



Disadvantaged Business Enterprise (DBE) FACT SHEET

DBE Program	DBE Definition	DBE Certification	DBE Goals	DBE Reporting	Resources
<p>The Federal Disadvantaged Business Enterprise program exists for companies that are doing business in the Transportation Sector and are looking to win contracts which are funded by federal dollars from the US Department of Transportation. Companies typically include engineering and construction firms involved in the planning, design and construction of roads and bridges; railroad industries; Port Authorities; and airport design and airport concessions companies. The Program is governed by the Federal Regulations in 49 CFR 26 and 23.</p>	<p>Disadvantaged Business Enterprise is a federal designation for socially and economically disadvantaged firms governed by 49 CFR 26.61.</p>	<p>DBE/MBE – Disadvantaged Minority Business Enterprise</p> <p>DBE/WBE –Disadvantaged Woman Business Enterprise</p>	<p>DBE regulations (49 CFR 26.45) require the establishment of an overall state-wide goal based on demonstrable evidence of the availability of ready, willing, and able DBEs relative to all businesses who are ready, willing, and able to participate on DOT assisted contracts.</p> <p>Federally funded contracts are individually reviewed to determine whether a DBE goal will be established for that contract.</p>	<p>Effective July 1, 2007, quarterly reporting is required to track payments to DBE subcontractors and subconsultants to determine attainment of DBE goals. Reports are to be submitted within 5 working days after the following reporting periods:</p> <p>September 30 December 31 March 31 June 30</p> <p>Utilize Form C-63 (Form C-63A has been eliminated)</p>	<p>Legislation and Regulations (49 CFR Part 26) www.fhwa.dot.gov</p> <p>Certified DBE firms can be located in the DBE Directory at: www.DMBE.virginia.gov</p> <p>Goal Setting Methodology www.virginiadot.org – Business Center, Civil Rights, DBE Goal Setting</p> <p>Pre-qualified DBE firms can be located at: www.virginiadot.org Business Center/ Construction and Maintenance/Pre-qualified, Certification, and Debarment List.</p> <p>Construction Projects: CD 2007-5 CD 2007-6</p> <p>Civil Rights Division www.virginiadot.org Business Center/ Contractor Support Commercially Useful Function (CUF) – 49 CFR Part 26 Certified SWaM firms can be located at www.DMBE.virginia.gov</p> <p>VA Department of Minority Business Enterprise 111 East Main Street Suite 300 Richmond, VA 23219 804-786-5560 800-223-0671 (VA only) www.DMBE.virginia.gov</p>
<p>Only certified DBE firms can be utilized to attain DBE goals on federally funded contracts.</p> <p>DBE firms not exceeding SWaM size standards automatically receive SWaM status upon certification.</p> <p>DBE Certification Information</p>					
					

Small, Women and Minority Business (SWaM) FACT SHEET

SWaM Program	SWaM Definition	SWaM Certification	SWaM Goals	SWaM Reporting	Resources
<p>Executive Order 33</p> <p>– The SWaM Program is a Commonwealth of Virginia Program to support small, women and minority businesses in doing business with State Government agencies. SWaM stands for Small, Women, and Minority businesses.</p>	<p>Small Business Enterprise has 250 or fewer employees, or average annual gross receipts of \$10 million or less averaged over the previous three years.</p> <p>Woman Business Enterprise - 51 percent owned or equity interest by one or more women who are U.S. citizens or legal resident aliens.</p> <p>Minority Business Enterprise - 51 percent owned or equity interest by one or more minorities.</p>	<p>SBE – Small Business Enterprise</p> <p>WBE - Woman Business Enterprise</p> <p>MBE – Minority Business Enterprise</p>	<p>It shall be the goal of the Commonwealth that 40% of its purchases be made from small businesses to include women-owned and minority-owned businesses that meet the small business definition. This includes discretionary spending in prime contracts and subcontracts.</p>	<p>Effective July 1, 2007, quarterly reporting is required to track payments to SWaM subcontractors and subconsultants to determine participation on federally funded and state contracts. Reports are to be submitted 5 working days after the following reporting periods:</p> <p>September 30 December 31 March 31 June 30</p>	<p>Executive Order 33 www.governor.virginia.gov – Issues & Initiatives</p> <p>Certified SWaM firms can be located at: www.DMBE.virginia.gov</p> <p>Pre-qualified firms can be located at: www.virginiadot.org Business Center/ Construction and Maintenance/ Pre-qualified, Certification, and Debarment List.</p>
<p>Payments made to SWaM firms for subcontracting and subconsulting on all federally funded and state contracts are to be reported quarterly.</p>					<p>Executive Order 33</p>
<p>SWaM firms working on federally funded contracts are not counted toward credit for DBE participation toward attainment of DBE goal.</p>			<p>SWaM participation is counted toward 40% goal in accordance with Executive Order 33.</p>		
<p>SWaM Certification Information</p>					<p>VA Department of Minority Business Enterprise 111 East Main Street Suite 300 Richmond, VA 23219 804-786-5560 800-223-0671 (VA only) www.DMBE.virginia.gov</p>