



Federal Highway Administration

Valley on the Coal Heritage Byway

Virginia Division Office

Compliance Assessment Program (CAP)

Iris Rodriguez

LPA/Operations Engineer

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FHWA Risk-Based S&O

Virginia Division Office

Valley on the Coal Heritage Byway

- In 2014 Risk-Based Stewardship and Oversight
 - Project approval actions
 - PODIs/POCIs
 - CAP



CAP Review

Virginia Division Office

Valley on the Coal Heritage Byway

- 3rd year of CAP Reviews
- PY16 a total of 38 locally administered projects were selected
- CAP Core Questions, Finance and Contract Administration Technical Guides





Contract Administration

Virginia Division Office

Valley on the Coal Heritage Byway

- Subcontracts
 - FHWA Form 1273
 - Process in place to ensure subcontract is approved
- DBE
- Work Orders
 - Time Extensions/Contractor Delays





Contract Administration

Virginia Division Office

Valley on the Coal Heritage Byway

- Billings
 - Submit invoices regularly
 - Inactivity happens at 1 year
 - No advance payment
 - Reimbursement program
 - Lump Sum Payment
 - Reimbursable based on documented milestones completed



Best Practice

Virginia Division Office

Valley on the Coal Heritage Byway

- Established policy that requires the contractor to provide all subcontracts for review and approval
 - Before they begin work
 - Internal process begins at the pre-construction conference



Questions

Virginia Division Office

Valley on the Coal Heritage Byway

VA Compliance Assessment Program Review Report

Iris Rodriguez

804-775-3340

iris.rodriguez@dot.gov

