11/29/12

SIGNATURE: 

Southwood Builders, Inc.  
(Firm or Corporation)

S065  
(Vendor No.)

**EXHIBIT 6.3 (c)  
ADJUSTMENT FOR FUEL**

**VIRGINIA DEPARTMENT OF TRANSPORTATION  
SPECIAL PROVISION FOR  
OPTIONAL ADJUSTMENT FOR FUEL  
DESIGN-BUILD PROJECTS**

June 30, 2011

In the event the Design-Builder elects to seek adjustment for fuel items designated in the Price Proposal/Contract as Price Adjustment Items such items will be subject to price adjustment as set forth herein. Other items will not be adjusted, except as otherwise specified in the contract.

The Design-Builder will submit their monthly application for payment associated with eligible work packages with an adjustment up or down as appropriate for cost changes in fuel used on specific items of work identified in this provision. A master listing of standard items eligible for fuel adjustment is provided by the Department on its website at the following link <http://www.virginiadot.org/business/resources/masteroptionalfuelitems.pdf>. The listing on the web site also includes the corresponding fuel factor for each item. The fuel usage factor for each item is considered inclusive of all fuel usage.

The amount of adjustment will be computed from the change in the indexes and the on-site fuel use as shown in the Department's master listing of eligible items.

In order to be eligible for fuel adjustment under this provision, the Design-Builder shall clearly identify in its Price Proposal those pay items and the associated quantities it chooses to have fuel adjustment applied to in its work packages. Items the Design-Builder claims in its application of payment for fuel adjustments must be properly designated in order to be considered for adjustment. Items not properly designated or left out of the Design-Builder's Price Proposal will automatically not be considered for adjustment.

The monthly index price to be used in the administration of this provision will be calculated by the Department from the Diesel fuel prices published by the U. S. Department of Energy, Energy Information Administration on highway diesel prices, for the Lower Atlantic region. The monthly index price will be the price for diesel fuel calculated by averaging each of the weekly posted prices for that particular month.

For the purposes of this provision, the base index price will be calculated using the data from the month preceding the receipt of bids. The base index price will be posted by the Department at the beginning of the month for all bids received during that month.

The current index price will be posted by the Department and will be calculated using the data from the month preceding the particular estimate being vouchered for payment.

The current monthly quantity for eligible items of work selected by the Design-Builder for fuel adjustment in its work packages will be multiplied by the appropriate fuel factor to determine the gallons of fuel to be cost adjusted. The amount of adjustment per gallon will be the net difference between the current index price and the base index price. Computation for adjustment will be made as follows:

$$S = (E - B) QF$$

Where; S = Monetary amount of the adjustment (plus or minus)

B = Base index price

E = Current index price

Q = Quantity of individual units of work

F = Appropriate fuel factor

Adjustments will not be made for work performed beyond the original contract time limit unless the original time limit has been changed by an executed Work Order.

If new pay items are added to this contract by Work Order and they are listed in the Department's master listing of eligible items, the Work Order must indicate which of these individual items will be fuel adjusted; otherwise, those items will not be fuel adjusted. If applicable, designating which new pay items will be added for fuel adjustment must be determined during development of the Work Order and clearly shown on the Work Order form. The Base Index price on any new eligible pay items added by Work Order will be the Base Index price posted for the month in which bids were received for that particular project. The Current Index price for any new eligible pay items added by Work Order will be the Index price posted for the month preceding the estimate on which the Work Order is paid.

When quantities differ between the last monthly application of payment prepared upon final acceptance and the final application of payment, adjustment will be made using the appropriate current index for the period in which that specific item of work was last performed.

In the event any of the base fuel prices in this contract increase more than 100 percent (i.e. fuel prices double), the Department will review each affected item of work and give the Design-Builder written notice if work is to stop on any affected item of work. The Department reserves the right to reduce, eliminate or renegotiate the price for remaining portions of affected items of work.

Any amounts resulting from fuel adjustment will not be included in the total cost of work for determination of progress or for extension of contract time.

I elect to use this provision

I elect not to use this provision

Date: 11/29/12

Signature: 

Design-builder: Southwood Builders, Inc.

Vendor No.: S065

**EXHIBIT 6.3(d)  
ADJUSTMENT FOR STEEL**

**VIRGINIA DEPARTMENT OF TRANSPORTATION  
SPECIAL PROVISION FOR  
PRICE ADJUSTMENT FOR STEEL  
DESIGN-BUILD PROJECTS**

June 30, 2011

In the event the Design-Builder elects to seek adjustment for steel items designated in the Price Proposal/Contract as Price Adjustment Items such items will be subject to price adjustment as set forth herein. If new pay items which involve steel are established by Work Order, they will not be subject to Price Adjustment unless specifically designated in the Work Order to be subject to Price Adjustment.

The Design-Builder will submit their monthly application for payment associated with eligible work packages with an adjustment up or down as appropriate for cost changes in steel used on specific items of work identified in the Price Proposal/contract in accordance with this provision. Provided at the end of this provision is a master listing of standard bid items the Department has determined are eligible for steel price adjustment. Inventoried materials from the listing of eligible items are specifically excluded for consideration. In addition, concrete items where reinforcing steel is normally included in the unit bid price for the item such as (but not limited to) drop inlets, median barriers, sound barrier walls, bridge railing and parapets, are not eligible for consideration under this provision.

The requirements of this provision shall apply only to material cost changes that occur between the date of the opening of the Price Proposal and the date the material is shipped to the fabricator. To be eligible for this price adjustment, Design-Builder is required to fill out the accompanying Form for Price Adjustment for Eligible Steel Items on Design-Build Projects and submit the same with its Price Proposal for the Project. By signing the Form and submitting it with its Price Proposal Design-Builder declares its intention to participate in the price adjustment in its contract with the Department. For the purposes of this provision, the prices listed on the Form for Price Adjustment for Eligible Steel Items on Design-Build projects are fixed for cost and adjustment calculations regardless of quantities incorporated into final design. Further, in order for steel items to be eligible for adjustment, once shipped to the fabricator, the items shall be specifically stored, labeled, or tagged, recognizable by color marking, and identifiable by project for inspection and audit verification.

Design-Builder shall upon request furnish documentation supporting the price per pound for eligible steel items as shown on the Form for Price Adjustment for Eligible Steel Items on Design-Build Projects furnished with its Price Proposal. Design-Builder must use the format as shown with this Form; no other format for presenting this information will be permitted. Design-Builder shall certify that all items of documentation are original and were used in the computation of the price per pound amount for the represented eligible pay items for the month the Price Proposal was opened. This documentation shall support the base line material price ("Base Price") of the steel item only. Base price per pound shall not include the following cost components: fabrication, shipping, storage, handling, and erection.

Failure to submit all documentation required or requested supporting the per pound prices on eligible steel items will result in Design-Builder being ineligible for a price adjustment of any or all steel items.

Price adjustment of each qualifying item under consideration will be subject to the following condition:

There is an increase or decrease in the cost of eligible steel materials in excess of 10 percent up to a maximum of 60 percent from the Base Price when compared with the latest published price index ("Price Index") in effect at the time material is shipped to the fabricator.

The Price Index the Department is using is based on The U.S. Department of Labor, Bureau of Labor Statistics, Producers Price Index (PPI) which measures the average price change over time of the specific steel eligible item from the perspective of the seller of goods. The Master List table provided at the end of this provision indicates the Producers Price Index (PPI) steel category index items and the corresponding I.D. numbers to which VDOT items will be compared. **Please note:** The Producers Price Index (PPI) is subject to revision 4 months after original publication, therefore, price adjustments and payments will not be made until the index numbers are finalized.

The price adjustment will be determined by computing the percentage of change in index value beyond 10 percent above or below the index on the date of opening of Design-Builder's Price Proposal to the index value on the date the steel material is shipped to the fabricator (Please see included sample examples). Weights and date of shipment must be documented by a bill of lading provided to the Department. The final price adjustment dollar value will be determined by multiplying this percent increase or decrease in the index (after 10%) by the represented quantity of steel shipped, by the Base Price per pound subject to the limitations herein.

**Price increase/decrease will be computed as follows:**

$$A = B \times P \times Q$$

- Where;
- A = Steel price adjustment in lump sum dollars
  - B = Average weighted price of steel submitted in Design-Builder's Price Proposal for project in price per pound as listed on the Form for Price Adjustment for Eligible Steel Items on Design-Build Project
  - P = Adjusted percentage change in PPI average from shipping date to date of opening of Price Proposal minus 10% (0.10) threshold
  - Q = Total quantity of steel in pounds shipped to fabricator for specific project

The need for application of the adjustments herein to extra work will be determined by the Engineer on an individual basis and, if appropriate, will be specified on the Work Order.

This price adjustment is capped at 60 percent. This means the maximum "P" value for increase or decrease that can be used in the above equation is 50% (60%-10% threshold).

Calculations for price adjustment shall be shown separate from the monthly progress payment for work packages and will not be included in the total cost of work for determination of progress or for extension of contract time.

Upon Department review and due process consideration for redress by Design-Builder, any apparent evidence to unbalance the price supplied by Design-Builder in favor of items subject to price adjustment will result in ineligibility for Department participation under this provision.



---

**Sample Calculation of a Price Adjustment (increase)**

---

Project bid on April 28, 2004.

Project has 450,000 lb. of eligible structural steel.

Design Builder's \*f.o.b. supplier price for structural steel submitted in the Price Proposal is \$0.2816 per pound.

\*free on board

Adjusted\*\* BLS Producers Price Index (PPI) most recently published average at time of opening of the Price Proposal is 139.6.

All eligible steel shipped to fabricator in same month, October 2004.

Adjusted BLS Producers Price Index (PPI) most recently published average for month of October is 161.1

Adjustment formula is as follows:

$$A = B \times P \times Q$$

- Where;
- A = Steel price adjustment in lump sum dollars
  - B = Average weighted price of steel submitted in the Price Proposal for Design-Build project in \$ per pound
  - P = Adjusted percentage change in PPI average from shipping date to date of submitted Price Proposal minus 10% (0.10) threshold
  - Q = Total quantity of eligible steel shipped to fabricator in October 2004 for this project in pounds

$$B = \$0.2816$$

$$P = (161.1 - 139.6) / 139.6 - 0.10 = 0.054$$

$$Q = 450,000 \text{ lb.}$$

$$A = 0.2816 \times 0.054 \times 450,000$$

$$A = \$6,842.88 \text{ pay adjustment to Design-Builder}$$

---

**Sample Calculation of a Price Adjustment (decrease)**

---

Project bid on April 28, 2004.

Project has 450,000 lb. of eligible structural steel.

Design-Builder's \*f.o.b. supplier price for structural steel submitted in the Price Proposal is \$0.2816 per pound.

\*free on board

Adjusted BLS Producers Price Index (PPI) most recently published average at time of opening of the Price Proposal is 156.6.

All eligible steel shipped to fabricator in same month, October 2004.

Adjusted BLS Producers Price Index (PPI) most recently published average for month of October is 136.3

Adjustment formula is as follows:

$$A = B \times P \times Q$$

- Where;
- A = Steel price adjustment in lump sum dollars
  - B = Average weighted price of steel submitted in the Price Proposal for Design-Build project in \$ per pound
  - P = Adjusted percentage change in PPI average from shipping date to date of submitted Price Proposal minus 10% (0.10) threshold
  - Q = Total quantity of eligible steel shipped to fabricator in October 2004 for this project in pounds

$$B = \$0.2816$$

$$P = (156.6 - 136.3) / 156.6 - 0.10 = 0.030$$

$$Q = 450,000 \text{ lb.}$$

$$A = 0.2816 \times 0.030 \times 450,000$$

$$A = \$3,801.60 \text{ credit to Department}$$

**MASTER LISTING**

**STANDARD BID ITEMS ELIGIBLE FOR STEEL PRICE ADJUSTMENT**

March 18, 2009

BLS Series I. D.

ITEM NUMBER	ITEM DESCRIPTION	UNITS	Number WPU used in \$ adjust.
00519	SHEET PILE, STEEL	SF	avg. 1017 & 101
00540	REINF. STEEL	LB	101704
00542	EPOXY COATED REINF. STEEL	LB	101704
00560	STRUCTURAL STEEL JB-1	LB	avg. 1017 & 101
11030	REINF. STEEL BRIDGE APPR. SLAB	LB	101704
11181	PATCH.HYDR.CEM.CONC. PAVE.	SY	101704
13290	GUARDRAIL GR-8 (NCHRP 350 TL-3)	LF	avg. 1017 & 101
13292	GUARDRAIL GR-8A (NCHRP 350 TL-3)	LF	avg. 1017 & 101
13294	GUARDRAIL GR-8B (NCHRP 350 TL-3)	LF	avg. 1017 & 101
13310	GUARDRAIL TERMINAL GR-6 (NCHRP 350)	LF	avg. 1017 & 101
13320	GUARDRAIL GR-2	LF	avg. 1017 & 101
13323	GUARDRAIL GR-2A	LF	avg. 1017 & 101
13331	RAD. GUARDRAIL GR-2	LF	avg. 1017 & 101
13333	RAD. GUARDRAIL GR-2A	LF	avg. 1017 & 101
13335	GUARDRAIL GR-3	LF	avg. 1017 & 101
13341	GUARDRAIL TER. GR-6(WEATHERING STEEL	LF	avg. 1017 & 101
13351	GUARDRAIL GR-8	LF	avg. 1017 & 101
13352	GUARDRAIL GR-8A	LF	avg. 1017 & 101
13353	GUARDRAIL GR-8B	LF	avg. 1017 & 101
13355	GUARDRAIL GR-10	LF	avg. 1017 & 101
13421	MEDIAN BARRIER MB-3	LF	avg. 1017 & 101
13450	MEDIAN BARRIER MB-5	LF	avg. 1017 & 101
13451	MEDIAN BARRIER MB-5A	LF	avg. 1017 & 101
13452	MEDIAN BARRIER MB-5B	LF	avg. 1017 & 101
13545	REINF. STEEL	LB	101704
14502	REINFORCING STEEL	LB	101704
15290	PATCH.CEM.CONC.PAVE.TY.CRCP-A	SY	101704
15302	PATCH.CEM.CONC.PAVE. TY. II	SY	101704
15305	PATCH.CEM.CONC.PAVE. TY. IV-A	SY	101704
17323	GUARDRAIL BEAM *	LF	avg. 1017 & 101
17325	RADIAL GUARDRAIL BEAM *	LF	avg. 1017 & 101
17327	RUB RAIL	LF	avg. 1017 & 101
17353	CABLE GR-3	LF	avg. 1017 & 101
17521	GUARDRAIL BEAM (WEATHERING STEEL)	LF	avg. 1017 & 101
17523	RADIAL GUARDRAIL BEAM (WEATHERING STEEL)	LF	avg. 1017 & 101
17525	RUB RAIL (WEATHERING STEEL)	LF	avg. 1017 & 101
22501	FENCE FE-W1	LF	avg. 1017 & 101
22643	FENCE FE-CL	LF	avg. 1017 & 101
22645	FENCE FE-CL VINYL COATED	LF	avg. 1017 & 101
23043	WATER GATE FE-4 TY.III	LF	avg. 1017 & 101
23501	FENCE FE-W1 (FABRIC ONLY)	LF	avg. 1017 & 101
45522	4" STEEL ENCASE. PIPE	LF	101706
45532	6" STEEL ENCASE. PIPE	LF	101706
45562	16" STEEL ENCASE. PIPE	LF	101706

45572	18" STEEL ENCASE. PIPE	LF	101706
45582	24" STEEL ENCASE. PIPE	LF	101706
45584	24" JACKED STEEL ENCASUREMENT PIPE	LF	101706
45592	30" STEEL ENCASE. PIPE	LF	101706
50402	SIGN POST STEEL 3"	LF	101706
50404	SIGN POST STEEL 4"	LF	101706
50406	SIGN POST STEEL 6"	LF	101706
50410	SIGN POST STEEL 10"	LF	101706
50412	SIGN POST STEEL 12"	LF	101706
50414	SIGN POST STEEL 14"	LF	101706
50416	SIGN POST STEEL 16"	LF	101706
50418	SIGN POST STEEL 18"	LF	101706
51317	SIG. POLE MP-1 20' ONE ARM 30'	EA	101706
51319	SIG. POLE MP-1 20' ONE ARM 32'	EA	101706
51325	SIG. POLE MP-1 20' ONE ARM 38'	EA	101706
51327	SIG. POLE MP-1 20' ONE ARM 40'	EA	101706
51329	SIG. POLE MP-1 20' ONE ARM 42'	EA	101706
51331	SIG. POLE MP-1 20' ONE ARM 44'	EA	101706
51337	SIG. POLE MP-1 20' ONE ARM 50'	EA	101706
51339	SIG. POLE MP-1 20' ONE ARM 52'	EA	101706
51341	SIG. POLE MP-1 20' ONE ARM 54'	EA	101706
51344	SIG. POLE MP-1 20' ONE ARM 56'	EA	101706
51346	SIG. POLE MP-1 20' ONE ARM 58'	EA	101706
51347	SIG. POLE MP-1 20' ONE ARM 60'	EA	101706
51348	SIG. POLE MP-1 20' ONE ARM 62'	EA	101706
51368	SIG. POLE MP-1 20' TWO ARMS 36' & 42'	EA	101706
51400	SIG. POLE MP-1 CO. LU. ONE ARM 38	EA	101706
51402	SIG. POLE MP-1 CO. LU. ONE ARM 40	EA	101706
51408	SIG. POLE MP-1 CO. LU. ONE ARM 46	EA	101706
51412	SIG. POLE MP-1 CO. LU. ONE ARM 50	EA	101706
51414	SIG. POLE MP-1 CO. LU. ONE ARM 52	EA	101706
51416	SIG. POLE MP-1 CO. LU. ONE ARM 54	EA	101706
51418	SIG. POLE MP-1 CO. LU. ONE ARM 56	EA	101706
51420	SIG. POLE MP-1 CO. LU. ONE ARM 58	EA	101706
51422	SIG. POLE MP-1 CO. LU. ONE ARM 60	EA	101706
55162	LIGHTING POLE LP-1 30'-4'	EA	101706
55163	LIGHTING POLE LP-1 30'-6'	EA	101706
55166	LIGHTING POLE LP-1 30'-12'	EA	101706
55169	LIGHTING POLE LP-1 35'-6'	EA	101706
55171	LIGHTING POLE LP-1 35'-10'	EA	101706
55176	LIGHTING POLE LP-1 40'-8'	EA	101706
55185	LIGHTING POLE LP-2 TYPE A	EA	101706
55186	LIGHTING POLE LP-2 TYPE B	EA	101706
55187	LIGHTING POLE LP-2 TYPE C	EA	101706
55188	LIGHTING POLE LP-2 TYPE D	EA	101706
55189	LIGHTING POLE LP-2 TYPE E	EA	101706
55190	LIGHTING POLE LP-2 TYPE F	EA	101706
55192	LIGHTING POLE LP-2 TYPE H	EA	101706
60452	REINF. STEEL BRIDGE APPR. SLAB	LB	101704
61700	REINF. STEEL	LB	101704
61704	CORROSION RESISTANT REINF. STEEL	LB	101704
61705	EPOXY COATED REINF. STEEL	LB	101704
61750	STRUCT. STEEL HIGH STRG. PLT. GIRDERS	LB	avg. 1017 & 101
61811	STR. STEEL PLATE GIRDER ASTM A709 GRADE50	LB	avg. 1017 & 101
61812	STR. STEEL PLATE GIRDER ASTM A709 GRADE50	LB	avg. 1017 & 101
61813	STR. STEEL PLATE GIRDER ASTM A709 GRADEHPS50W	LB	avg. 1017 & 101

61814	STR.STEEL PLATE GIRDER ASTM A709 GRADEHPS70W	LB	avg. 1017 & 101
61820	STR.STEEL ROLLED BEAM ASTM A709 GRADE 36	LB	avg. 1017 & 101
61821	STR.STEEL ROLLED BEAM ASTM A709 GRADE50	LB	avg. 1017 & 101
61822	STR.STEEL ROLLED BEAM ASTM A709 GRADE50W	LB	avg. 1017 & 101
61990	STEEL GRID FLOOR	SF	avg. 1017 & 101
64110	STEEL PILES 10"	LF	avg. 1017 & 101
64112	STEEL PILES 12"	LF	avg. 1017 & 101
64114	STEEL PILES 14"	LF	avg. 1017 & 101
64768	DRIVING TEST FOR 12" STEEL PILE	LF	avg. 1017 & 101
64778	DRIVING TEST FOR 14" STEEL PILE	LF	avg. 1017 & 101
65200	REINF. STEEL	LB	101704
65204	CORROSION RESISTANT REINF. STEEL	LB	101704
65205	EPOXY COATED REINF. STEEL	LB	101704
67086	PED. FENCE 6'	LF	avg. 1017 & 101
67088	PED. FENCE 8'	LF	avg. 1017 & 101
67089	PED. FENCE 10'	LF	avg. 1017 & 101
68100	REINF. STEEL	LB	101704
68104	CORROSION RESISTANT REINF. STEEL	LB	101704
68105	EPOXY COATED REINF. STEEL	LB	101704
68107	STR.STEEL PLATE GIRDER ASTM A709 GRADE50	LB	avg. 1017 & 101
68108	STR. STEEL PLATE GIRDER ASTM A709 GR50W	LB	avg. 1017 & 101
68109	STR. STEEL PLATE GIRDER ASTM A709 GR.HPS50W	LB	avg. 1017 & 101
68110	STR. STEEL PLATE GIRDER ASTM A709 GR.HPS70W	LB	avg. 1017 & 101
68112	STR.STEEL ROLLED BEAM ASTM A709 GR.36	LB	avg. 1017 & 101
68113	STR.STEEL ROLLED BEAM ASTM A709 GR.50	LB	avg. 1017 & 101
68114	STR.STEEL ROLLED BEAM ASTM A709 GR. 50W	LB	avg. 1017 & 101
68115	STRUCT. STEEL	LB	avg. 1017 & 101
68270	REINF. STEEL BRIDGE APPR. SLAB	LB	101704
69060	SHEET PILES, STEEL	SF	avg. 1017 & 101
69100	REINF. STEEL	LB	101704
69104	CORROSION RESISTANT REINF. STEEL	LB	101704
69105	EPOXY COATED REINF. STEEL	LB	101704
69110	STEEL PILES 10"	LF	avg. 1017 & 101
69112	STEEL PILE 12"	LF	avg. 1017 & 101
69113	DRIVING TEST FOR 12" STEEL PILE	LF	avg. 1017 & 101

I elect to use this provision

I elect not to use this provision

Date: 11/29/2012

Signature: 

Design-Builder: Southwood Builders, Inc.

Vendor No.: S065

### 4.3.3 Proposal Guaranty

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
PROPOSAL GUARANTY

KNOW ALL MEN BY THESE PRESENTS, THAT WE Southwood Builders, Inc. As principal, and Fidelity and Deposit Company of Maryland Surety, are held and firmly bound unto the Commonwealth of Virginia as obligee, in the amount of FIVE PERCENT OF THE DOLLAR VALUE OF THE BID, lawful money of the United States of America, for the payment of which, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally and firmly by these presents.

SIGNED, sealed and dated this 29th Day of November, 2012

WHEREAS, the above said principal is herewith submitting its proposal for:

PROJECT NUMBER: State Project No. 0005-043-714, P101, R201, C501  
Federal Project No. STP-5127(785); Contract ID No. C00086280DB58

NOW, THEREFORE, the condition of the above obligee is such, that if the aforesaid principal shall be awarded the contract upon said proposal and shall within the time specified in the Specifications after the notice of such award enter into a contract and give bond for the faithful performance of the contract, then this obligation shall be null and void; otherwise to remain in full force and effect and the principal and surety will pay unto the obligee the difference in money between the amount of the bid of the said principal and the amount for which the obligee may legally contract with another party to perform the said work if the latter amount be in excess of the former; but in no event shall the liability exceed the penal sum hereof.

Southwood Builders, Inc.  
(Principal\*)

By: F.T. Evans  
(Officer, Partner or Owner) (Seal)  
F.T. Evans, President

(Principal\*)

By: \_\_\_\_\_  
(Officer, Partner or Owner) (Seal)

(Principal\*)

By: \_\_\_\_\_  
(Officer, Partner or Owner) (Seal)

Fidelity and Deposit Company of Maryland  
(Surety Company)

By: Donna M. Bisgrove  
(Attorney-in-Fact\*) (Seal)  
Donna M. Bisgrove

1401 American Lane, Schaumburg, IL 60196  
(Address)

By: \_\_\_\_\_  
(Surety Company)

(Attorney-in-Fact\*\*) (Seal)

By: \_\_\_\_\_  
(Address)

\*Note: If the principal is a *joint venture*, each party thereof must be named and execution made by same hereon. If there is more than one surety to the bid bond, each surety must be named and execution shall be made by same hereon.

**Electronic Bid Only:** In lieu of completing the above section of the Contract Performance Bond, the Principal shall file an Electronic Bid Bond when bidding electronically. By signing below the Principal is ensuring the identified electronic bid bond has been executed and the Principal and Surety are firmly bound unto the Commonwealth of Virginia under the same conditions of the bid bond as shown above.

Electronic Bid Bond ID#

Company/Bidder Name

Signature and Title

\*\*Attach copy of Power of Attorney

**Power of Attorney  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**

KNOW ALL MEN BY THESE PRESENTS: That the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, a corporation of the State of Maryland, by FRANK E. MARTIN JR., Vice President, and ERIC D. BARNES, Assistant Secretary, in pursuance of authority granted by Article VI, Section 2, of the By-Laws of said Company, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, does hereby nominate, constitute and appoint **R. C. MOORE, III, A. Whitfield SMITH, JR., Robert A. QUICKE, JR., Donna M. BISGROVE, Laurie DAUGHERTY, Susan E. WACHTER and Kaylyn PASCARELLA, all of Richmond, Virginia, EACH** its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for and on its behalf as surety, and as its act and deed: **any and all bonds and undertakings, and the execution of such bonds or undertakings** in pursuance of these presents, shall be as binding upon said Company, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the Company at its office in Baltimore, Md., in their own proper persons. This power of attorney revokes that issued on behalf of R. C. MOORE, III, A. Whitfield SMITH, JR., Robert A. QUICKE, JR., Donna M. BISGROVE, Laurie DAUGHERTY, Susan E. WACHTER, Kaylyn HOLSHU, dated August 4, 2009.

The said Assistant Secretary does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article VI, Section 2, of the By-Laws of said Company, and is now in force.

IN WITNESS WHEREOF, the said Vice-President and Assistant Secretary have hereunto subscribed their names and affixed the Corporate Seal of the said FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 9th day of September, A.D. 2011.

ATTEST:

**FIDELITY AND DEPOSIT COMPANY OF MARYLAND**



*Eric D. Barnes*

Eric D. Barnes

Assistant Secretary

*Frank E. Martin Jr.*

By:

Frank E. Martin Jr.

Vice President

State of Maryland }  
City of Baltimore } ss:

**FOR YOUR PROTECTION,  
LOOK FOR THE ZURICH WATERMARK**

On this 9th day of September, A.D. 2011, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, came FRANK E. MARTIN JR., Vice President, and ERIC D. BARNES, Assistant Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and they each acknowledged the execution of the same, and being by me duly sworn, severally and each for himself depose and saith, that they are the said officers of the Company aforesaid, and that the seal affixed to the preceding instrument is the Corporate Seal of said Company, and that the said Corporate Seal and their signatures as such officers were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.



*Constance A. Dunn*

Constance A. Dunn

Notary Public

My Commission Expires: July 14, 2015

**EXTRACT FROM BY-LAWS OF FIDELITY AND DEPOSIT COMPANY OF MARYLAND**

"Article VI, Section 2. The Chairman of the Board, or the President, or any Executive Vice-President, or any of the Senior Vice-Presidents or Vice-Presidents specially authorized so to do by the Board of Directors or by the Executive Committee, shall have power, by and with the concurrence of the Secretary or any one of the Assistant Secretaries, to appoint Resident Vice-Presidents, Assistant Vice-Presidents and Attorneys-in-Fact as the business of the Company may require, or to authorize any person or persons to execute on behalf of the Company any bonds, undertakings, recognizances, stipulations, policies, contracts, agreements, deeds, and releases and assignments of judgements, decrees, mortgages and instruments in the nature of mortgages,...and to affix the seal of the Company thereto."

**CERTIFICATE**

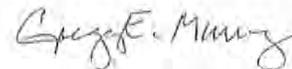
I, the undersigned, Assistant Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that the Vice-President who executed the said Power of Attorney was one of the additional Vice-Presidents specially authorized by the Board of Directors to appoint any Attorney-in-Fact as provided in Article VI, Section 2, of the By-Laws of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed."

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seal of the said Company,

this 29th day of November, 2012



Assistant Secretary

#### 4.3.4 Sworn Statements

**COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION**

PROJECT: 0005-043-714, P101, R201, C501

FHWA: STP-5127(785)

This form must be completed, signed and returned with bid; and failure to do so may result in the rejection of your bid. **THE CONTRACTOR SHALL AFFIRM THE FOLLOWING STATEMENT EITHER BY SIGNING THE AFFIDAVIT AND HAVING IT NOTARIZED OR BY SIGNING THE UNSWORN DECLARATION UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES. A SEPARATE FORM MUST BE SUBMITTED BY EACH PRINCIPAL OF A JOINT VENTURE BID.**

**STATEMENT.** In preparation and submission of this bid, I, the firm, corporation or officers, agents or employees thereof did not, either directly or indirectly, enter into any combination or arrangement with any persons, firm or corporation or enter into any agreement, participate in any collusion, or otherwise take any action in the restraint of free, competitive bidding in violation of the Sherman Act (15 U.S.C. Section 1) or Article 1.1 or Chapter 12 of Title 18.2 (Virginia Governmental Frauds Act), Sections 59.1-9.1 through 59.1-9.17 or Sections 59.1-68.6 through 59.1-68.8 of the Code of Virginia.

**AFFIDAVIT**

The undersigned is duly authorized by the bidder to make the foregoing statement to be filed with bids submitted on behalf of the bidder for contracts to be let by the Commonwealth Transportation Board.

Signed at Hanover County, Virginia , this 29th day of November , 20 12  
County (City), STATE  
Southwood Builders, Inc. By: [Signature] President  
(Name of Firm) (Signature) Title (print)  
STATE of Virginia COUNTY (CITY) of Hanover County

To-wit: I Ashley Michelle Gresch , a Notary Public in and for the State and County(City) aforesaid, hereby certify that this day F. T. Evans

personally appeared before me and made oath that he is duly authorized to make the above statements and that such statements are true and correct.

Subscribed and sworn to before me this 29th day of November , 20 12  
[Signature] My Commission expires 01/31/2016  
Notary Public

**OR  
UNSWORN DECLARATION**

The undersigned is duly authorized by the bidder to make the foregoing statement to be filed with bids submitted on behalf of the bidder for contracts to be let by the Commonwealth Transportation Board.

Signed at \_\_\_\_\_ , this \_\_\_\_\_ day of \_\_\_\_\_ , 20 \_\_\_\_\_  
County (City), STATE  
By: \_\_\_\_\_  
(Name of Firm) (Signature) Title (print)



COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
AFFIDAVIT

PROJECT: Capital Trail - Varina Phase 0005-043-714, P101, R201, C501

FHWA: STP-5127(785)

This form must be completed, signed, notarized and returned with bid; and failure to do so, may result in the rejection of your bid. A separate form must be submitted by each principal of a joint venture bid.

1. I, the firm, corporation or officers, agents or employees thereof have neither directly nor indirectly entered into any combination or arrangement with any person, firm or corporation or entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with such contract, the effect of which is to prevent competition or increase the cost of construction or maintenance of roads or bridges.

During the preceding twelve months, I (we) have been a member of the following Highway Contractor's Associations, as defined in Section 33.1-336 of the Code of Virginia (1970). (If none, so state).

NAME	Location of Principal Office
RAMCA	Richmond, Virginia
_____	_____
_____	_____

2. I (we) have , have not , participated in a previous contract or subcontract subject to the equal opportunity clause, as required by Executive Orders 10925, 11114, or 11246, and that I/We have , have not , filed with the joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor [41 CFR 60-1.7(b)(1)], and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contract or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contract and subcontract unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

(Continued)

ORDER NO.:  
CONTRACT ID. NO.:

Form C-105  
page 2

3. The bidder certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal department or agency;
  - (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offence in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated above; and
  - (d) Where the bidders is unable to certify to any of the statements in this certification, the bidder shall show an explanation below.

Explanations will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any explanation noted, indicate below to whom it applies, initiating agency, and dates of action. Providing false information may result in federal criminal prosecution or administration sanctions. The bidder shall provide immediate written notice to the Department if at any time the bidder learns that its certification was erroneous when submitted or has become erroneous by reason of change circumstances.

The undersigned is duly authorized by the bidder to make the foregoing statements to be filed with bids submitted on behalf of the bidder for contracts to be let by the Commonwealth Transportation Board.

Signed at Hanover County, Virginia, this 29~~th~~ day of November, 20 12  
County (City), STATE

Southwood Builders, Inc By: F.T. Evans President  
(Name of Firm) (Signature) Title (print)

STATE of Virginia COUNTY (CITY) of Richmond  
To-wit:

I Ashley Michelle Gresch, a Notary Public in and for the State and County(City) aforesaid, hereby certify that this day F. T. Evans

personally appeared before me and made oath that he is duly authorized to make the above statements and that such statements are true and correct.

Subscribed and sworn to before me this 29th day of November, 20 12

Ashley Michelle Gresch My Commission expires 01/31/2016  
Notary Public



COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
**MINIMUM DBE REQUIREMENTS**

PROJECT NO. 0005-043-714, P101, R201, C501  
FHWA NO. STP-5127(785)

**\*\*\* INSTRUCTIONS \*\*\***

THIS FORM CAN BE USED BY THE CONTRACTOR TO SUBMIT THE NAMES OF DBE FIRMS TO BE UTILIZED ON THE PROJECT. THE CONTRACTOR SHALL INDICATE THE DESCRIPTION OF THE CATEGORY (S, M, SP or H) AND THE TYPE OF WORK THAT EACH DBE WILL PERFORM AND THE ALLOWABLE CREDIT PER ITEM(S). ADDITIONAL SHEETS TO SHOW THE ALLOWABLE CREDIT PER ITEM MAY BE ATTACHED IF NECESSARY. **PLEASE NOTE:** THE AMOUNT OF ALLOWABLE CREDIT FOR A DBE SUPPLIER IS 60% OF THE TOTAL COST OF THE MATERIALS OR SUPPLIES OBTAINED AND 100% FOR A DBE MANUFACTURER OF THE MATERIALS AND SUPPLIES OBTAINED. A CONTRACTOR MAY COUNT 100% OF THE FEES PAID TO A DBE HAULER FOR THE DELIVERY OF MATERIALS AND SUPPLIES TO THE PROJECT SITE, BUT NOT FOR THE COST OF THE MATERIALS AND SUPPLIES THEMSELVES.

DBE REQUIREMENT 16 %  
PERCENT ATTAINED BY BIDDER 13.3 %

NAMES(S) AND CERTIFICATION NO. OF DBE(S) TO BE USED	USED AS SUBCONTR. (S) MFG. (M) SUPPLIER (SP) HAULER (H)	TYPE OF WORK AND ITEM NO(S)	\$ AMOUNT OF ALLOWABLE CREDIT PER ITEM
<u>Aquarius Engineering, PC #626652</u>	<u>S</u>	<u>Engineering Services</u>	<u>146,000.00</u>
<u>Liquid, Inc. #626510</u>	<u>S</u>	<u>Concrete, Clearing/Grubbing</u>	<u>150,000.00</u>
<u>Derricott ELPT, Inc. #626274</u>	<u>S</u>	<u>Sitework, Hauling</u>	<u>120,000.00</u>
<u>Ty's Hauling &amp; Paving, Inc. #010419</u>	<u>S</u>	<u>Asphalt Paving</u>	<u>790,000.00</u>
<u>NXL Construction Co., Inc. #626437</u>	<u>S</u>	<u>Quality Assurance Management</u>	<u>530,986.00</u>
<u>Ty's Hauling &amp; Paving, Inc. #010419</u>	<u>H</u>	<u>Hauling</u>	<u>150,000.00</u>
<b>TOTAL</b>			<b>\$ 1,886,986.00</b>

TOTAL CONTRACT VALUE \$ 14,230,535.00 x REQUIRED DBE 16 % = \$ 2,276,885.00

I/WE CERTIFY THAT THE PROPOSED DBE(S) SUBMITTED WILL BE USED ON THIS CONTRACT AS STATED HEREON AND ASSURE THAT DURING THE LIFE OF THE CONTRACT, I/WE WILL MEET OR EXCEED THE PARTICIPATION ESTABLISHED HEREON BY THE DEPARTMENT.

Southwood Builders, Inc.  
BIDDER  
President  
TITLE

BY

F.T. Evans  
SIGNATURE F.T. Evans

BY

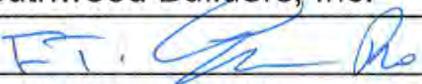
November 29, 2012  
DATE

### 4.3.5 DBE Requirements Forms

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**

**--DO NOT DETACH--**

**THIS INFORMATION MUST BE SUBMITTED  
WITH YOUR BID PROPOSAL IF YOUR BID DOES  
NOT MEET THE PROJECT DBE REQUIREMENTS,  
OR  
WHEN REQUESTED BY VDOT**

CONTRACT I.D. NUMBER C00086280DB58  
PROJECT NUMBER 0005-043-714, P101, R201, C501  
FHWA NUMBER STP-5127(785)  
DISTRICT Richmond  
DATE BID SUBMITTED November 29, 2012  
BIDDER'S NAME Southwood Builders, Inc.  
SIGNATURE   
TITLE F. T. Evans, President  
VENDOR NUMBER S065  
DBE GOAL FROM BID PROPOSAL 16%

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**

CONTRACT I.D. NO. C00086280DB58 DATE SUBMITTED 11/29/12

IF THE DBE GOAL ESTABLISHED FOR THIS CONTRACT HAS NOT BEEN MET OR VDOT REQUESTS THE SUBMITTAL THEREOF, THE BIDDER IS REQUIRED TO SUBMIT GOOD FAITH EFFORTS AS OUTLINED IN THIS DOCUMENT.

THE BIDDER ACKNOWLEDGES AND CERTIFIES THAT THIS FORM ACCURATELY REPRESENTS THE INFORMATION CONTAINED HEREIN.

BIDDER Southwood Builders, Inc. SIGNATURE   
TITLE F. T. Evans, President

NAMES OF CERTIFIED DBEs AND THE DATES ON WHICH THEY WERE SOLICITED TO BID ON THIS PROJECT

INCLUDE THE ITEMS OF WORK OFFERED AND THE DATES AND METHODS USED FOR FOLLOWING UP INITIAL SOLICITATIONS TO DETERMINE WHETHER OR NOT DBEs WERE INTERESTED.

NAMES AND VENDOR NUMBERS OF DBEs SOLICITED	DATE OF INITIAL SOLICITATION	ITEM(S) OF WORK	FOLLOW-UP METHODS AND DATES
United Unlimited Contracting	11/19/12	No areas of interest to this contractor	Nov. 19, 21 phone
NXL	11/12/12	Quality Assurance Mgt.	Nov. 12, 16, 19, 26, 27 phone, misc emails
Liquid	11/12/12	Exterior Concrete, Clearing and Grubbing	Nov. 12, 14, 19, 21, 27 phone, misc emails
Ty's Hauling	11/14/12	Asphalt Paving	Nov. 14, 16, 19, 26, 27 phone, misc emails
Alston & Associates	11/19/12	Utilities	Nov. 19, 26, 27 phone
Derricott ELPT	11/19/12	Sitework, Hauling	Nov. 19, 27 phone

**NOTE:** ATTACH ADDITIONAL PAGES IF NECESSARY

ATTACH COPIES OF SOLICITATIONS, TELEPHONE RECORDS, FAX CONFIRMATIONS, ELECTRONIC INFORMATION, ETC.

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**

CONTRACT I.D. NO. C00086280DB58 DATE SUBMITTED 11/29/12

IF THE DBE GOAL ESTABLISHED FOR THIS CONTRACT HAS NOT BEEN MET OR VDOT REQUESTS THE SUBMITTAL THEREOF, THE BIDDER IS REQUIRED TO SUBMIT GOOD FAITH EFFORTS AS OUTLINED IN THIS DOCUMENT.

THE BIDDER ACKNOWLEDGES AND CERTIFIES THAT THIS FORM ACCURATELY REPRESENTS THE INFORMATION CONTAINED HEREIN.

BIDDER Southwood Builders, Inc. SIGNATURE   
TITLE F. T. Evans, President

**TELEPHONE LOG**

DBE(s) CALLED	TELEPHONE NUMBER	DATE CALLED	TIME CALLED	CONTACT PERSON OR VOICE MAIL STATUS
United Unlimited Contracting	804-343-7266	Nov. 12		Merlin Hargrove
NXL	804-644-4600	Nov. 12,16,19, 26,27		Emily Ripka
Liquid	804-261-0051	Nov.12,14, 19,21,27		David Harris
Ty's Hauling	804-271-5956	Nov.14,16, 19,26,27		Malcolm Thomas
Alston & Associates	804-561-7700	Nov.19,26, 27		Virgil Alston
Derricott ELPT	804-752-3427	Nov.19,27		William Derricott, Jr.

**NOTE: ATTACH ADDITIONAL PAGES IF NECESSARY**

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**

CONTRACT I.D. NO. C00086280DB58 DATE SUBMITTED 11/29/12

IF THE DBE GOAL ESTABLISHED FOR THIS CONTRACT HAS NOT BEEN MET OR VDOT REQUESTS THE SUBMITTAL THEREOF, THE BIDDER IS REQUIRED TO SUBMIT GOOD FAITH EFFORTS AS OUTLINED IN THIS DOCUMENT.

THE BIDDER ACKNOWLEDGES AND CERTIFIES THAT THIS FORM ACCURATELY REPRESENTS THE INFORMATION CONTAINED HEREIN.

BIDDER Southwood Builders, Inc. SIGNATURE   
TITLE F. T. Evans, President

**ITEM(S) OF WORK THAT THE BIDDER MADE AVAILABLE TO DBE FIRMS**

IDENTIFY THOSE ITEM(S) OF WORK THAT THE BIDDER MADE AVAILABLE TO DBE FIRMS OR THOSE ITEM(S) THE BIDDER IDENTIFIED AND DETERMINED TO SUBDIVIDE INTO ECONOMICALLY FEASIBLE UNITS TO FACILITATE DBE PARTICIPATION. FOR EACH ITEM LISTED, SHOW THE DOLLAR VALUE AND PERCENTAGE OF THE TOTAL CONTRACT AMOUNT. IT IS THE BIDDER'S RESPONSIBILITY TO DEMONSTRATE THAT SUFFICIENT WORK TO MEET THE GOAL WAS MADE AVAILABLE TO DBE FIRMS.

ITEM(S) OF WORK MADE AVAILABLE	BIDDER NORMALLY PERFORMS ITEM(S) (Y/N)	ITEM(S) BROKEN DOWN TO FACILITATE PARTICIPATION (Y/N)	AMOUNT IN DOLLARS	PERCENTAGE OF CONTRACT
Exterior Concrete Clearing/Grubbing Storm Sewer Asphalt Paving Quality Assurance				

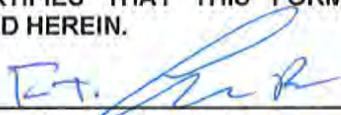
**NOTE:** INFORMATION REQUIRED FOR THIS SECTION CONTINUED ON SHEET 5  
ATTACH ADDITIONAL PAGES IF NECESSARY

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**

CONTRACT I.D. NO. C00086280DB58 DATE SUBMITTED 11/29/12

IF THE DBE GOAL ESTABLISHED FOR THIS CONTRACT HAS NOT BEEN MET OR VDOT REQUESTS THE SUBMITTAL THEREOF, THE BIDDER IS REQUIRED TO SUBMIT GOOD FAITH EFFORTS AS OUTLINED IN THIS DOCUMENT.

THE BIDDER ACKNOWLEDGES AND CERTIFIES THAT THIS FORM ACCURATELY REPRESENTS THE INFORMATION CONTAINED HEREIN.

BIDDER Southwood Builders, Inc. SIGNATURE   
TITLE F. T. Evans, President

**ADDITIONAL INFORMATION REGARDING ITEM(S) OF WORK THAT THE BIDDER MADE AVAILABLE TO DBE FIRMS** (Continued From Sheet 4)

ITEM(S) OF WORK MADE AVAILABLE, NAMES OF SELECTED FIRMS AND DBE STATUS, DBEs THAT PROVIDED QUOTES, PRICE QUOTE FOR EACH FIRM, AND THE PRICE DIFFERENCE FOR EACH DBE IF THE SELECTED FIRM IS NOT A DBE.

ITEM(S) OF WORK MADE AVAILABLE(CONT.)	NAME OF SELECTED FIRM AND VENDOR NUMBER	DBE OR NON-DBE	NAME OF REJECTED FIRM(S)	QUOTE IN DOLLARS	PRICE DIFFERENCE IN DOLLARS
N/A					

NOTE: ATTACH ADDITIONAL PAGES IF NECESSARY.

IF THE FIRM SELECTED FOR THE ITEM IS NOT A DBE, PROVIDE THE REASON(S) FOR THE SELECTION ON A SEPARATE PAGE AND ATTACH.

PROVIDE NAMES, ADDRESSES, AND TELEPHONE NUMBERS FOR THE FIRMS LISTED ABOVE.

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
DBE GOOD FAITH EFFORTS DOCUMENTATION

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BIDDER Southwood Builders, Inc. SIGNATURE   
TITLE 0005-043-714, P101, R201, C501 F. T. Evans, President

**ADVERTISEMENTS OR PROOFS OF PUBLICATION.**

NAMES AND DATES OF EACH PUBLICATION IN WHICH A REQUEST FOR DBE PARTICIPATION FOR THE PROJECT WAS PLACED BY THE BIDDER. ATTACH COPIES OF PUBLISHED ADVERTISEMENTS OR PROOFS OF PUBLICATION.

PUBLICATIONS	DATES OF ADVERTISEMENT
Richmond Times Dispatch - back up copies attached -also includes the daily listings on the internet publications	Sunday, 11/21/2012 Daily Internet Publications

NOTE: ATTACH ADDITIONAL PAGES IF NECESSARY



## SOUTHWOOD BUILDERS, INC.

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P.O. Box 1016 • Ashland, Virginia 23005  
804-798-9225 • FAX 804-798-8702

November 21, 2012

Richmond Times Dispatch  
Classified

VIA FAX 819-5577

Please run the following ad under "Sealed Proposals" in the paper on Sunday, 11/25/12:

*Southwood Builders, Inc. is soliciting quotes from MBE/WBE/DBE/SWaM subcontractors and suppliers on the following project:*

*Virginia Capital Trail – Varina Phase  
VDOT, State Project No. 005-043-714, P101, R201, C501*

*Bids are to be received in the office of SOUTHWOOD Builders, Inc. before 11:00 A M on Thursday November 29, 2012. Telephone (804) 798-9225; Fax (804) 798-8702.  
Southwood is an Equal Employment Opportunity Contractor.*

Please call me if you have any questions at 798-9225, Ext. 313.

Thank you,  
SOUTHWOOD BUILDERS, INC.

*Leslie Lipscomb*

Leslie Lipscomb  
Asst. Project Manager  
[Leslie@southwoodbuilders.com](mailto:Leslie@southwoodbuilders.com)

MODE = MEMORY TRANSMISSION

START=NOV-21 10:11

END=NOV-21 10:11

FILE NO.=364

STN NO.	COMM.	ONE-TOUCH/ ABBR NO.	STATION NAME/EMAIL ADDRESS/TELEPHONE NO.	PAGES	DURATION
001	OK	*	8195577	001/001	00:00:30

-SOUTHWOOD BUILDERS -

\*\*\*\*\* -SOUTHWOOD BLDRS - \*\*\*\*\* 8047988702-\*\*\*\*\*



## SOUTHWOOD BUILDERS, INC.

P.O. Box 1016 • Ashland, Virginia 23005  
804-798-9225 • FAX 804-798-8702

November 21, 2012

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VDOT, State Project No. 005-043-714, P101, R201, C501*

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Thank you,  
SOUTHWOOD BUILDERS, INC.

Leslie Lipscomb  
Asst. Project Manager  
[Leslie@southwoodbuilders.com](mailto:Leslie@southwoodbuilders.com)

**Richmond Times-Dispatch Order Confirmation for Ad #0002836618-01**

**Client** SOUTHWOOD BUILDERS INC.      **Payor Customer** SOUTHWOOD BUILDERS INC.      **Acct. Exec** sroyal  
**Client Phone** 804-798-9225      **Payor Phone** 804-798-9225  
**Account#** 3021417      **Payor Account** 3021417  
**Address** 627 N WASHINGTON HWY, P O BOX 1016      **Payor Address** 627 N WASHINGTON HWY, P O BOX 1C  
 ASHLAND VA 23005-1016 USA      ASHLAND VA 23005-1016  
**Ordered By** Leslie Lipscombe

Ad Content Proof Actual Size  
 Southwood Building Systems, Inc. is soliciting quotes from MBE/WBE/DBE/SWAM subcontractors and suppliers on the following project:  
 Virginia Capital Trail - Varina Phase  
 VDOT, State Project No. 005-043-714, P161, P201, CS91  
 Bids are to be received in the office of SOUTHWOOD BUILDING SYSTEMS, INC. before 11:00 a.m. on Thursday, November 29, 2012. Telephone (804) 798-9225; Fax (804) 798-8702. Southwood is an Equal Employment Opportunity Contractor.

**Fax**      **Status**      **Materials**  
**E-Mail**      **leslie@southwoodbuilders.com**  
**Total Amount**      **\$68.80**  
**Payment Amt**      **\$0.00**  
**Amount Due**      **\$68.80**  
**Payment Method**  
**Confirmation Notes:**  
**Text:**  
**Order Notes:**

Ad Number	Ad Type	Color	Production Color
0002836618-01	CLP 8 Col Legal	<NONE>	
Pick Up Number	Ad Size	Production Method	Production Notes
0002818084-01	2.0 X 8 Li	AdBooker (liner)	
Product	Placement/Class	Position	# Inserts
RTD Times-Disp CLP::	_Legal Ads - CLP	_Propos-Sld Bids-RFP-Legal-CL	1

Southwood Building Systems, Inc. is soliciting quotes from MBE/WBE/DBE/SWAM subcontractors and suppliers on the following project: Virg  
 11/25/2012  
 SOUTHWOODBUILDINGSYSTEMSINCSOLICITINGQUOTESFROMMBEWBEBESWAMSUBCONTRACTORSANDSUPPLIERSONTHEF  
 RTD TN FeatCLP.com:Onl Any: \_Legal Ads - CLP      \_Propos-Sld Bids-RFP-Legal-CL      7  
 Southwood Building Systems, Inc. is soliciting quotes from MBE/WBE/DBE/SWAM subcontractors and suppliers on the following project: Virg  
 11/25/2012, 11/26/2012, 11/27/2012, 11/28/2012, 11/29/2012, 11/30/2012, 12/1/2012  
 SOUTHWOODBUILDINGSYSTEMSINCSOLICITINGQUOTESFROMMBEWBEBESWAMSUBCONTRACTORSANDSUPPLIERSONTHEF

**Richmond Times-Dispatch Order Confirmation for Ad #0002836618-01**

Client: SOUTHWOOD BUILDERS INC. Payor Customer: SOUTHWOOD BUILDERS INC. Acct. Exec: sroyal  
 Client Phone: 804-798-9225 Payor Phone: 804-798-9225  
 Account#: 3021417 Payor Account: 3021417  
 Address: 627 N WASHINGTON HWY, P O BOX 1016 Payor Address: 627 N WASHINGTON HWY, P O BOX 1C Ordered By: Leslie Lipscombe  
 ASHLAND VA 23005-1016 USA ASHLAND VA 23005-1016

Fax: \_\_\_\_\_  
 EMail: [leslie@southwoodbuilders.com](mailto:leslie@southwoodbuilders.com)

Total Amount: \$68.80 Status: \_\_\_\_\_  
 Payment Amt: \$0.00  
 Amount Due: \$68.80

Payment Method: \_\_\_\_\_  
 Confirmation Notes: \_\_\_\_\_  
 Text: \_\_\_\_\_

Order Notes: \_\_\_\_\_  
 Ad Number: 0002836618-01 Ad Type: CLP & Col Legal Color: <NONE> Production Color: \_\_\_\_\_  
 Pick Up Number: 0002818084-01 Ad Size: 2.0 X 8 Li Production Method: AdBooker (liner) Production Notes: \_\_\_\_\_  
 Product: \_\_\_\_\_ Placement/Class: \_\_\_\_\_ Position: \_\_\_\_\_ # Inserts: \_\_\_\_\_

Run Schedule Invoice Text: \_\_\_\_\_  
 Run Dates: \_\_\_\_\_  
 Tag Line: \_\_\_\_\_

RTD timesdispCLP.com:Onl Any: \_Legal Ads - CLP \_Propos-Sid Bids-RFP-Legal-CL 7  
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 11/25/2012, 11/26/2012, 11/27/2012, 11/28/2012, 11/29/2012, 11/30/2012, 12/1/2012  
 SOUTHWOODBUILDINGSYSTEMSINCSOLICITINGQUOTESFROMMBEWBEBESWAMSUBCONTRACTORSANDSUPPLIERSONTHEF

**Ad Content Proof Actual Size**

Southwood Building Systems, Inc. is soliciting quotes from MBE/WBE/DBE/SWaM subcontractors and suppliers on the following project:  
 Virginia Capital Trail - VDOT Phase

VDOT State Project No. 665-043-714, PROJ. E201, CS01  
 Bids are to be received in the office of SOUTHWOOD BUILDING SYSTEMS, INC. before 11:00 a.m. on Thursday, November 29, 2012. Telephone: (804) 798-9225; Fax: (804) 798-9702. Southwood is an Equal Employment Opportunity Contractor.

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
CERTIFICATION OF BINDING AGREEMENT  
WITH  
DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 005-043-714, P101, R201, C501

Federal Project No.: STP-5127(785)

This form is to be submitted in accordance with the Department's Special Provision for Section 107.15.

It is hereby certified by the below signed Contractors that there exists a written quote, acceptable to the parties involved preliminary to a binding subcontract agreement stating the details concerning the work to be performed and the price which will be paid for the aforementioned work. This document is not intended to, nor should it be construed to, contain the entire text of the agreement between the contracting parties. This document does not take the place of, nor may it be substituted for, an official subcontracting agreement in those situations that may require such an agreement. A copy of the fully executed *subcontract agreement* shall be submitted to the Engineer within fourteen (14) business days after contract execution.

It is further certified that the aforementioned mutually acceptable quote and fully executed subcontract agreement represent the entire agreement between the parties involved and that no conversations, verbal agreements, or other forms of non-written representations shall serve to add to, delete, or modify the terms as stated.

The prime Contractor further represents that the aforementioned mutually acceptable quote and fully executed subcontract agreement shall remain on file for a period of not less than one year following completion of the prime's contract with the Department or for such longer period as provisions of governing Federal or State law or regulations may require. For purposes of this form, the term Prime Contractor shall refer to any Contractor utilizing a DBE subcontractor, regardless of tier, in which they are claiming DBE credit toward the contract goal.

Contractors further jointly and severally represent that said binding agreement is for the performance of a "commercially useful function" as that term is employed in 49 C.F.R. Part 26.55 (c), (d).

TO BE SIGNED BY THE SUBCONTRACTOR TO THE PRIME CONTRACTOR, AND ANY LOWER TIER  
SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM

Prime Contractor Southwood Builders, Inc.

By:  President  
Signature Title  
Date: 11/29/2012

First Tier  
Subcontractor if  
Applicable

Vanasse Hangen Brustlin, Inc.

By:  Senior Project Manager  
Signature Title  
Date: 11/28/2012

Second Tier  
Subcontractor if  
Applicable

\_\_\_\_\_

By: \_\_\_\_\_  
Signature

\_\_\_\_\_ Title  
Date: \_\_\_\_\_

Third Tier  
Subcontractor if  
Applicable

\_\_\_\_\_

By: \_\_\_\_\_  
Signature

\_\_\_\_\_ Title  
Date: \_\_\_\_\_

DBE Contractor

Aquarius Engineering, PC

\_\_\_\_\_

By:   
Signature

President  
Title  
Date: 11/28/2012

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
CERTIFICATION OF BINDING AGREEMENT  
WITH  
DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 005-043-714, P101, R201, C501

Federal Project No.: STP-5127(785)

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**TO BE SIGNED BY THE SUBCONTRACTOR TO THE PRIME CONTRACTOR, AND ANY LOWER TIER  
SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM**

Prime Contractor Southwood Builders, Inc.

By: 

Signature

President

Title

Date: 11/29/2012

First Tier  
Subcontractor if  
Applicable

By: \_\_\_\_\_

Signature

\_\_\_\_\_ Title

Date: \_\_\_\_\_

Second Tier  
Subcontractor if  
Applicable

\_\_\_\_\_

By: \_\_\_\_\_  
Signature Title  
Date: \_\_\_\_\_

Third Tier  
Subcontractor if  
Applicable

\_\_\_\_\_

By: \_\_\_\_\_  
Signature Title  
Date: \_\_\_\_\_

DBE Contractor

NXL Construction Services, Inc.

\_\_\_\_\_

By: \_\_\_\_\_  
Signature President Title  
Date: 11/26/12

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
CERTIFICATION OF BINDING AGREEMENT  
WITH  
DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No.: 005-043-714, P101, R201, C501

Federal Project No.: STP-5127(785)

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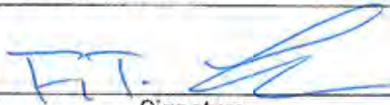
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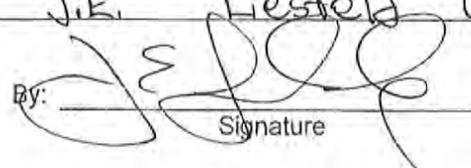
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SUBCONTRACTORS HAVING A CONTRACT WITH THE BELOW NAMED DBE FIRM

Prime Contractor Southwood Builders, Inc.

By:  President  
Signature Title

Date: 11/29/2012

First Tier  
Subcontractor if  
Applicable

J.E. Liesfeld Contractor, Inc.  
By:  VP  
Signature Title

Date: 11/28/12

Second Tier  
Subcontractor if  
Applicable

\_\_\_\_\_

By: \_\_\_\_\_  
Signature Title  
Date: \_\_\_\_\_

Third Tier  
Subcontractor if  
Applicable

\_\_\_\_\_

By: \_\_\_\_\_  
Signature Title  
Date: \_\_\_\_\_

DBE Contractor

Ty's Hauling and Paving  
\_\_\_\_\_

By: Ben L. [Signature] V.P. Operations  
Signature Title  
Date: 11-28-12

Form C-112  
Rev. 3-1-11  
Page 1 of 2

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
CERTIFICATION OF BINDING AGREEMENT  
WITH  
DISADVANTAGED BUSINESS ENTERPRISE FIRMS

Project No: 005-043-714, P101, R201, C501

Federal Project No: STP-5127(785)

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Prime Contractor Southwood Builders, Inc.

By: [Signature] President  
Signature Title  
Date: 11/29/2012

First Tier  
Subcontractor If  
Applicable

J.R. KISTNER CONTRACTOR, INC  
By: [Signature] VP  
Signature Title  
Date: 11/23/12

Form C-12  
Rev. 3-1-11  
Page 2 of 2

Second Tier  
Subcontractor if  
Applicable

\_\_\_\_\_

By: \_\_\_\_\_  
Signature Title

Date: \_\_\_\_\_

Third Tier  
Subcontractor if  
Applicable

\_\_\_\_\_

By: \_\_\_\_\_  
Signature Title

Date: \_\_\_\_\_

DBE Contractor

Liquid, Inc.  
\_\_\_\_\_

By:  \_\_\_\_\_  
Signature Title

Date: 11-28-12

COMMONWEALTH OF VIRGINIA  
DEPARTMENT OF TRANSPORTATION  
**CERTIFICATION OF BINDING AGREEMENT**  
WITH  
**DISADVANTAGED BUSINESS ENTERPRISE FIRMS**

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Prime Contractor Southwood Builders, Inc.

By: [Signature] President  
Signature Title

Date: 11/29/2012

First Tier  
Subcontractor if  
Applicable

J.E. Liersted Contractor

By: [Signature] VP  
Signature Title

Date: 11/27/12

Second Tier  
Subcontractor if  
Applicable

\_\_\_\_\_

By: \_\_\_\_\_  
Signature Title  
Date: \_\_\_\_\_

Third Tier  
Subcontractor if  
Applicable

\_\_\_\_\_

By: \_\_\_\_\_  
Signature Title  
Date: \_\_\_\_\_

DBE Contractor

DERRICOTT ELP, Inc  
\_\_\_\_\_

By: \_\_\_\_\_  
Signature Title  
Date: 1 Nov, 27, 12