Local Transportation Program

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Local Assistance Division

UCI 2019
Discussion Topics

FHWA CAP Findings

LAD Compliance Reviews

Project Stewardship and Oversight

Non-VDOT Administered Project Updates

VDOT Virginia Department of Transportation
VDOT and Locality Expectations

The Federal Perspective

- VDOT has an oversight (and stewardship) requirement when federal funds are being utilized; VDOT is responsible to ensure that localities have “adequate resources and sufficient accounting procedures” to manage federally funded projects (23 CFR 635.105 (a))

- Localities are required to provide sufficient construction inspection to ensure project is constructed in accordance with contract specifications & plans (23 CFR 635.105(c))
FHWA CAP Report for 2019

Reviewed 55 active projects
39-State administered
16-locally administered
### FHWA CAP Report for 2019

<table>
<thead>
<tr>
<th>QID</th>
<th>Core Questions (summarized)</th>
<th>Compliance</th>
</tr>
</thead>
<tbody>
<tr>
<td>CQ1</td>
<td>Suspended or Debarred</td>
<td>100%</td>
</tr>
<tr>
<td>CQ3</td>
<td>Bids publicly announced</td>
<td>100%</td>
</tr>
<tr>
<td>CQ4</td>
<td>Competitive bid award</td>
<td>100%</td>
</tr>
<tr>
<td>CQ8</td>
<td>Non-collusion statement</td>
<td>100%</td>
</tr>
<tr>
<td>CQ9</td>
<td>Non-collusion provision</td>
<td>100%</td>
</tr>
</tbody>
</table>
### FHWA CAP Report for 2019

<table>
<thead>
<tr>
<th>QID</th>
<th>Core Questions (summarized)</th>
<th>Compliance</th>
<th>VDOT</th>
<th>LPA</th>
<th>No. of Districts</th>
</tr>
</thead>
<tbody>
<tr>
<td>CQ2</td>
<td>1273 in Subcontracts</td>
<td>80%</td>
<td>7</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>CQ5</td>
<td>License requirement</td>
<td>95%</td>
<td>2</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>CQ6</td>
<td>Differing site conditions</td>
<td>84%</td>
<td>0</td>
<td>9</td>
<td>4</td>
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<tr>
<td>CQ7</td>
<td>Suspension of work</td>
<td>82%</td>
<td>0</td>
<td>10</td>
<td>5</td>
</tr>
<tr>
<td>CQ10</td>
<td>Valid NEPA</td>
<td>98%</td>
<td>1</td>
<td>0</td>
<td>1</td>
</tr>
</tbody>
</table>
**FHWA CAP Report for 2019**

**Corrective Plan of Action**

<table>
<thead>
<tr>
<th>Task</th>
<th>Timeframes</th>
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</thead>
<tbody>
<tr>
<td>LAD Newsletter <em>physically and verbatim</em> in contracts AND subcontracts</td>
<td>Q2, Q6, Q7</td>
</tr>
<tr>
<td>Discuss requirement at 2019 LPW</td>
<td>Q2, Q6, Q7</td>
</tr>
<tr>
<td>Update LAP Manual / Link</td>
<td>Q2, Q5, Q6, Q7</td>
</tr>
<tr>
<td>Incorporate 23 CFR 635.109a into the Mandatory Federal-aid Provisions checklist</td>
<td>Q6, Q7</td>
</tr>
<tr>
<td>Ensure Environmental reviews are completed before construction authorization</td>
<td>Q10</td>
</tr>
</tbody>
</table>

*Note: The tasks are prioritized with Q2 being the earliest and Q10 the latest.*
## 2019 LAP Projects for Compliance Assessment

<table>
<thead>
<tr>
<th>District</th>
<th>Number of Projects</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bristol</td>
<td>2</td>
</tr>
<tr>
<td>Culpepper</td>
<td>1</td>
</tr>
<tr>
<td>Fredericksburg</td>
<td>1</td>
</tr>
<tr>
<td>Hampton Roads</td>
<td>1</td>
</tr>
<tr>
<td>Lynchburg</td>
<td>2</td>
</tr>
<tr>
<td>Northern Virginia</td>
<td>1</td>
</tr>
<tr>
<td>Richmond</td>
<td>2</td>
</tr>
<tr>
<td>Salem</td>
<td>2</td>
</tr>
<tr>
<td>Staunton</td>
<td>1</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>13</strong></td>
</tr>
</tbody>
</table>

### UCI Reviews
City of Virginia Beach
- Three (3) Project Reviews
- Program Review
- UCI Re-certification Review
Non VDOT Administered Projects (NVAP)

- Projects Added Monthly When Awarded
- Auto-generated Email Reminders
- Auto Emails VDOT PC and LPA PM
- Updates Required Every 30 days
Non VDOT Administered Projects (NVAP)
“I’m just waiting to see what you’re making, so I can write some regulations for it.”